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*Compositio Mathematica*, tome 70, n° 2 (1989), p. 155-175.

[http://www.numdam.org/item?id=CM\\_1989\\_\\_70\\_2\\_155\\_0](http://www.numdam.org/item?id=CM_1989__70_2_155_0)

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## A construction for quasi-hereditary algebras

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Received 6 May 1988; accepted 15 September 1988

### Introduction

Two different algebraic approaches have been introduced in order to deal with highest weight categories arising in representation theory (for semi-simple complex Lie algebras [BGG] or semisimple algebraic groups) and with the categories of perverse sheaves over suitable spaces [BBD]. One approach starts with the axiomatization of highest weight categories in papers by Cline, Parshall and Scott [S], [CPS], [PS], where it is shown that the highest weight categories with a finite number of weights are just the module categories over finite dimensional algebras which are quasi-hereditary. The other approach is based on descriptions of the categories of perverse sheaves by Mebkhout [Me] and MacPherson and Vilonen [MV]; recently, Mirollo and Vilonen [MiV] have shown that these categories are again equivalent to module categories over certain finite dimensional algebras. The aim of our paper is to exhibit more explicitly the algebras  $A(\gamma)$  studied by Mirollo and Vilonen, and to formulate the precise relationship between this construction and the quasi-hereditary algebras introduced by Cline, Parshall and Scott. In particular, we obtain in this way a construction for all quasi-hereditary algebras. In contrast to the “not so trivial extension” method outlined in [PS], one avoids in this way the use of Hochschild extensions.

Let us outline the construction. Let  $k$  be a perfect field, let  $C, D$  be finite dimensional  $k$ -algebras, assume that  $C$  is quasi-hereditary and  $D$  is semi-simple. Let  ${}_C S_D$  and  ${}_D T_C$  be bimodules such that  ${}_C S$  and  $T_C$  have good filtrations with respect to some heredity chain of  $C$ . Let  $\gamma: {}_C S_D \otimes {}_D T_C \rightarrow {}_C C_C$  be a bimodule map with image in the radical of  $C$ . Then an algebra  $A(\gamma)$  is defined, which again is quasi-hereditary. We obtain all quasi-hereditary algebras by iterating this procedure, starting with  $C$  the zero ring.

**1. The rings  $A(\gamma)$**

Let  $C, D$  be rings (associative, with 1),  ${}_C S_D, {}_D T_C$  bimodules, and  $\gamma: {}_C S_D \otimes {}_D T_C \rightarrow {}_C C_C$  a bimodule homomorphism. These are the data we will work with. In particular, starting from these data, we are going to define a ring  $A(\gamma)$ .

The direct sum of two abelian groups  $M_1, M_2$  will be denoted by  $M_1 + M_2$ , in order to make terms which involve both the direct sum and the tensor product symbol more readable. We denote by  $C \times D$  the product of the rings  $C$  and  $D$ , and we consider  $S + T$  as a  $C \times D$ - $C \times D$ -bimodule (the left action of  $C$  on  $T$  and of  $D$  on  $S$  being zero, and similar conditions hold on the right). Denote by  $\mathcal{T}(S, T)$  the tensor algebra of the  $C \times D$ - $C \times D$ -bimodule  $S + T$ , thus as an additive group

$$\begin{aligned} \mathcal{T}(S, T) = & C + D + S + T + S \otimes_D T + T \otimes_C S + S \otimes_D T \otimes_C S \\ & + T \otimes_C S \otimes_D T + \dots, \end{aligned}$$

with multiplication induced by forming tensor products. Let  $\mathcal{R}(\gamma)$  be the ideal of  $\mathcal{T}(S, T)$  generated by all elements of the form  $s \otimes t - \gamma(s \otimes t)$ , with  $s \in S, t \in T$ . Then, by definition,  $A(\gamma) = \mathcal{T}(S, T)/\mathcal{R}(\gamma)$ . We denote by  $e_C$  the image of the unit element of  $C$  in  $A(\gamma)$ , and by  $e_D$  the image of the unit element of  $D$  in  $A(\gamma)$ . Note that  $e_C, e_D$  are orthogonal idempotents in  $A(\gamma)$  with  $1 = e_C + e_D$ .

We want to investigate properties of  $A(\gamma)$ . Before we do this, let us insert a description of the category of  $A(\gamma)$ -modules. Let  $\mathcal{C}(\gamma)$  be the following category: an object of  $\mathcal{C}(\gamma)$  is of the form  $(X_C, Y_D, \varphi, \psi)$ , where  $\varphi: X_C \otimes {}_C S_D \rightarrow Y_D, \psi: Y_D \otimes {}_D T_C \rightarrow X_C$  such that  $\psi(\varphi \otimes 1_T) = 1_X \otimes \gamma$ ; the maps  $(X, Y, \varphi, \psi) \rightarrow (X', Y', \varphi', \psi')$  are of the form  $(\xi, \eta)$ , where  $\xi: X_C \rightarrow X'_C, \eta: Y_D \rightarrow Y'_D$  such that  $\varphi'(\xi \otimes 1_S) = \eta\varphi$  and  $\psi'(\eta \otimes 1_T) = \xi\psi$ , and the composition of the maps is componentwise. In case both  $C$  and  $D$  are  $k$ -algebras for some field  $k$ , the object  $(X_C, Y_D, \varphi, \psi)$  in  $\mathcal{C}(\gamma)$  is said to be finite dimensional provided both  $X_C$  and  $Y_D$  are finite dimensional over  $k$ .

