

Mass Spectrometric Fragmentation of Isomeric 2-Alkyl-substituted 1,3-Indandiones and 3-Alkylidenephthalides: a Seven-step Consecutive Isomerization of Regular and Distonic Molecular Radical Cations†

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The electron impact-induced fragmentation of 2,2-dimethyl- and 2-ethyl-1,3-indandione, **1** and **2**, and their isomers, 3-isopropylidene- and 3-propylidenephthalide, **3** and **4**, respectively, was studied in detail by mass-analysed ion kinetic energy (MIKE) and collision-induced dissociation (CID–MIKE) spectrometry, including ^2H and ^{13}C -labelled analogues of **1** and **2**. In all regimes of internal energy, the molecular ions $1^{+\bullet} - 4^{+\bullet}$ interconvert by up to seven consecutive, reversible isomerization steps prior to the main fragmentation processes, viz. loss of CH_3^\bullet and $\text{C}_2\text{H}_4^\bullet$. 1,3-Indandione and 3-methylenephthalide ions with identical alkylidene moieties (i.e. $1^{+\bullet} \rightleftharpoons 3^{+\bullet}$ and $2^{+\bullet} \rightleftharpoons 4^{+\bullet}$) equilibrate rapidly and completely prior to fragmentation, whereas these pairs of isomers interconvert only slowly via a five-step rearrangement of the indandione ions $1^{+\bullet} \rightleftharpoons 2^{+\bullet}$. Distinct from the behaviour of simpler ionized carbonyl species, a 1,2-C shift of a (formally) neutral carbonyl group is found to occur along with that of a protonated one. Also distinct from simpler cases, methyl loss does not take place from the ionized enol intermediates formed within the interconversion $1^{+\bullet} \rightleftharpoons 2^{+\bullet}$ of the diketone ions but rather from the *n*-propylidenephthalide ions $4^{+\bullet}$. This follows from CID–MIKE spectrometry of the $[\text{M} - \text{CH}_3]^+$ ions of **1–4** and two reference $\text{C}_{10}\text{H}_7\text{O}_2^+$ (*m/z* 159) ions of authentic structures (protonated 2-methylene-1,3-indandione and protonated 1,4-naphthoquinone). The characteristic CID fragmentation of the $\text{C}_{10}\text{H}_7\text{O}_2^+$ ions is rationalized. Finally, the multi-step isomerization of ionized 1,3-indandiones apparently also extends to higher homologues [e.g. $5^{+\bullet}$ from 2-ethyl-2-methyl-1,3-indandione (**5**) and $6^{+\bullet}$ from 2,2-diethyl-1,3-indandione (**6**): the ionized phthaloyl group of 1,3-indandione radical cations $1^{+\bullet}$, $2^{+\bullet}$, $5^{+\bullet}$ and $6^{+\bullet}$, originally attached with its two acyl functionalities to the same carbon of the aliphatic chain, performs, in fact, a ‘multi-step migration’.

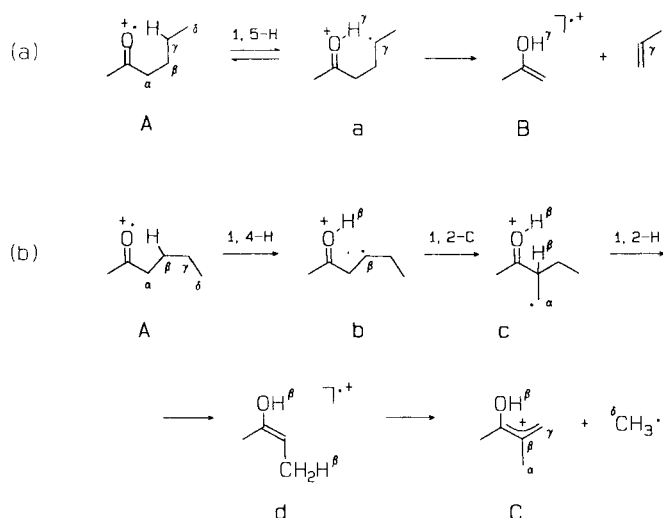
INTRODUCTION

The interplay of unimolecular hydrogen abstraction and skeletal rearrangement processes during the mass spectrometric fragmentation of carbonyl compounds has been investigated in great detail during the past decade.^{1–4} One of the results emerging from these studies has been the fact that intramolecular abstraction of a β -H atom is similarly frequent and as important as the classical γ -H migration,^{5,6} which represents the first step of the McLafferty reaction (Scheme 1, *A* \rightarrow *B*). However, whereas the γ -H atom ends up in the fragment which does not contain the original donor site, the β -H atom is retained in the donor fragment (Scheme 1, *A* \rightarrow *C*). Therefore, the β -H migration has been referred to as ‘hidden’ hydrogen transfer.⁷ However, the fragmentation induced by this process is more complex than the McLafferty reaction. It consists of a series of skeletal and further hydrogen rearrangements obscuring the relationship between the structure of the precursor carbonyl compound and the fragmentation behaviour

† Dedicated to Professor Dr Herbert Budzikiewicz on the occasion of his 60th birthday.

of its molecular ion. The mechanistic features of the two fragmentation processes are contrasted in Scheme 1.

This paper describes the mass spectrometric isomerization and fragmentation of two simple 2-alkyl-substituted 1,3-indandiones, **1** and **2**, under electron impact (EI) ionization. 1,3-Indandiones have been widely studied in synthetic⁸ and physical organic⁹ chemistry and in pharmaceutical chemistry.¹⁰ In this laboratory, highly efficient synthetic routes to complex polycyclic organic structures have been developed in recent years using 1,3-indandiones as the starting materials.¹¹ One of these investigations led us to a detailed analysis of the EI-induced fragmentation of 1,1,2,2,3,3-hexamethylindan,¹² and in the course of that study we found that the radical cations of 1,3-indandiones show an interesting gas-phase ion chemistry on their own. These species undergo extended rearrangements in a multi-step sequence, giving rise to apparently simple fragmentation reactions, viz. loss of methyl and ethene. It will be shown, however, that, in spite of the apparent complexity, the isomerization behaviour of 1,3-indandione radical cations may be mechanistically rationalized in full detail. Moreover, we found in the course of this study that isomeric 3-alkylidenephthalides **3** and **4**, as



Scheme 1

constitutional isomers of **1** and **2**, contribute considerably to the $C_{11}H_{10}O_2^+$ isomerizing system.

With 1,3-diketones, the hidden hydrogen transfer sequence shown in Scheme 1 has not yet been observed. Rather, these species are prone to undergo the McLafferty reaction and/or eliminate carbon monoxide after intramolecular rearrangement processes involving distonic ions¹³ and ion-neutral complexes.^{14–18}

RESULTS AND DISCUSSION

Mass spectrometric 1,3-indandione \rightleftharpoons 3-methylenephthalide rearrangement

Compounds **1–4** are closely related isomers which differ pairwise and complementarily in the topology of the aroyl moieties, on the one hand, and of the alkylidene moieties, on the other. Inspection of the standard 70 eV EI mass spectra (Fig. 1) and stable ion [collision-induced dissociation–mass-analysed ion kinetic energy (CID–MIKE)] spectra (Fig. 2) reveals two sets of isomers with pairwise identical fragmentation. Thus, in both energy and lifetime regimes, the spectra of the isomers containing the 2-propylidene moiety (**1** and **3**)

are indistinguishable, as are those of the isomers bearing the 1-propylidene group (**2** and **4**). Slight but significant differences are found, however, between the spectra of two isomers with different topology of the alkylidene moiety. Thus, the 70 eV standard mass spectra of the two indandiones **1** and **2** and, consequently, those of the corresponding phthalides **3** and **4** differ in the ion abundance ratios $[M - \text{CH}_3]^+/M^+$ and, more characteristically, in the ratio $[m/z 146]/[m/z 145]$. Moreover, both the 70 eV EI spectra and the CID spectra of the *n*-alkylidene isomers **2** and **4** display a characteristic peak at $m/z 55$ ($C_3H_3O^+$, see below). Likewise, the metastable ions (Table 1) of the four isomers are also pairwise identical.

High-resolution measurements of **1–4** revealed that the peak at $m/z 146$ consists of two components. In all cases, the major fraction ($\sim 90\%$) corresponds to the loss of ethene (e.g. **2**, found $m/z 146.03678$, calculated 146.03623 for $C_9H_6O_2^+$), and the minor fraction ($\sim 10\%$) is due to loss of carbon monoxide (found $m/z 146.07317$, calculated 146.07262 for $C_{10}H_{10}O^+$). Thus, in contrast to other 1,3-diketones, loss of CO from molecular ions of 2-alkyl-1,3-indandiones is only a minor process. This holds also for metastable ions (see below). Interestingly, high-resolution studies show that ions at $m/z 145$, being prominent only for the branched (isopropylidene) isomers **1** and **3**, are completely due to the loss of the elements of CHO^+ , and that ions at $m/z 131$ are generated exclusively by elimination of the elements of COCH_3^+ (presumably by consecutive losses of CH_3^+ and CO, see below).