**PROPOSITION 1:** *The category of (right)  $A(\gamma)$ -modules is equivalent to  $\mathcal{C}(\gamma)$ . In case both  $C$  and  $D$  are  $k$ -algebras over some field  $k$ , the finite dimensional  $A(\gamma)$ -modules correspond to the finite dimensional objects in  $\mathcal{C}(\gamma)$ , under such an equivalence.*

*Proof:* This can be easily verified. For the convenience of the reader, we outline the construction of the relevant functors. Given an object  $(X_C, Y_D, \varphi, \psi)$

in  $\mathcal{C}(\gamma)$ , then  $X + Y$  is canonically a right  $\mathcal{T}(S, T)$ -module, and the condition  $\psi(\varphi \otimes 1_T) = 1_X \otimes \gamma$  implies that the  $\mathcal{T}(S, T)$ -module  $X + Y$  is annihilated by  $\mathcal{R}(\gamma)$ , thus it is an  $A(\gamma)$ -module. Conversely, given a right  $A(\gamma)$ -module  $M$ , then  $M = Me_C + Me_D$ , and  $Me_C$  may be considered as a right  $C$ -module,  $Me_D$  as a right  $D$ -module, and the operation of  $A(\gamma)$  on  $M$  gives, in addition, maps  $\varphi: Me_C \otimes_C S_D \rightarrow Me_D$ ,  $\psi: Me_D \otimes_D T_C \rightarrow Me_C$ , which satisfy  $\psi(\varphi \otimes 1_T) = 1_{Me_C} \otimes \gamma$ .

REMARK: The objects in  $\mathcal{C}(\gamma)$  may be exhibited also in an alternative way: Instead of specifying a map  $\psi: Y_D \otimes_D T_C \rightarrow X_C$ , one may consider the adjoint map  $\tilde{\psi}: Y_D \rightarrow \text{Hom}_{C(D)T_C}(X_C)$ . Note that  $\gamma$  induces a natural transformation  $\gamma^*: F \rightarrow G$ , where  $F = - \otimes_C S_D$  and  $G = \text{Hom}_{C(D)T_C}(-)$  are considered as functors from the category of  $C$ -modules to the category of  $D$ -modules, namely  $\gamma_X^* = \overline{1_X \otimes \gamma}$ , for any  $C$ -module  $X$ . The condition  $\psi(\varphi \otimes 1_T) = 1_X \otimes \gamma$  translates to the condition  $\tilde{\psi}\varphi = \gamma_X^*$ , thus the commutation of the triangle

$$\begin{array}{ccc}
 F(X) & \xrightarrow{\gamma_X^*} & G(X) \\
 \searrow \varphi & & \nearrow \tilde{\psi} \\
 & Y &
 \end{array}$$

This is the form of the objects considered by Mirolla and Vilonen in [MiV]. They start with a right exact functor  $F$ , a left exact functor  $G$ , and a natural transformation  $\eta: F \rightarrow G$ . It has been used in [MiV] that under their assumptions, any right exact functor  $F$  is a tensor product functor, any left functor  $G$  is a Hom functor. But also, any natural transformation  $\eta: F \rightarrow G$ , where  $F = - \otimes_C S_D$  and  $G = \text{Hom}_{C(D)T_C}(-)$ , is induced by a bimodule homomorphism  ${}_C S_D \rightarrow \text{Hom}_{C(D)T_C}({}_C C_C)$ , namely by  $\eta_X$ , where  $X = C_C$  (note that this  $\eta_X$  is not only a map of right  $D$ -modules, but also commutes with the left action by  $C$ , using the naturality condition). However, the bimodule homomorphisms  ${}_C S_D \rightarrow \text{Hom}_{C(D)T_C}({}_C C_C)$  correspond bijectively to the bimodule homomorphism  ${}_C S_D \otimes_D T_C \rightarrow {}_C C_C$ , to the case considered above is the general case.

PROPOSITION 2: *The subgroup*

$$C + D + S + T + T \otimes_C S$$

of  $\mathcal{T}(S, T)$  is a direct complement of  $\mathcal{R}(\gamma)$ .

*Proof:* Let  $\mathcal{T}_0 = C \times D$ , and  $\mathcal{T}_{n+1} = \mathcal{T}_n \otimes_{C \times D} (S + T)$ , for  $n \in \mathbb{N}_0$ . Thus  $\mathcal{T} = \mathcal{T}(S, T) = \bigoplus_{n \geq 0} \mathcal{T}_n$ . By induction on  $n$ , one easily shows that  $\mathcal{T}_n$  is contained in  $C + D + S + T + T \otimes_C S + \mathcal{R}(\gamma)$ . On the other hand, let  $u \in \mathcal{R}(\gamma)$ , say  $u = \sum x_j(s_j \otimes t_j - \gamma(s_j \otimes t_j))y_j \in C + D + S + T + T \otimes_C S$ , with  $s_j \in S$ ,  $t_j \in T$ , and  $x_j, y_j \in \mathcal{T}$ . We can assume  $x_j \in \mathcal{T}_{n_j}$ ,  $y_j \in \mathcal{T}_{m_j}$  for some  $n_j, m_j \in \mathbb{N}_0$ . For any  $i$ , let  $I(i)$  be the set of all  $j$  with  $n_j + m_j = i$ . Then  $v_i := \sum_{j \in I(i)} x_j(s_j \otimes t_j)y_j \in \mathcal{T}_{i+2}$ , and  $w_i := \sum_{j \in I(i)} x_j \gamma(s_j \otimes t_j)y_j \in \mathcal{T}_i$ . Note that  $v_i = 0$  implies  $w_i = 0$ , since  $w_i$  is the image of  $v_i$  under the linear map  $1 \otimes \gamma \otimes 1: \mathcal{T} \otimes_{C \times D} (S \otimes_D T) \otimes_{C \times D} \mathcal{T} \rightarrow \mathcal{T} \otimes_{C \times D} \mathcal{T}$ . Now, if  $u = \sum_i (v_i + w_i)$  is non-zero, then choose  $n$  maximal with  $v_n \neq 0$ . Then  $u - v_n$  belongs to  $\bigotimes_{i \leq n+1} \mathcal{T}_i$ , whereas  $v_n$  is non-zero in  $\mathcal{T}_{n+2}$ . However, we also assume that  $u$  belongs to  $\mathcal{T}_0 + \mathcal{T}_1 + T \otimes_C S$ . It follows that  $n = 0$  and that  $v_n$  belongs both to  $S \otimes T$  and  $T \otimes S$ . But these additive subgroups of  $\mathcal{T}_2$  intersect trivially, thus  $u = 0$ .

**COROLLARY 1:** *Let  $k$  be a field. If  $C, D$  are finite dimensional  $k$ -algebras and  $S, T$  are finite dimensional over  $k$ , with  $k$  operating centrally on them, then  $A(\gamma)$  is a finite dimensional  $k$ -algebra.*

Note that this corollary is essentially due to Mirollo–Vilonen. In [MiV], they have shown that under the given assumptions,  $\mathcal{C}(\gamma)$  is equivalent to the module category over a finite dimensional  $k$ -algebra  $A$ . This algebra is not specified further, but by Morita theory,  $A$  has to be Morita equivalent to our  $A(\gamma)$ .

**COROLLARY 2:** *The canonical projection  $\mathcal{T}(S, T) \rightarrow A(\gamma)$  induces the following identifications:*

$$e_c A(\gamma) e_c = C, \quad e_D A(\gamma) e_D = D + T \otimes_C S, \quad e_c A(\gamma) e_D = S,$$

$$e_D A(\gamma) e_c = T.$$

**REMARK:** The ring structure of  $D' := e_D A(\gamma) e_D = D + T \otimes_C S$  is given by the following multiplication:

$$(d, t \otimes s) (d', t' \otimes s') + (dd', dt' \otimes s' + t \otimes sd' + t\gamma(s \otimes t') \otimes s'),$$

for  $d, d' \in D$ ;  $t, t' \in T$ , and  $s, s' \in S$ . The right  $e_D A(\gamma) e_D$ -module structure on  $e_c A(\gamma) e_D = S$  is given by

$$s \cdot (d, t \otimes s') = sd + \gamma(s \otimes t)s',$$

for  $s, s' \in S$ ;  $d \in D$  and  $t \in T$ ; similarly, the left  $e_D A(\gamma)e_D$ -module structure on  $e_D A(\gamma)e_C = T$  is given by

$$(d, t \otimes s)t' = dt' + t\gamma(s \otimes t'),$$

for  $d \in D$ ;  $t, t' \in T$  and  $s \in S$ . Finally, the multiplication yields a map

$$e_D A(\gamma)e_C \otimes_C e_C A(\gamma)e_D \rightarrow e_D A(\gamma)e_D$$

which is just the inclusion  $T \otimes_C S \rightarrow D + T \otimes_C S$ , and a map

$$e_C A(\gamma)e_D \otimes_{D'} e_D A(\gamma)e_C \rightarrow e_C A(\gamma)e_C$$

which is induced by  $\gamma: S \otimes_D T \rightarrow C$ . Note that these data form “pre-equivalence data” in the sense of [B] p. 61. Of course, one may obtain a different proof of proposition 2 by defining first the multiplication on  $D' = D + T \otimes_C S$ , then a right  $D'$ -module structure on  $S$  and a left  $D'$ -module structure on  $T$  as above, and verifying the various associativity conditions in order to be sure to deal with “pre-equivalence data”. Then  $A(\gamma)$  may be defined as the matrix ring

$$\begin{bmatrix} C & S \\ T & D' \end{bmatrix}.$$

Observe that in the ring  $e_D A(\gamma)e_D = D + T \otimes_C S$ , the subgroup  $e_D A(\gamma)e_C A(\gamma)e_D = T \otimes_C S$  is an ideal, that this ideal is complemented by the subring  $D$ , and that the multiplication map

$$e_D A(\gamma)e_C \otimes_{e_C A(\gamma)e_C} e_C A(\gamma)e_D \rightarrow e_D A(\gamma)e_C A(\gamma)e_D$$

is bijective. These properties in fact yield a characterization of the construction, as we will show in the next proposition.