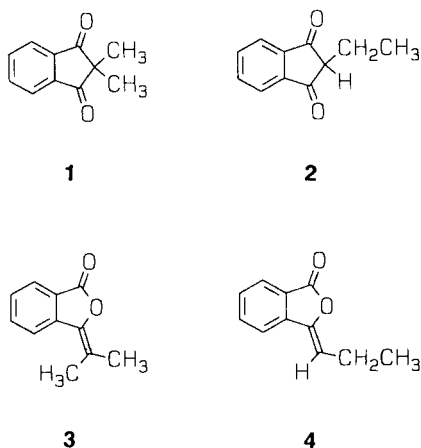


Table 1. Fragmentation of the metastable molecular ions of isomeric 1,3-indandiones and methylenephthalides **1–4** (MIKE spectra, 70 eV)

Loss of	ion	1	2	3	4
CH_3^+	$m/z 159$	47.9	28.2	51.9	34.7
$\text{C}_2\text{H}_4, \text{CO}^a$	$m/z 146$	52.1	72.8	48.1	65.3

^a Loss of CO represents $\sim 5\%$ of the total $[M - 28]^+$ ion current (cf. Table 2).

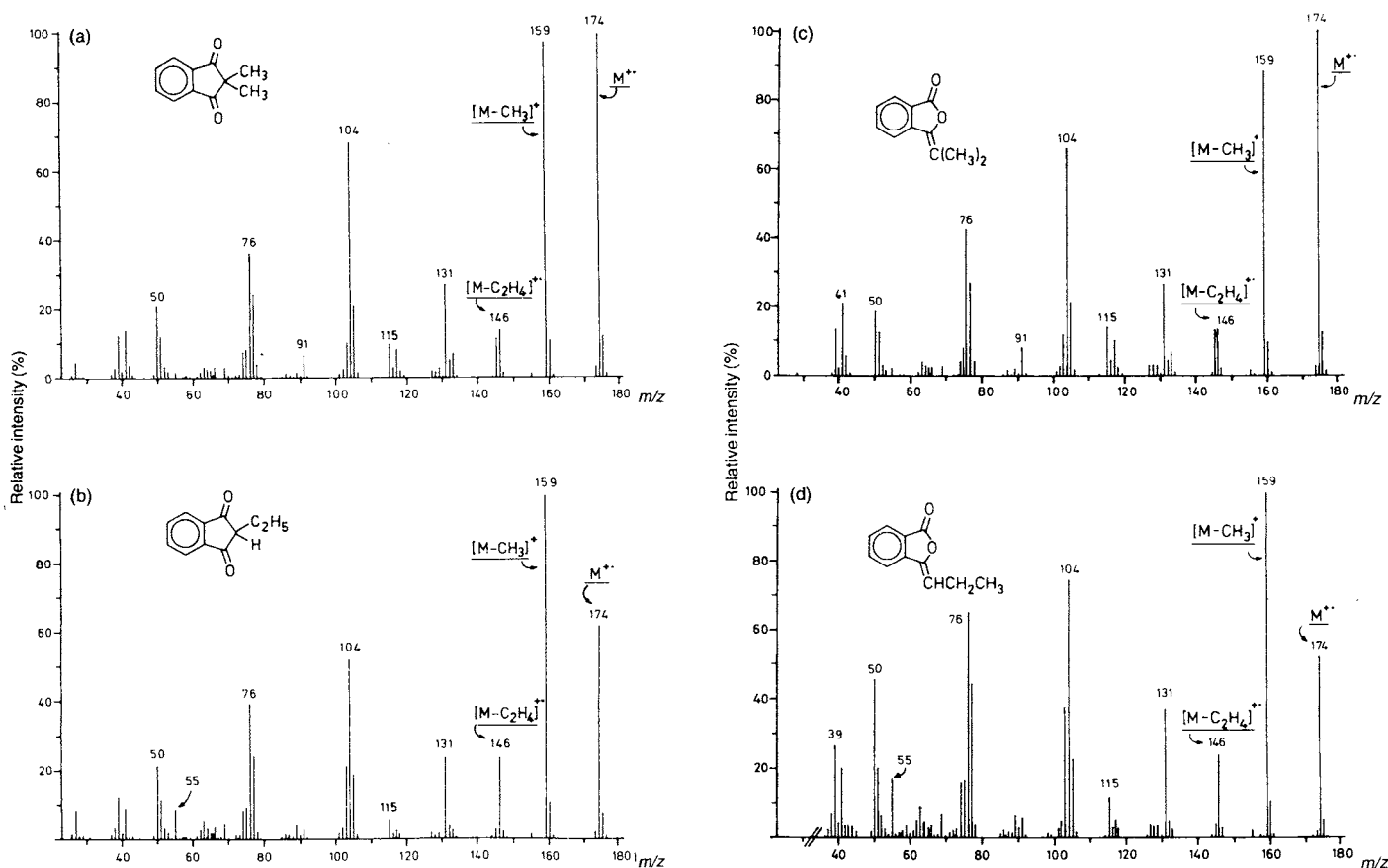


Figure 1. 70 eV EI mass spectra of (left) isomeric 1,3-indandiones **1** and **2** and (right) 3-methylenephthalides **3** and **4**, with (top) branched and (bottom) linear aliphatic C_3 unit.

All these data demonstrate that the radical cations of 1,3-indandiones and 3-alkylidene-phthalides with identical alkylidene groups undergo a fast and complete equilibration prior to fragmentation (Scheme 2). It is obvious that this isomerization takes place, in each set of isomeric molecular ions, by α cleavage at carbonyl functions followed by rotation and re-closure of the five-membered ring to give the corresponding isomer of similar stability: $1^{+\bullet} \rightleftharpoons 3^{+\bullet}$ and $2^{+\bullet} \rightleftharpoons 4^{+\bullet}$. An analogous group tautomerization has been found earlier for the

radical cations of substituted phthalimides.¹⁹ In solution, rearrangements of 3-alkylidene-phthalides to the corresponding 2-alkyl-1,3-indandiones are catalysed by alkoxide ions and represent facile synthetic access to the latter compounds.^{20a} Moreover, the photolytic conversion of 1,3-indandiones to methylenephthalides, e.g. of **1** and **5** (see below), was also described in a recent paper.^{20b}

In contrast to the facile isomerization steps $1^{+\bullet} \rightleftharpoons 3^{+\bullet}$ and $2^{+\bullet} \rightleftharpoons 4^{+\bullet}$ being characterized by low critical ener-

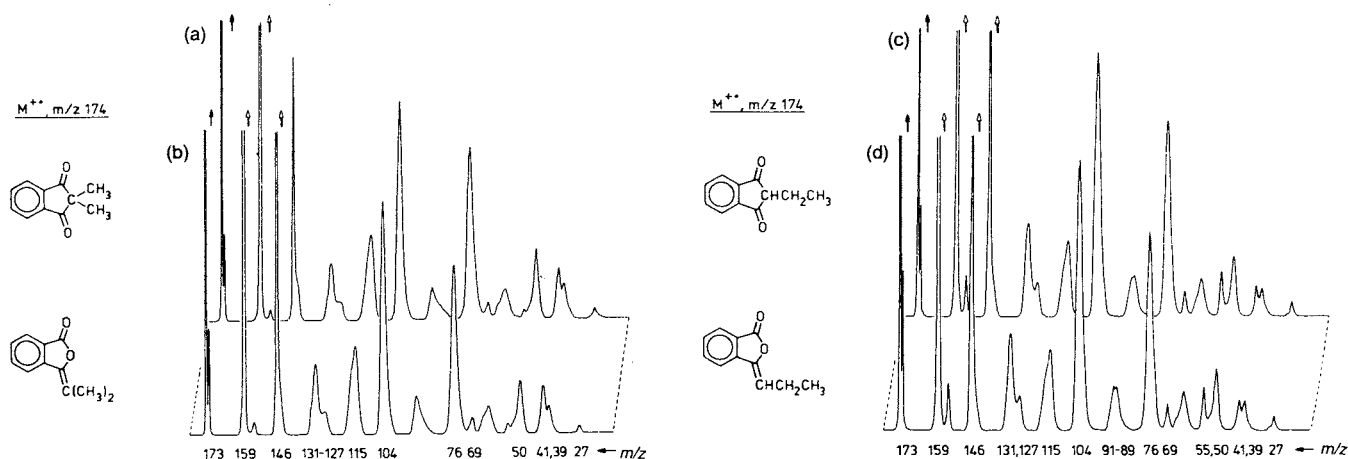
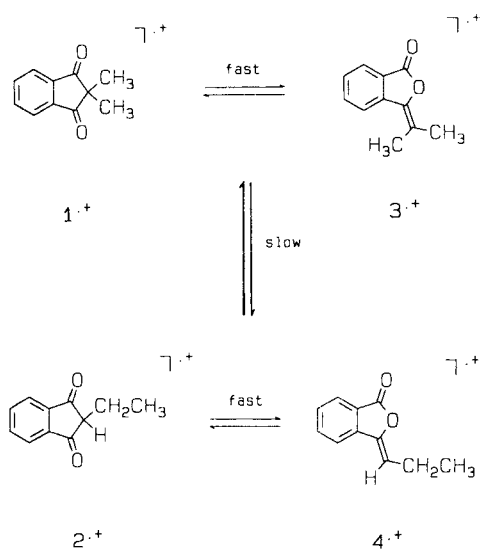


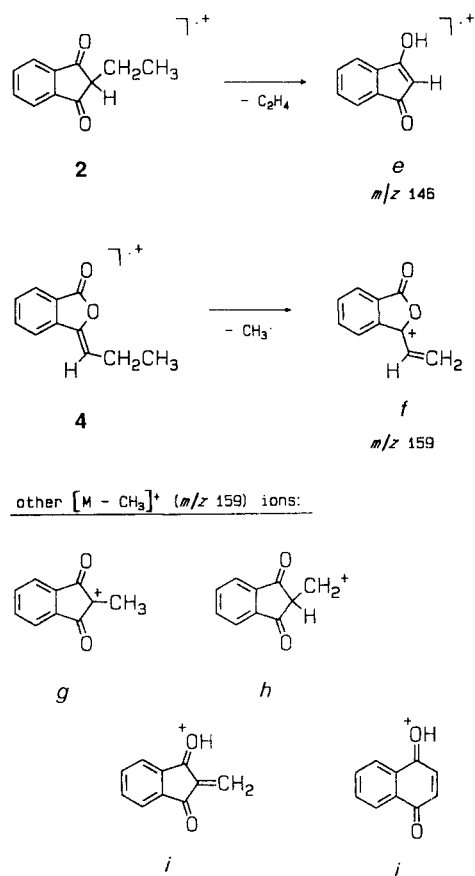
Figure 2. CID-MIKE spectra of the molecular ions of (left) **1** and **3** and (right) **2** and **4**.



gies, the two sets of isomers interconvert less readily. Nevertheless, the primary fragmentation channels are the same for all of the four isomers, viz. elimination of comparable amounts of methyl and ethene along with minor fractions ($\sim 5\%$) of carbon monoxide. This follows both from the MIKE spectra and from high-resolution measurements for metastable and unstable ions, respectively (Table 1). As will be shown below, this interconversion is effected by a slow but reversible, five-step interconversion between the two indandione ions, $1^{+\bullet} \rightleftharpoons 2^{+\bullet}$.

Loss of CH_3^\bullet and C_2H_4 from metastable indandione and phthalide ions

From the mechanistic point of view, loss of methyl from ions $1^{+\bullet}$ – $3^{+\bullet}$ and elimination of ethene for ions $1^{+\bullet}$, $3^{+\bullet}$ and $4^{+\bullet}$ are not obvious. The only straightforward reaction path for ethene elimination is the McLafferty reaction of $2^{+\bullet}$, giving rise to the enol ions of 1,3-indandione (*e*, m/z 146, Scheme 3). In the case of the methyl loss, however, direct cleavage of the corresponding C– CH_3 bonds would give rise to extremely energetically unfavourable ("forbidden") structures, viz. ions *g* and *h* (Scheme 3), which certainly do not reside in minima of the energy hypersurface.^{21,22} Similarly, loss of methyl from $3^{+\bullet}$ and loss of ethene from $4^{+\bullet}$ are energetically unreasonable. Facile methyl loss may occur exclusively from the phthalide ion $4^{+\bullet}$, giving ion *f* (m/z 159). Referring to the mechanism of alkyl loss from the simpler ionized carbonyl compounds, as shown in Scheme 1, loss of a methyl radical may be expected to occur also from enol-type isomers formed after appropriate hydrogen and carbon shifts. This would generate the isomeric $[\text{M} - \text{CH}_3]^+$ ions *i* and/or *j*, as indicated in Scheme 3. It will be shown, however, that the isomerization behaviour of simpler molecular ions of carbonyl compounds makes only part of the overall isomerization sequence of indandione radical cations, and that the $[\text{M} - \text{CH}_3]^+$ ions produced from $1^{+\bullet}$ – $4^{+\bullet}$ are in fact ions *f*



Scheme 3. Formation and structures of $[\text{M} - \text{C}_2\text{H}_4]^{+\bullet}$ (m/z 146) and $[\text{M} - \text{CH}_3]^+$ (m/z 159) ions.

generated exclusively by cleavage of the 1-propylideneindandione ions $4^{+\bullet}$.

The MIKE spectra of the metastable molecular ions show that elimination of ethene gains importance with increasing ion lifetime. For the isomers bearing an isopropylidene group, $1^{+\bullet}$ and $3^{+\bullet}$, the ratio $[\text{M} - \text{C}_2\text{H}_4]^{+\bullet}/[\text{M} - \text{CH}_3]^+$ is close to unity (taking in account the minor contribution of CO loss), whereas for the *n*-propylidene isomers, loss of ethene dominates: $[\text{M} - \text{C}_2\text{H}_4]^{+\bullet}/[\text{M} - \text{CH}_3]^+ \approx 2$. This indicates that ethene loss is the slightly less energy-demanding fragmentation process, since no isomerization of the alkylidene moiety is required by starting from the *n*-propylidene isomers (see below).

MIKE spectra of ^2H - and ^{13}C -labelled indandiones

Obviously, interconversion of the 2-propylidene isomers $1^{+\bullet}$ and $3^{+\bullet}$ into the 1-propylidene congeners $2^{+\bullet}$ and $4^{+\bullet}$ requires profound skeletal reorganization. As already suggested in Scheme 2, this process is slow compared with the isomerization within the two sets of isomers. It proceeds by a five-step sequence starting from each of the two indandione ions. This picture develops from the analysis of the MIKE spectra of the molecular ions of several ^2H - and ^{13}C -labelled isotopomers of the indandiones 1 and 2. The results are collected in Table 2.

Table 2. Fragmentation of the metastable molecular ions of 2,2-dimethyl-1,3-indandiones 1-1c and 2-ethyl-1,3-indandiones 2 and 2a (MIKE spectra, $\Sigma\%$)

	1a		1b	1c	2a	
<i>m/z</i>	1 [D ₀]	1a [¹³ C ₂]	1b [D ₀]	1c [D ₀]	2 [D ₀]	2a [D ₁]
174	174	177	180	176	174	175
162	—	—	0.0	20.2	—	—
161	—	0.0	0.0	0.0	—	—
160	—	49.0	~0.6	~0.3	—	22.9
159	47.9	—	45.7	34.2	28.2	3.9
152	—	—	4.7 ^b	—	—	—
151	—	—	0.0	—	—	—
150	—	—	0.0	—	—	—
149	—	—	0.0	4.6 ^b	—	—
148	—	8.5 ^b	49.3	0.0	—	—
147	—	42.5	—	40.6	—	29.5 ^a
146	52.1 ^a	0.0	—	0.0	71.8 ^a	43.7

^a Loss of C₂H₄ (major) and of CO (minor, 5–10%, component).
^b Loss of CO.

The mass shifts observed with the labelled ions indicate a highly specific isomerization process occurring without hydrogen or carbon interchange. Thus, the methyl radical expelled from ions **1a**⁺⁺ and **1b**⁺⁺ contains virtually all its original constituents (i.e. the ¹³C^α and D^α atoms, respectively). The ethene molecules expelled from these isotopomers retain only one of the carbon atoms but all of the four hydrogen atoms available from the two methyl substituents. Hence, the central carbon atom [C-(2)] does not participate at all in the loss of methyl but does so, quantitatively, in the elimination of ethene.