In general, given a ring  $A$  and an idempotent  $e$ , the multiplication map

$$(1 - e)Ae \otimes_{eAe} eA(1 - e) \rightarrow (1 - e)AeA(1 - e)$$

is bijective if and only if the multiplication map

$$Ae \otimes_{eAe} eA \rightarrow AeA$$

is bijective. For, the multiplication map  $Ae \otimes_{AeA} eA \rightarrow AeA$  is the direct sum of the four multiplication maps  $e_1 Ae \otimes_{eAe} eAe_2 \rightarrow e_1 AeAe_2$ , where  $e_1, e_2 \in \{e, 1 - e\}$ , and, for trivial reasons, three of the four are always bijective, namely those when  $e_1$  or  $e_2$  is equal to  $e$ .

**PROPOSITION 3:** *Let  $A$  be a ring, let  $e$  be an idempotent of  $A$ . Assume that the multiplication map  $Ae \otimes_{eAe} eA \rightarrow AeA$  is bijective and that there is a subring  $D$  of  $(1 - e)A(1 - e)$  such that  $(1 - e)A(1 - e) = (1 - e)AeA(1 - e) + D$ . Let  $C = eAe$ ,  $S = eA(1 - e)$ ,  $T = (1 - e)Ae$ , and  $\gamma: S \otimes_D T \rightarrow C$  the multiplication map. Then  $A$  is isomorphic to  $A(\gamma)$ .*

*Proof:* There is an obvious ring surjection  $\mathcal{F}(S, T) \rightarrow A$  which maps  $\mathcal{R}(\gamma)$  to zero. Thus we obtain a surjective map  $A(\gamma) \rightarrow A$ . The kernel will be a subset of  $T \otimes_C S \subseteq A(\gamma)$ . However, since the multiplication map  $(1 - e)Ae \otimes_{eAe} eA(1 - e) \rightarrow (1 - e)AeA(1 - e)$  is bijective, the kernel of  $A(\gamma) \rightarrow A$  is zero. Thus  $A$  is isomorphic to  $A(\gamma)$ .

## 2. Morita equivalence

The structure of  $A(\gamma)$  strongly depends on the bimodule map  $\gamma$ . Assume that there are given additional bimodules  ${}_C S'_D$  and  ${}_D T'_C$  and a bimodule map  $\gamma': {}_C S'_D \otimes_D T'_C \rightarrow {}_C C_C$ . Then we denote by  $\gamma \perp \gamma'$  the bimodule map  ${}_C(S + S')_D \otimes_D (T + T')_C \rightarrow {}_C C_C$  with  $\gamma = \gamma \perp \gamma' | S \otimes T$ ,  $\gamma' = \gamma \perp \gamma' | S' \otimes T'$ ,  $0 = \gamma \perp \gamma' | S \otimes T'$ , and  $0 = \gamma \perp \gamma' | S' \otimes T$ . If  ${}_D M_C$  is a bimodule, let  ${}_C \tilde{M}_D = \text{Hom}_C({}_D M_C, {}_C C_C)$  and  $\varepsilon_M: {}_C \tilde{M}_D \otimes_D M_C \rightarrow {}_C C_C$  the evaluation map ( $\varepsilon(\varphi \otimes m) = \varphi(m)$ ).

**PROPOSITION 4:** *Let  ${}_D P_C$  be a bimodule with  $P_C$  finitely generated projective. Then  $A(\gamma)$  and  $A(\gamma \perp \varepsilon_P)$  are Morita equivalent algebras.*

*Proof:* We show that the categories  $\mathcal{C}(\gamma)$  and  $\mathcal{C}(\gamma \perp \varepsilon_P)$  are equivalent. Let  $\iota_S: {}_C S_D \rightarrow {}_C(S + \tilde{P})_D$  be the inclusion map,  $\pi_T: {}_D(T + P)_C \rightarrow {}_D T_C$  the canonical projection. For any  $C$ -module  $X_C$ , we obtain the following commutative diagram

$$\begin{array}{ccc}
 X_C \otimes {}_C S_D & \xrightarrow{\gamma \tilde{\chi}} & \text{Hom}_C({}_D T_C, X_C) \\
 \downarrow 1 \otimes \iota_S & & \downarrow \text{Hom}(\pi_T, 1) \\
 X_C \otimes {}_C(S + \tilde{P})_D & \xrightarrow{(\gamma \perp \varepsilon_P) \tilde{\chi}} & \text{Hom}_C({}_D(T + P)_C, X_C).
 \end{array}$$

Note that the bottom map can be written in the form

$$X_C \otimes {}_C S_D + X_C \otimes {}_C \tilde{P}_D \xrightarrow{\begin{bmatrix} \gamma_X^* & 0 \\ 0 & (\varepsilon_P)_X^* \end{bmatrix}} \text{Hom}_C({}_D T_C, X_C) + \text{Hom}_C({}_D P_C, X_C),$$

and, since  $P_C$  is finitely generated projective,  $(\varepsilon_P)_X^*$  is bijective, for all  $X_C$ . It follows that  $1 \otimes \iota_S$  and  $\text{Hom}(\pi_T, 1)$  induce isomorphisms  $\text{Ker } \gamma_X^* \rightarrow \text{Ker}(\gamma \perp \varepsilon)_X^*$  and  $\text{Cok } \gamma_X^* \rightarrow \text{Cok}(\gamma \perp \varepsilon)_X^*$ . So we can apply proposition 1.2 of the MacPherson–Vilonen paper [MV].

**REMARK:** Observe that there exists an idempotent  $e$  in  $A(\gamma \perp \varepsilon_P)$  such that  $eA(\gamma \perp \varepsilon_P)e$  is isomorphic to  $A(\gamma)$  (so that  $eA(\gamma \perp \varepsilon)_{A(\gamma \perp \varepsilon)}$  with  $\varepsilon = \varepsilon_P$  is a progenerator). Such an idempotent  $e$  may be constructed as follows: Let  $E = \text{End } P_C$ . Since  $P_C$  is finitely generated projective, there is a bimodule isomorphism  ${}_E P_C \otimes {}_C \tilde{P}_E \rightarrow {}_E E_E$ , defined by  $p \otimes \alpha \mapsto (p' \mapsto p\alpha(p'))$ , for  $p \in P$  and  $\alpha \in \tilde{P}$ , see [B], p. 68. In particular, there is a finite set of elements  $p_i \in P$ ,  $\alpha_i \in \tilde{P}$  such that  $p = \sum_i p_i \alpha_i(p)$  for all  $p \in P$ , namely, let  $f = \sum p_i \otimes \alpha_i$  be the element in  $P \otimes \tilde{P}$  which is mapped to  $1_E$ . Since  ${}_D P_C$  is a  $D$ - $C$ -bimodule, and  $E = \text{End } P_C$ , the  $D$ - $D$ -submodule of  ${}_D P_C \otimes {}_C \tilde{P}_D$  generated by  $f$  is isomorphic to  ${}_D D_D$ . We consider  $f$  as an element of  $(T + P) \otimes_C (S + \tilde{P}) \subseteq A(\gamma \perp \varepsilon)$ . It is an idempotent and  $e_D f = f = f e_D$ . Let  $e = 1 - f$ . Then  $e = (e_D - f) + e_C$ , where  $e_D - f$  and  $e_C$  are orthogonal idempotents. If we identify  $\mathcal{C}(\gamma \perp \varepsilon_P)$  with the category of  $A(\gamma \perp \varepsilon_P)$ -modules, and  $\mathcal{C}(\gamma)$  with the category of  $A(\gamma)$ -modules, then we obtain an equivalence  $\mathcal{C}(\gamma \perp \varepsilon_P) \rightarrow \mathcal{C}(\gamma)$  by multiplying with the idempotent  $e$ .

**COROLLARY 1:** *Let  ${}_D P_C$  be a bimodule with  $P_C$  finitely generated projective. Then  $A(\varepsilon_P)$  is Morita equivalent to  $C \times D$ .*

The map  $\gamma: {}_C S_D \otimes {}_D T_C \rightarrow {}_C C_C$  will be said to be *non-degenerate* provided  $\gamma(s \otimes t) = 0$  for all  $t \in T$  implies  $s = 0$ , and  $\gamma(s \otimes t) = 0$  and all  $s \in S$  implies  $t = 0$ .

**COROLLARY 2:** *Let  $C$  be semisimple artinian and  $T_C$  finitely generated and assume  $\gamma$  is non-degenerate. Then  $A(\gamma)$  is Morita equivalent to  $C \times D$ .*

*Proof:* Since  $C$  is semisimple artinian,  $T_C$  is also projective. Since  $\gamma$  is non-degenerate, we can identify  ${}_C S_D$  with  ${}_C \tilde{T}_D$  so that  $\gamma = \varepsilon_T$ . Corollary 1 shows that  $A(\gamma)$  is Morita equivalent to  $C \times D$ .



### 3. Semiprimary rings

Recall that a ring  $A$  is called *semiprimary* provided there exists a nilpotent ideal  $N$  such that  $A/N$  is semisimple artinian. Clearly, if such an ideal  $N$  exists, it is uniquely determined and is called the radical of  $A$ ; we will denote it by  $N(A)$ . In particular, any finite dimensional algebra over a field  $k$  is a semiprimary ring.