Loss of methyl from ions **1c**⁺⁺ containing only one CD₃ group reveals an apparently inverse kinetic isotope effect favouring the loss of CD₃[•] over that of CH₃[•] by a factor of 1.7. This value is surprisingly small, and the normal 70 eV EI mass spectrum of **1c** shows almost the same: here, [**1c** - CD₃]/[**1c** - CH₃] = 1.55, whereas, in general, strongly energy-dependent isotope effects are observed.²³ These inverse isotope effects clearly indicate the occurrence of a hidden hydrogen transfer in the rate-determining step of the overall rearrangement process, as has been demonstrated earlier for a number of radical cations of simple carbonyl compounds.^{4,7} Clearly, the data of ions **1c**⁺⁺ also show that no hydrogen exchange occurs between the two methyl groups. Finally, elimination of ethene from ions **1c**⁺⁺ takes place exclusively by loss of C₂H₂D₂, suggesting, as will be confirmed later, the incorporation of two hydrogen atoms from each of the original methyl groups into the neutral fragment.

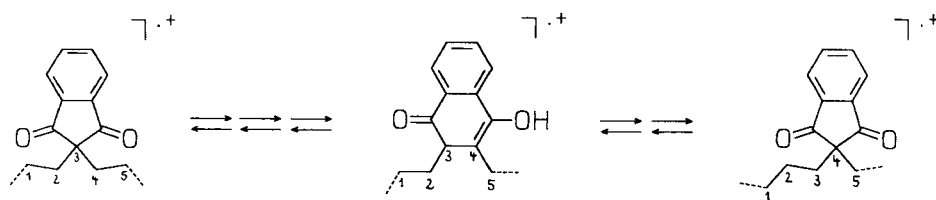
The fragmentation of metastable 2-ethyl-1,3-indandione ions **2a**⁺⁺ is less clear. Interestingly, a minor part (about one-sixth) of the methyl loss produces CH₂D[•] radicals, again pointing to considerable rearrangement processes. Even more strikingly, the major fraction (up to two thirds) of the ethene fragment contains the label.

At first glance, these data appear surprising. It will be shown, however, that they are perfectly in line with an isomerization sequence which combines the two pairs of fast-interconverting isomers **1**⁺⁺ ⇌ **3**⁺⁺ and **2**⁺⁺ ⇌ **4**⁺⁺ via the slowly interconverting indandione ions **1**⁺⁺ and **2**⁺⁺.

Five-step isomerization of 1,3-indandione radical cations

The mechanism of the isomerization of the 1,3-indandione ions is shown in Scheme 4. Starting with the molecular radical cation of 2,2-dimethylindandione, **1**⁺⁺, a series of consecutive 1,4-H, 1,2-C and 1,2-H shifts leads to the enol radical cation *m*. These three steps correspond to those occurring in the radical cations of simple carbonyl species (Scheme 1, *A* ⇌ *b* ⇌ *c* ⇌ *d*). From the kinetic isotope effect observed with ions **1c**⁺⁺, it is suggested that the first step, i.e. the 1,4-H shift **1**⁺⁺ → *k*, is rate determining. Both intermediates *l* and *m* should be more stable than the primarily formed distonic ion *k*, in line with the isotope effect observed. Nevertheless, there is evidence that the formation of ions *k* is reversible (see below) and, in fact, the low energy dependence of the kinetic isotope effect points to the competition of the 1,4-H shift with other processes. The subsequent 1,2-C shift converts the five-membered β-distonic ion *k* into the six-membered β-distonic ion *l*. With regard to the readily occurring rearrangement **1**⁺⁺ ⇌ **3**⁺⁺ discussed above, this 1,2-C shift should be at least as facile since it does not require full cleavage of the C(1)—C(2) bond. 1,2-H shift in the ring-expanded ion *l* subsequently leads to the enol radical cation *m*.

In the case of simple carbonyl compounds, the enol ion formed by the three-step isomerization sequence (cf. *d* in Scheme 1) expels an alkyl radical to give a highly stable hydroxyallyl cation. This was expected in the

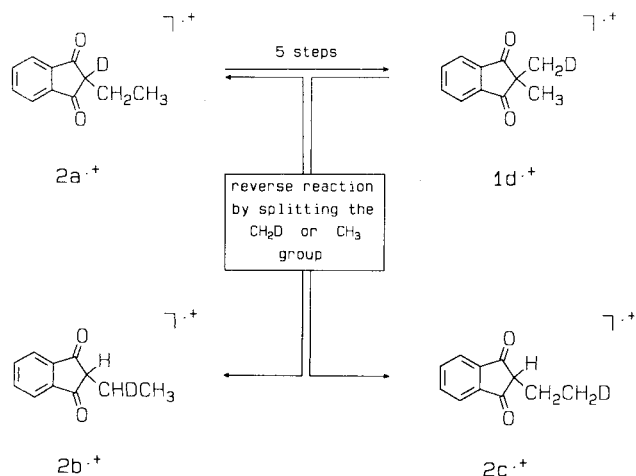


Scheme 5. 'Walk' isomerization of the (ionized) phthaloyl group along the aliphatic chain (e.g. positions 3 and 4).

to a 1,2-shift of the entire, ionized phthaloyl group to give the corresponding '1,1-phthaloylpropane' structure of **2** (Scheme 5). This, of course, requires that the ethene molecules eliminated from ions $1^{+\bullet}$ retain the original C(2) carbon atom: in fact, ions $1a^{+\bullet}$ expel exclusively $^{13}\text{C}^{12}\text{CH}_4$. Moreover, they also retain two hydrogens from each of the original methyl groups: ions $1c^{+\bullet}$ expel $\text{C}_2\text{H}_2\text{D}_2$ exclusively. Hence, the ionic fragment *e* contains one hydrogen atom from the disassembled methyl group of $1^{+\bullet}$ and one from the other, intact group, which transfers that hydrogen atom in the course of the McLafferty reaction of ions $2^{+\bullet}$.

The fragmentation of metastable 2-ethyl-1,3-indandione ions $2a^{+\bullet}$ also corroborates the above interpretation and, beyond that, it reveals that all steps of the isomerization sequences are reversible. This follows from the fact that $\text{CH}_2\text{D}^\bullet$ is lost from ions $2a^{+\bullet}$ with $[\text{2} - \text{CH}_2\text{D}]^+ / [\text{2} - \text{CH}_3]^+ = 15:85$. The observed expulsion of the labelled methyl group requires a minor part of ions $2a^{+\bullet}$ to be converted, via the full five-step sequence, into isomer $1d^{+\bullet}$ bearing a CH_2D group (Scheme 6). This 'symmetrized' isotopomer may then undergo reversion to either the original ions $2a^{+\bullet}$ or to ions $2b^{+\bullet}$ containing the label in the methylene group, or, still alternatively, to ions $2c^{+\bullet}$ bearing it in the methyl group. The latter intermediate is then rearranged to the corresponding propylidenephthalide ion from which $\text{CH}_2\text{D}^\bullet$ is expelled, eventually. Again, the 1,4-H shift $k \rightleftharpoons 1^{+\bullet}$ seems to be rate determining within the whole isomerization process since only a minor fraction of ions $2a^{+\bullet}$ reach the 'point of return' (i.e. $1d^{+\bullet}$).

Moreover, the experimental ratio of ethene losses, $[\text{2} - \text{C}_2\text{H}_3\text{D}]^+ / [\text{2} - \text{C}_2\text{H}_4]^+ \approx 66:34$, obtained after correction for the contribution of CO elimination, is



Scheme 6. Conversion of ions $2a^{+\bullet}$ to isotopomers $2b^{+\bullet}$ and $2c^{+\bullet}$

nearly identical with the value (2:1) calculated for the complete equilibration of $2^{+\bullet}$ and $1^{+\bullet}$ (Scheme 4). By accident, however, the same ratio is expected for an equilibration involving only a limited section of this route, e.g. $2^{+\bullet} \rightleftharpoons n \rightleftharpoons m \rightleftharpoons l$ (or $2^{+\bullet} \rightleftharpoons n \rightleftharpoons m \rightleftharpoons l \rightleftharpoons k$). This prevents the observability of a kinetic isotope effect even if the rate-limiting step $k \rightleftharpoons 1^{+\bullet}$ were to be included in the overall interconversion. Finally, the reversible sequence $2^{+\bullet} \rightleftharpoons n \rightleftharpoons p$, involving a 1,2-H shift comparable to that in $l \rightleftharpoons m$, would lead to the observed ratio for ethene loss from $2a^{+\bullet}$ also. (The fact that the hypothetical intermediate *p* does not undergo methyl loss, as will be shown below, does not exclude it from the overall multi-step isomerization process.) In spite of these ambiguous mechanistic implications, the predominant loss of $\text{C}_2\text{H}_3\text{D}$ from $2^{+\bullet}$ corroborates the conclusion drawn from the partial loss of $\text{CH}_2\text{D}^\bullet$ from the same isotopomer, that is, reversibility of the isomerization process $1^{+\bullet} \rightleftharpoons 2^{+\bullet}$.

Higher homologues

The mass spectrometric fragmentation of 2-ethyl-2-methyl-1,3-indandione (**5**) and 2,2-diethyl-1,3-indandione (**6**) corroborates the multi-step rearrangement mechanism proposed in Scheme 4. In the 70 eV mass spectra of these homologues, ions $[\text{M} - \text{CH}_3]^+$ and $[\text{M} - \text{C}_2\text{H}_5]^+$, respectively, are represented by the base peaks (Fig. 3). According to the results discussed above, these fragments are due to rearrangement processes rather than to simple C—C bond cleavages. Thus, the MIKE spectra of ions $5^{+\bullet}$ and $6^{+\bullet}$ (Table 3) exhibit again competitive loss of alkyl and alkene neutrals (along with some carbon monoxide). Here again, the most remarkable feature is the elimination of higher alkenes, viz. C_3H_6 from $5^{+\bullet}$ (6%) and, in the case of $6^{+\bullet}$, C_3H_6 (36%) and even a small amount of C_4H_8 . These fragmentations correspond to the loss of C_2H_4 from $1^{+\bullet}$, with their relative rates reflecting the preferred abstraction of a secondary over a primary hydrogen atom during the various 1,4-H shifts. Hence, the loss of C_4H_8 (and also of the 'McLafferty + 1' neutral $\text{C}_4\text{H}_7^\bullet$) from $6^{+\bullet}$ unequivocally requires the occurrence of two successive and complete ring expansion–recontraction sequences, again suggesting a mutual interconversion of the isomeric 1,3-indandione radical ions $[\text{M}_{(1)}^{+\bullet}, \text{M}_{(2)}^{+\bullet}]$, etc.; cf. Scheme 5).

These results corroborate the finding that the ionized phthaloyl moiety of 1,3-indandiones may act as a bidentate ligand migrating along the aliphatic chain prior to fragmentation (Scheme 5). In fact, the protonated phthaloyl group generated recurrently represents a special case of protonated function groups XH^+ , such

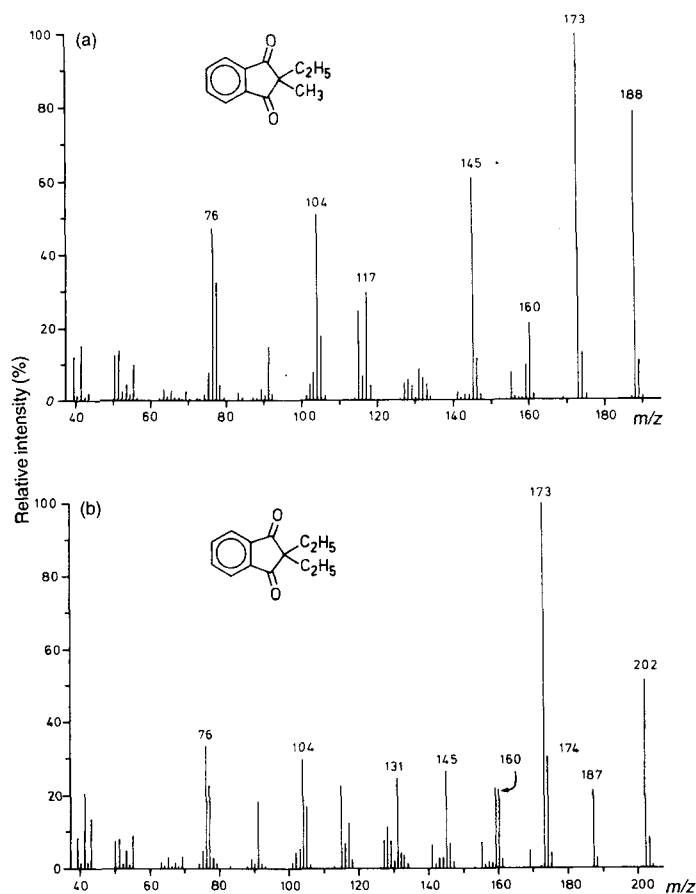


Figure 3. 70 eV EI mass spectra of (top) 2-ethyl-2-methyl-1,3-indandione (**5**) and (bottom) 2,2-diethyl-1,3-indandione (**6**).

as $=\text{COH}^+$, $-\text{C}(\text{OH})_2^+$, $-\text{NH}_3^+$ or $-\text{OH}_2^+$,²⁵ which are known to undergo facile 1,2-shifts at a C_2 unit bearing a radical β to the XH^+ group.

Structure of $[\text{M} - \text{CH}_3]^+$ ions

In contrast to the stable molecular ions of the 1,3-indandiones **1** and **2** (cf. Fig. 2), the corresponding frag-

Table 3. Fragmentation of the metastable molecular ions of 2-ethyl-2-methyl-1,3-indandione (**5**) and 2,2-diethyl-1,3-indandione (**6**) (MIKE spectra, $\Sigma\%$)

Loss of	5	6
CH_3^\cdot	48.0	1.4
H_2O	0.2	0.3
$\text{C}_2\text{H}_4, \text{CO}^a$	46.2	18.4
$\text{C}_2\text{H}_5^\cdot$	—	42.5
C_3H_6	5.8	35.7
$\text{C}_4\text{H}_7^\cdot$	—	1.0
C_4H_8	—	0.8

^a Loss of CO represent minor contributions, as indicated by a broadened foot of the $[\text{M} - 28]^{++}$ signals.

ment ions $[\mathbf{1} - \text{CH}_3]^+$ and $[\mathbf{2} - \text{CH}_3]^+$ exhibit identical MIKE and CID-MIKE spectra [Fig. 4(a) and (b)]. This is in line with the fact that, owing to their higher internal energies, the unstable M^{++} ions may undergo relatively fast interconversion $1^{++} \rightleftharpoons 2^{++}$, prior to fragmentation. Not surprisingly, the CID-MIKE spectra of the $[\text{M} - \text{CH}_3]^+$ ions from the isomeric alkylidene-naphthalides **3** and **4** [Fig. 4(c) and (d)] are mutually identical and closely similar to those of **1** and **2**. Therefore, in all four cases, the loss of CH_3^\cdot leads to $\text{C}_{10}\text{H}_7\text{O}_2^+$ ions of the same structure (or mixture of structures).

As discussed above, the radical cations of simpler carbonyl compounds undergo a three-step isomerization prior to alkyl loss, giving rise to the formation of particularly stable 1-hydroxyallyl ions (Scheme 1, $A \rightarrow C$). Therefore, in the case of the 1,3-indandione ions studied here, it appeared likely that the CH_3^\cdot radical is lost from the distonic ion m (Scheme 4). In fact, the $[\text{M} - \text{CH}_3]^+$ ions formed via m should have the structure of protonated naphthoquinone (j), and hence be fairly stable.

Surprisingly, ions j do not form from $1^{++} - 4^{++}$. This follows unequivocally from the CID-MIKE spectra of $\text{C}_{10}\text{H}_7\text{O}_2^+$ ions generated by either gas-phase protonation $[\text{Cl}(\text{CH}_4)]$ of 1,4-naphthoquinone **7** or by EI-

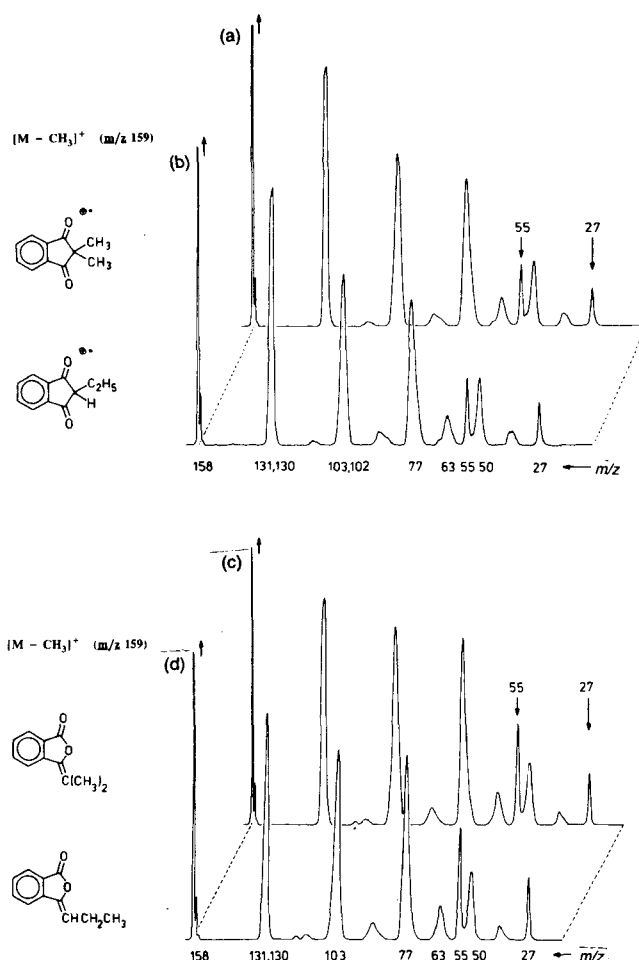


Figure 4. CID-MIKE spectra of the $[\text{M} - \text{CH}_3]^+$ ions (m/z 159) from (top) 1,3-indandiones **1** and **2** and (bottom) 3-methylenephthalides **3** and **4**.