We assume that both  $C$  and  $D$  are semiprimary. As before, there is given a bimodule map  $\gamma: {}_C S_D \otimes_D T_C \rightarrow {}_C C_C$ . We denote by  $S'$  the set of all elements  $s \in S$  satisfying  $\gamma(s \otimes t) \in N(C)$  for all  $t \in T$ . Similarly, we denote by  $T'$  the set of all elements  $t \in T$  satisfying  $\gamma(s \otimes t) \in N(C)$  for all  $s \in S$ . Note that  $S'$  is a  $C$ - $D$ -submodule of  $S$  with  $N(C)S \subseteq S'$ , and  $T'$  is a  $C$ - $D$ -submodule of  $T$  with  $TN(C) \subseteq T'$ . The kernel of the canonical map

$$T \otimes_C S \rightarrow (T/T') \otimes_C (S/S')$$

will be denoted by  $U$ . Let  $\bar{C} = C/N(C)$ . Since  $S/S'$  is annihilated by  $N(C)$  from the left, and  $T/T'$  is annihilated by  $N(C)$  from the right, we may consider  $S/S'$  as a left  $\bar{C}$ -module and  $T/T'$  as a right  $\bar{C}$ -module, and  $\gamma$  induces a bimodule map

$$\bar{\gamma}: {}_{\bar{C}}(S/S') \otimes_D (T/T')_{\bar{C}} \rightarrow {}_{\bar{C}} \bar{C}_{\bar{C}}.$$

**PROPOSITION 5:** *The subset  $I := N(C) + S' + T' + U$  of  $A(\gamma)$  is a nilpotent ideal, and  $A(\gamma)/I = A(\bar{\gamma})$ .*

*Proof:* The canonical maps yield an exact sequence

$$T \otimes_C S' + T' \otimes_C S \rightarrow T \otimes_C S \rightarrow (T/T') \otimes_C (S/S') \rightarrow 0,$$

thus  $U$  is generated by the image of  $T \otimes_C S'$  and  $T' \otimes_C S$  in  $T \otimes_C S$ . It follows that  $UT \subseteq T'$ , since for  $t \in T, s' \in S'$ , and for  $t' \in T', s \in S$ , we have

$$(t \otimes s') \cdot T \subseteq T \cdot N(C) \subseteq T', \quad (t' \otimes s) \cdot T \subseteq T' C \subseteq T',$$

and similarly,  $SU \subseteq S'$ . As a consequence,  $I$  is an ideal of  $A(\gamma)$ . Also,  $A(\gamma)/I = A(\bar{\gamma})$ . It remains to show that  $I$  is nilpotent. However, any element of  $I^m$  is a sum of monomials  $x_1 x_2 \dots x_m$  with  $x_i$  in  $N(C), N(D), S', T', TS'$  or  $ST'$ . Since there exists  $n$  with  $N(C)^n = 0 = N(D)^n$ , it follows easily that  $I^m = 0$  for large  $m$ . This completes the proof.

**COROLLARY 1:** *Assume  $(T/T')_C$  is finitely generated. Then  $A(\gamma)$  is semiprimary.*

*Proof:* Clearly,  $\bar{\gamma}$  is non-degenerate, thus  $A(\bar{\gamma})$  is Morita equivalent to  $D \times \bar{C}$ , by corollary 2 to proposition 4. In particular,  $A(\bar{\gamma})$  is semiprimary. Since  $I$  is nilpotent, also  $A(\gamma)$  is semiprimary.

**COROLLARY 2:** *Assume the image of  $\gamma$  is contained in  $N(C)$ . Then  $N(A(\gamma)) = N(C) + N(D) + S + T + T \otimes_C S$ , and  $A(\gamma)/N(A(\gamma)) = C/N(C) \times D/N(D)$ .*

*Proof:* Since the image of  $\gamma$  is contained in  $N(C)$ , we have  $S' = S, T' = T$ , thus  $U = T \otimes_C S$ . Also,  $A(\bar{\gamma}) = \bar{C} \times D$ , and the radical of  $A(\gamma)$  is  $0 \times N(D)$ .

Recall that a semiprimary ring  $A$  is said to be *basic* provided  $A/N(A)$  is a product of division rings. Any semiprimary ring is Morita equivalent to a uniquely determined basic semiprimary ring.

**COROLLARY 3:** *If  $C, D$  are basic and the image of  $\gamma$  is contained in  $N(C)$ , also  $A(\gamma)$  is basic.*

**REMARK:** It is not difficult to see that all the conditions are also necessary in order to have  $A(\gamma)$  basic.

Now assume that both  $C$  and  $D$  are finite dimensional  $k$ -algebras and that the bimodules  ${}_C S_D$  and  ${}_D T_C$  are finite dimensional over  $k$ , with  $k$  operating centrally on them. As we have seen, for any  $\gamma: {}_C S_D \otimes {}_D T_C \rightarrow {}_C C_C$ , the ring  $A(\gamma)$  is a finite dimensional  $k$ -algebra. We consider now the special case  $D = k$ .