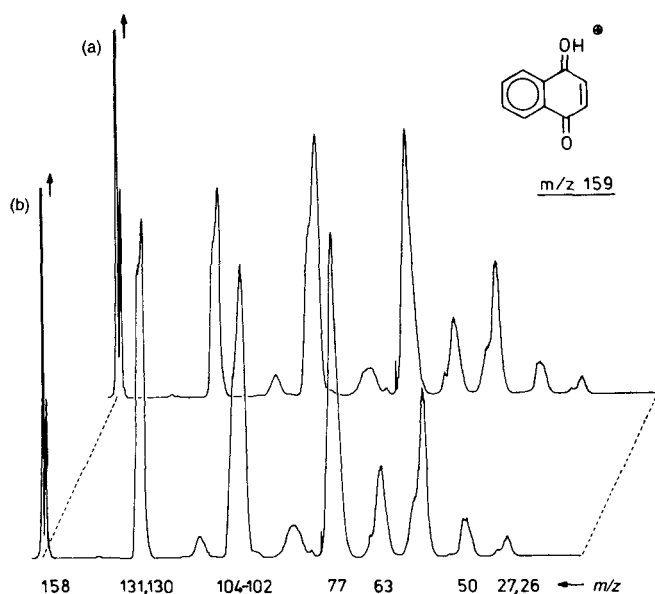


Figure 5. CID-MIKE spectra of $C_{10}H_7O^+$ (m/z 159) ions $[7 + H]^+$ (top) and $[8 - CH_3]^+$ (bottom) (cf. Scheme 7).

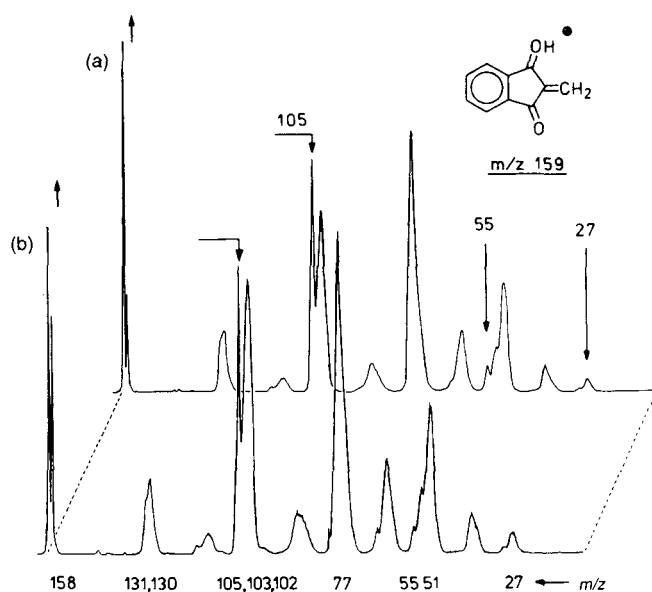


Figure 6. CID-MIKE spectra of $C_{10}H_7O^+$ (m/z 159) ions $[9 - \text{styrene} - \text{benzyl}]^+$ (top) and $[10 - C_5H_5]^+$ (bottom) (cf. Scheme 8).

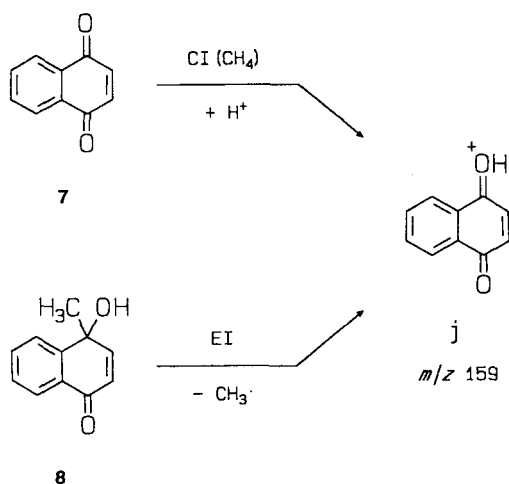
induced methyl loss from the quinol **8** [Fig. 5(a) and (b), Scheme 7]. While the CID spectra of ions $[7 + H]^+$ and $[8 - CH_3]^+$ ($\equiv j$) are identical, they differ from those of the $[M - CH_3]^+$ ions from **1-4** by the almost complete lack of the signals at m/z 55 and 27. Instead, the contribution at m/z 104 is nearly absent in the latter spectra but is pronounced in the spectra of ions j . Hence, clearly, the loss of CH_3^\cdot from the interconverting ions $1^{++}-4^{++}$ does not proceed via the protonated naphthoquinone intermediates j .

As a further possibility, methyl loss from ions $1^{++}-4^{++}$ could occur via the enol ions p formed via the distonic ions n (Scheme 4). While enol ions m and p should have similar heats of formation, loss of CH_3^\cdot from the latter appears less facile since the resulting $C_{10}H_7O_2^+$ ion (i) should be less stable than the isomer j . In fact, the corresponding neutral precursor, 2-methylene-1,3-indandione, is not stable in the condensed phase.²⁶ Nevertheless, the sequence $n \rightleftharpoons p \rightarrow i$ appears more probable an exit channel from the interconverting

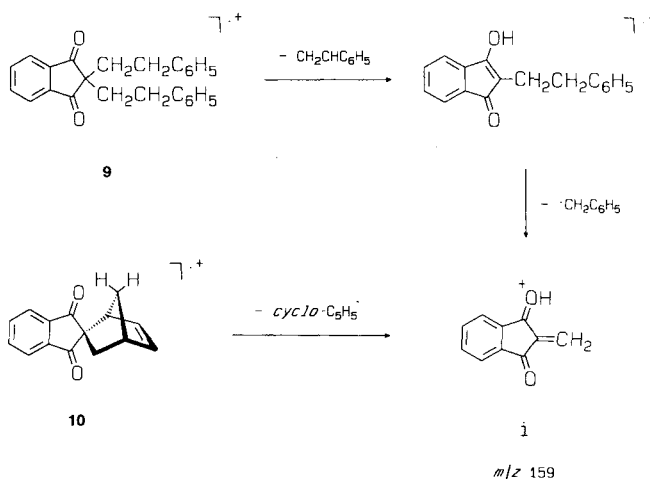
system $1^{++}-4^{++}$ than the loss of CH_3^\cdot from the distonic ion intermediate k (Scheme 4).

However, ions i do not form either. Again, the CID-MIKE spectra of this species [Fig. 6(a) and (b)], generated by EI-induced fragmentation of either 2,2-bis(β -phenylethyl)-1,3-indandione (**9**) or '5,5-phthaloylnorbornene' (**10**) (Scheme 8), is clearly distinct from those obtained for the $[M - CH_3]^+$ ions of **1-4**. In addition to other differences, ions at m/z 55 and 27 occur with very minor abundances, but a pronounced peak at m/z 105 is observed in contrast to all other CID spectra of the $C_{10}H_7O_2^+$ ions studied here. It is therefore obvious that methyl loss from ions $1^{++}-4^{++}$ does not give rise to the formation of O -protonated 2-methylene-1,3-indandione i . Hence neither enol ions p nor in line with previous findings,⁴ distonic ions k represent direct precursors to the $[M - CH_3]^+$ ions of **1-4**.