**PROPOSITION 6:** *Let  $D = k$ . Then  $\gamma = \gamma' \perp \varepsilon_P$ , where  $P_C$  is (finitely generated) projective, and the image of  $\gamma'$  is contained in  $N(C)$ . In particular,  $A(\gamma')$  is the basic algebra Morita equivalent to  $A(\gamma)$ .*

*Proof:* In case the image  $\gamma$  is contained in  $N(C)$ , let  $\gamma' = \gamma$  and  $P = 0$ . So assume the image of  $\gamma$  is not contained in  $N(C)$ . Since the image of  $\gamma$  is a  $C$ - $C$ -subbimodule, it has to contain a primitive idempotent  $e$  of  $C$ . Thus, let  $s_i \in S, t_i \in T$  with  $\gamma(\sum s_i \otimes t_i) = e$ . Without loss of generality, we can assume  $s_i = es_i, t_i = t_i e$  for all  $i$ . For some  $i$ , we must have  $\gamma(s_i \otimes t_i) \notin N(C)$ , thus  $\gamma(s_i \otimes t_i) \in eCe \setminus N(eCe)$ . But  $eCe$  is a local ring, thus there is some  $ece$  with  $e = \gamma(s_i \otimes t_i)ece = \gamma(s_i \otimes t_i ece)$ . This shows that there is  $s = es \in S$  and  $t = te \in T$  such that  $\gamma(s \otimes t) = e$ .

Note that the canonical map  $Ce \rightarrow Cs$ , given by  $ce \mapsto ces$  is bijective: it is surjective, since  $s = es$ , and if  $xs = 0$ , then  $0 = \gamma(xs \otimes t) = x\gamma(s \otimes t) = xe$ , thus it is also injective. Similarly, the canonical map  $eC \rightarrow tC$  is bijective. It follows that  $tC$  is a projective right  $C$ -module and that we may identify  $Cs$  with  $\widetilde{tC}$  such that  $\gamma|_{Cs \otimes_k tC}$  is equal to  $\varepsilon_{tC}$ .

Let  $S'$  be the set of all  $s' \in S$  with  $\gamma(s' \otimes t) = 0$ , and  $T'$  the set set of all  $t' \in T$  such that  $\gamma(s \otimes t') = 0$ . We claim

$$S = S' + Cs \quad \text{and} \quad T = T' + tC.$$

For, if  $c \in C$  and  $cs \in S'$ , then  $0 = \gamma(cs \otimes t) = c\gamma(s \otimes t) = ce$ , thus  $cs = 0$ , and so  $S' \cap Cs = 0$ . On the other hand, given  $u \in S$ , then  $u - \gamma(u \otimes t)s$  belongs to  $S'$ , since

$$\begin{aligned} \gamma((u - \gamma(u \otimes t)s) \otimes t) &= \gamma(u \otimes t) - \gamma(\gamma(u \otimes t)s \otimes t) \\ &= \gamma(u \otimes te) - \gamma(u \otimes t)\gamma(s \otimes t) \\ &= \gamma(u \otimes t)e - \gamma(u \otimes t)e = 0. \end{aligned}$$

thus  $u \in S' + Cs$ . The dual arguments give the second assertion.

Let  $\gamma'$  be the restriction of  $\gamma$  to  $S' \otimes_k T'$ . Since  $\gamma|_{S' \otimes_k tC}$  and  $\gamma|_{Cs \otimes_k T'}$  both are zero, we see that  $\gamma = \gamma' \perp \varepsilon_{tC}$ . The proof of the proposition can be completed by using induction: the process of splitting off bimodule maps must stop since we deal with finite dimensional modules.

Note that  $A(\gamma')$  is basic by corollary 2 to proposition 5, and is Morita equivalent to  $A(\gamma)$  by proposition 4.

#### 4. Quasi-hereditary algebras

We recall the relevant definitions. The rings considered will usually be assumed to be semiprimary. An ideal  $J$  of  $A$  is said to be a *heredity* ideal of  $A$ , if  $J^2 = J$ ,  $JN(A)J = 0$ , and  $J$ , considered as right  $A$ -module, is projective. The (semiprimary) ring  $A$  is called *quasi-hereditary* if there exists a chain  $\mathcal{J} = (J_i)_i$  of ideals

$$0 = J_0 \subset J_1 \subset \cdots \subset J_m = A$$

of  $A$  such that, for any  $1 \leq t \leq m$ , the ideal  $J_t/J_{t-1}$  is a heredity ideal of  $A/J_{t-1}$ . Such a chain of ideals is called a *heredity chain*.

Let  $A$  be quasi-hereditary with heredity chain  $\mathcal{J} = (J_i)_{0 \leq i \leq m}$ . Given an  $A$ -module  $X_A$  the chain of submodules

$$0 = XJ_0 \subseteq XJ_1 \subseteq \cdots \subseteq XJ_m = X$$

will be called the  $\mathcal{J}$ -filtration of  $X_A$ . We say that the  $\mathcal{J}$ -filtration of  $X_A$  is *good*, provided  $XJ_i/XJ_{i-1}$  is a projective  $A/J_{i-1}$ -module, for  $0 \leq i \leq m$ , and similarly for left modules.