In summary, both ions i and j have been excluded experimentally as $[M - CH_3]^+$ ions of $1^{++}-4^{++}$, and other $C_{10}H_7O_2^+$ structures, in particular ions g and h



Scheme 7



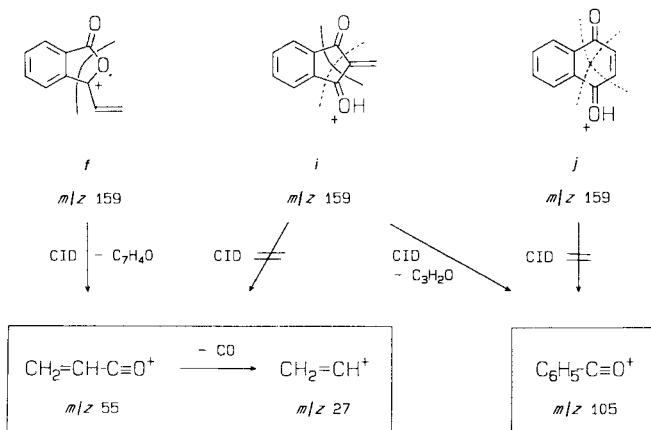
Scheme 8

(Scheme 3), are highly unreasonable candidates by intuition. Ion *f*, however, represents a convincingly good candidate since it may be formed by simple and favourable allylic C—C bond cleavage of 1-propylidene-phthalide ions 4^{++} . The cyclic α -oxybenzyl moiety bearing a stabilizing α -vinyl substituent appears to be a thermochemically favourable structure, in spite of the somewhat destabilizing vinylogous *ortho*-carbonyl group integrated within the lactone ring. On the other hand, this qualitative consideration also accounts for ions *i* and *j*: in both cases, the oxybenzyl carbocationic centre is flanked by a vinyl substituent and a vinylogous *ortho*-carbonyl group. In view of the experimental facts, it follows that the lactone moiety in ions *f* may exert additional stabilization, whereas the unprotonated carbonyl group in ions *j* displays a twofold vinylogous destabilization. In ions *i*, finally, the unfavourable strain of three exocyclic π bonds should considerably destabilize the structure.

As shown above, the formation of ions $C_3H_3O^+$ (m/z 55) and $C_2H_3^+$ (m/z 27) is a characteristic feature for $C_{10}H_7O_2^+$ cations *f*. It is obvious that these fragments originate from the acryloyl moiety of structure *f*, which is expelled upon CID as shown in Scheme 9. In the case of ions *i*, the (at least formally) complementary process takes place, that is, expulsion of the elements of C_3H_2O (as $CH_2=C=C=O$ or, more probably, as C_2H_2 and CO). Obviously, a similar cleavage process is not possible in ions *j*.

Inspection of the CID spectra of the 2H - and ^{13}C -labelled $[M - methyl]^+$ ions generated from indandiones **1a–c** and **2a** corroborates the origin of the m/z 55 and 27 peaks. Figure 7 shows the CID spectrum of ions $[2a - CH_3]^+$ (*f'* and *f''*, Scheme 10), for example, in which both of these signals are shifted cleanly by 1 u. Hence the chemistry of the various $C_{10}H_7O_2^+$ cations studied here appears to be highly interesting in itself.

Referring to the starting point of this investigation, however, it appears striking to note that the $C_3H_3^+$ (m/z 55) ions are just one of the few criteria which allow a distinction, from the standard 70 eV spectra of **1–4** (Fig. 1), between the branched and the unbranched 1,3-indandione and methylenephthalide isomers.



Scheme 9. Specific CID processes of $C_{10}H_7O^+$ (m/z 159) ions *f*, *i* and *j*.

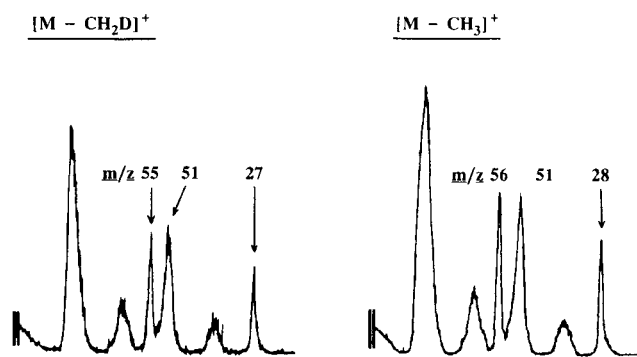
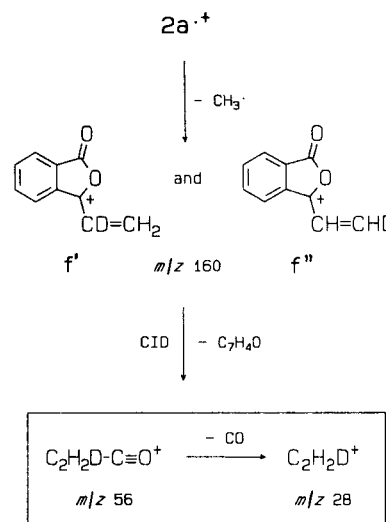


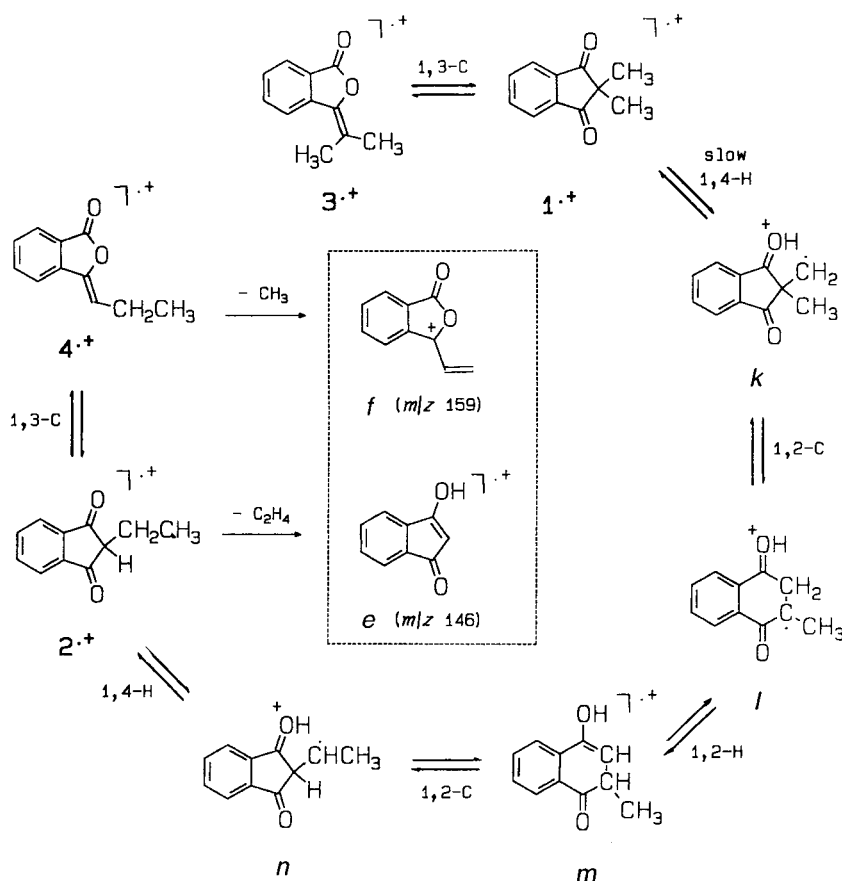
Figure 7. Comparison of the partial CID-MIKE spectra of ions $[M - CH_2D]^+$ (m/z 159) and $[M - CH_3]^+$ (m/z 160) from **2a** (cf. Scheme 10 and Fig. 4).



Scheme 10

CONCLUSION

The molecular cations of simple 2-alkyl-substituted 1,3-indandiones and allied 3-alkylidene-phthalides (**1–4**, **5** and **6**) undergo a complex isomerization process including up to seven consecutive steps prior to expulsion of CH_3^+ and C_2H_4 , as illustrated in Scheme 11. In contrast to simpler radical cations of carbonyl compounds, the former fragmentation does not take place from an ionized enol intermediate but rather from the (unbranched) *n*-propylidene-phthalide isomer. In addition to the readily occurring 1,3-indandione $^{++} \rightleftharpoons$ 3-methylenephthalide $^{++}$ isomerization, the overall rearrangement process includes a five-step interconversion of the radical cations of 1,3-indandiones, which may be generalized, in its literal sense, as a multi-step migration of the ionized, 'bipedal' phthaloyl group along a (neutral) polymethylene chain. In conclusion, this study is regarded as an ample demonstration of the chemical reasoning in the apparently complex behaviour of organic radical cations in the gas phase.



Scheme 11. Multi-step isomerization and primary fragmentation processes of the 1,3-indandione-3-methylenephthalide system 1⁺-4⁺.

EXPERIMENTAL

EI mass spectra (70 eV) were measured with a Finnigan MAT 311 A double-focusing instrument, electron impact (EI) and chemical ionization (CI) MIKE and CID-MIKE spectra were obtained with a Vacuum Generators ZAB-2F double-focusing mass spectrometer equipped with a magnetic sector followed by the electric one. The samples were introduced via the direct inlet probes without additional heating; ion source conditions (EI), electron energy 70 eV, trap current 100 μ A, accelerating voltage 6 kV, source temperature 180 °C, nominal source pressure 10⁻⁴ Pa; (CI), reagent gas methane (Matheson, stated purity >99.95%), electron energy 100 eV, emission current 500 μ A, accelerating voltage 6 kV, source temperature 180 °C, nominal source pressure 0.01 Pa.

High-resolution measurements were performed with a Finnigan MAT 311 A double-focusing mass spectrometer at a resolving power of $m/\Delta m \approx 15000$. For comparison, the measurements were repeated with a Bruker-Spectrospin CMS 47 Fourier transform ion cyclotron resonance (FT-ICR) mass spectrometer at $m/\Delta m \approx 55000$, showing a ratio of $[M - C_2H_4]^+/[M - CO]^+ = 80:20$.

Compounds

2,2-Dimethyl-1,3-indandione (**1**), 2,2-bis([¹³C]methyl)-1,3-indandione (**1a**) and 2,2-bis([D₃]methyl)-1,3-

indandione (**1b**) were prepared by potassium fluoride on Celite (KF/Celite)-assisted alkylation of 1,3-indandione (Merck-Schuchardt) with CH₃I, ¹³CH₃I (MSD Isotopes, 99% ¹³C) or CD₃I (Janssen, >99% D), respectively, according to a procedure given by Bloch and Orvane.²⁶ Purity of the compounds was checked by mass and ¹H NMR spectrometry. **1**: ¹H NMR (80 MHz, CDCl₃), δ (ppm) 1.30 (s, 6 H, CH₃), 7.94 (centred AA'BB' spin system, 4 H; H^{ar}). **1a**: ¹H NMR (80 MHz, CDCl₃), δ (ppm) 1.3 (residual ¹²CH₃ < 4%), (centred AA'BB' spin system, 4 H; H^{ar}). **1b**: ¹H NMR (80 MHz, CDCl₃), δ (ppm) 1.3 (residual CHD₂ < 1%), 7.93 (centred AA'BB' spin system, 4 H; H^{ar}); MS (EI, 70 eV) {[D₆]-M⁺} > 97%. 2-Methyl-2-([D₃]methyl)-1,3-indandione (**1c**) was obtained in the same way²⁶ starting from 2-methyl-1,3-indandione²⁷ and CD₃I. ¹H NMR (300 MHz, CDCl₃), δ (ppm) 1.31 (s, 3 H; CH₃), 7.87 and 8.00 (AA'BB' spin system, 4 H; H^{ar}); MS (EI, 70 eV) {[D₃]-M⁺} > 98%. 2-Ethyl-1,3-indandione (**2**) was prepared as described in the literature.²⁷ For the synthesis of 2-[D]-2-ethyl-1,3-indandione (**2a**), 0.55 g (3.0 mmol) of **2** were reacted in a stoppered bulb with 5.0 g (0.25 mol) of D₂O (99.5% D, Merck) containing 0.5 g of 30% DCl (prepared from PCl₅ and D₂O) at 90 °C overnight. ¹H NMR (250 MHz, CDCl₃), δ (ppm) 0.98 (t, ³J = 7.5 Hz, 3 H; CH₃), 2.02 (q, ³J = 7.5 Hz, 2 H; CH₂), 7.83 and 7.98 (AA'BB' spin system, 4 H; H^{ar}); MS (EI, 70 eV) {[D₁]-M⁺} > 94%.

3-Isopropylidene-phthalide (**3**) and 3-(*n*-propylidene)-phthalide (**4**) were synthesized from phthalic anhydride

and butyric or isobutyric anhydride, respectively, as described in the literature.²⁸

2-Ethyl-2-methyl-1,3-indandione (**5**) and 2,2-diethyl-1,3-indandione (**6**) were synthesized from **2** and the corresponding alkyl iodide as described in the literature.²⁶ The indandiones were purified by Kugelrohr distillation [0.1 mbar (1 bar = 10⁵ Pa)] and recrystallization from *n*-hexane. **5**: m.p. 46–47 °C (lit.^{8b} m.p. 46–47.5 °C); MS (70 eV), see Fig. 3; ¹H NMR (80 MHz, CDCl₃), δ (ppm) 0.74 (*t*, ³*J* = 7.8 Hz, 3 H; CH₂CH₃), 1.27 (*s*, 3 H; CH₃), 1.87 (*q*, ³*J* = 7.5 Hz, 2 H, CH₂CH₃), 7.93 (centred AA'BB' spin system, 4 H; H^{ar}). **6**: m.p. 13–15 °C (lit.^{8c} m.p. 15 °C); MS (70 eV), see Fig. 3; ¹H NMR (80 MHz, CDCl₃), δ (ppm) 0.70 (*t*, ³*J* = 7.8 Hz, 6 H; CH₃), 1.85 (*q*, ³*J* = 7.7 Hz, 4 H, CH₂), 7.93 (centred AA'BB' spin system, 4 H; H^{ar}).

1,4-Naphthoquinone (**7**) was used as purchased (Merck). 1-Methyl-1,4-naphthoquinol (**8**), m.p. 102–103 °C (lit.²⁹ m.p. 103–104 °C) was prepared from **7** and methylolithium as described in the literature.²⁹ MS (70 eV), *m/z* 174 (11, M⁺), 159, (100, [M – CH₃]⁺), 146 (0.25, not corrected), 145 (5), 131 (32), 129 (2), 128 (6), 127 (4), 105 (15), 103 (18), 102 (7), 91 (4), 77 (28). ¹H NMR (300 MHz, CDCl₃), δ (ppm) 1.65 (*s*, 3 H; CH₃), 2.28 (*br, s*, 1 H; OH), 6.31 and 7.03 (AB spin system, ³*J* = 10.2 Hz, 2 H; 2-H and 3-H, respectively), 7.44, 7.64, 7.79, and 8.07 (ABCD spin system, ³*J* ≈ 7.7 Hz, ⁴*J* ≈ 1.0 Hz, 4 H; H^{ar}).

2,2-Bis(β-phenylethyl)-1,3-indandione (**9**) was synthesized³⁰ by twofold alkylation of 1,3-indandione with 1-iodo-2-phenylethane by using the KF/Celite method.^{11d,26} The reaction proceeds very slowly (stirring for 4 days at 70 °C) giving a mixture of **9** and its *C,O*-alkylated isomer, from which **9** is obtained by

repeated recrystallization from light petroleum in 18% yield, m.p. 101–102 °C. ¹H NMR (80 MHz, CDCl₃), δ (ppm) 2.25 (two equivalent AA'BB' spin systems, 8 H; CH₂CH₂), 6.9–7.4 (*m*, 10 H; H^{ph}), 7.90 (centred AA'BB' spin system, 4 H, H^{benzo}).

Spiro[indan-1,3-dione-2,5'-norborn-2'-ene] (**10**), the Diels–Alder adduct of the elusive 2-methylene-1,3-indandione and cyclopentadiene, was prepared by oxidation of 2-methyl-2-(phenylselenyl)-1,3-indandione^{26,31} with H₂O₂ in the presence of an excess of cyclopentadiene, according to a procedure developed by Bloch and Orvane.³¹ The compound was characterized as follows: m.p. 79 °C (from *n*-hexane–CH₂Cl₂ (20:1), lit.³¹ m.p. 79 °C); MS (70 eV, *m/z* 224 (26, M⁺), 223 (2), 181 (2), 178 (2), 159 (39), 152 (3), 115 (2), 105 (5), 104 (8), 103 (3), 102 (7), 91 (4), 77 (8), 76 (16), 66 (100, C₅H₆⁺), 65 (9), 51 (6), 50 (9), 39 (11), 27 (3); ¹H NMR (300 MHz, CDCl₃), δ (ppm) 1.36 (*br d*) and 2.26 (*d* with fine splitting, AB spin system, ²*J* = –8.6 Hz, ⁴*J* ≈ 1.4 Hz, 2 H; CH₂), 1.50 (*dd*) and 2.15 (*dd*, AB spin system, ²*J* = –11.6 Hz, ⁴*J* = 2.8 Hz and ⁴*J* = 3.6 Hz, respectively, 2 H; CH₂), 3.00 (*br s*, 1 H; 4'-H), 3.16 (*very br s*, 1H; bridgehead-CH, 1'-H), 6.00 (*dd*, ³*J* = 5.5 Hz and ³*J* = 3.0 Hz, 1 H; CH=CH), 6.55 (*dd*, ³*J* = 5.5 Hz and ³*J* = 3.1 Hz, 1 H; CH=CH), 7.82 (*m*, 2 H, H^{ar}), 7.93 (*m*, 1 H, H^{ar}), 7.99 (*m*, 1 H, H^{ar}).

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