**THEOREM 1:** *Let  $A$  be a semi-primary ring, and  $e$  an idempotent of  $A$ , let  $C = eAe$ . The following conditions are equivalent:*

- (i) *There exists a heredity chain for  $A$  containing  $AeA$ .*
- (ii) *Both rings  $C$  and  $A/AeA$  are quasi-hereditary, the multiplication map*

$$Ae \otimes_C eA \rightarrow AeA$$

*is bijective, and there exists a heredity chain  $\mathcal{J}$  of  $C$  such that the  $\mathcal{J}$ -filtrations of  $(Ae)_C$  and  ${}_C(eA)$  are good.*

- (iii) *Both rings  $C$  and  $A/AeA$  are quasi-hereditary, the multiplication map*

$$(1 - e)Ae \otimes_C eA(1 - e) \rightarrow (1 - e)AeA(1 - e)$$

*is bijective, and there exists a heredity chain  $\mathcal{J}$  of  $C$  such that the  $\mathcal{J}$ -filtrations of  $((1 - e)Ae)_C$  and  ${}_C(eA(1 - e))$  are good.*

The proof of the theorem requires some preparation. Note that an ideal  $J$  of  $A$  satisfies  $J^2 = J$  if and only if there exists an idempotent  $e$  of  $A$  with  $J = AeA$ .

**PROPOSITION 7:** *Let  $e$  be an idempotent in a quasi-hereditary ring  $A$  such that  $AeA$  belongs to a heredity chain. Then the multiplication map  $Ae \otimes_{eAe} eA \rightarrow AeA$  is bijective.*

*Proof:* In case  $AeA$  is a heredity ideal, the result is known, see the appendix of [DR]. We proceed by induction on  $t$ , where

$$0 = J_0 \subset J_1 \subset \cdots \subset J_t = AeA \subset \cdots \subset J_m = A$$

is a heredity chain of  $A$ .

Let  $J = J_{t-1}$ . Let  $\bar{A} = A/J$ , and denote by  $\bar{e}$  the image of  $e$  in  $\bar{A}$ . Let  $e = \sum_{i=1}^s e_i$  with orthogonal primitive idempotents  $e_i$ . We can assume that  $e_1, \dots, e_s$  are ordered in such a way that  $e_i \in J$  if and only if  $i \leq s'$ . Let  $f = \sum_{i=1}^{s'} e_i$ . Then  $J = AfA$  and  $f = ef = fe$ , thus  $fAf \subseteq eAe$ .

We claim that the following sequence

$$Af \otimes_{fAf} fA \xrightarrow{\varphi} Ae \otimes_{eAe} eA \xrightarrow{\psi} \bar{A}\bar{e} \otimes_{\bar{e}\bar{A}\bar{e}} \bar{e}\bar{A} \rightarrow 0$$

with  $\varphi$  induced by inclusion maps, and  $\psi$  induced by the canonical surjections, is exact. For the proof, we proceed as follows. The canonical exact sequence

$$0 \rightarrow AfAe \rightarrow Ae \rightarrow Ae/AfAe \rightarrow 0$$

of right  $eAe$ -modules is tensored on the right with  ${}_{eAe}eA$ , thus we obtain

$$AfAe \otimes_{AeA} eA \xrightarrow{\varphi_1} Ae \otimes_{eAe} eA \xrightarrow{\psi_1} (Ae/AfAe) \otimes_{eAe} eA \rightarrow 0.$$

We tensor the canonical exact sequence

$$0 \rightarrow eAfA \rightarrow eA \rightarrow eA/eAfA \rightarrow 0$$

of left  $eAe$ -modules with  $AfAe_{{}_{eAe}}$  and with  $(Ae/AfAe)_{{}_{eAe}}$  and obtain

$$AfAe \otimes_{eAe} eAfA \xrightarrow{\varphi_2} AfAe \otimes_{eAe} eA \rightarrow AfAe \otimes_{eAe} (eA/eAfA) \rightarrow 0$$

and

$$\begin{aligned} (Ae/AfAe) \otimes_{eAe} eAfA &\rightarrow (Ae/AfAe) \otimes_{eAe} eA \\ &\xrightarrow{\psi_0} (Ae/AfAe) \otimes_{eAe} (eA/eAfA) \rightarrow 0. \end{aligned}$$

Since both  $AfAe \otimes_{eAe} (eA/eAfA)$  and  $(Ae/AfAe) \otimes_{eAe} eAfA$  are zero, we see that  $\varphi_2$  is surjective, and  $\psi_0$  is bijective. Note, that  $(Ae/AfAe) \otimes_{eAe} (eA/eAfA)$  may be identified with  $\bar{A}\bar{e} \otimes_{\bar{e}\bar{A}\bar{e}} \bar{e}\bar{A}$ , so that  $\psi = \psi_0\psi_1$ . Also, there is a canonical map

$$Af \otimes_{fAf} fA \xrightarrow{\phi_3} AfAe \otimes_{eAe} eAfA$$

induced by the inclusion maps, and one easily checks that  $\varphi_3$  is surjective. Since  $\varphi = \varphi_1\varphi_2\varphi_3$ , it follows that  $\varphi$  maps onto the kernel of  $\psi$ .

There is the following commutative diagram

$$\begin{array}{ccccccc}
 Af \otimes fA & \xrightarrow{\varphi} & Ae \otimes eA & \xrightarrow{\psi} & \bar{A}\bar{e} \otimes \bar{e}\bar{A} & \longrightarrow & 0 \\
 \downarrow \mu' & & \downarrow \mu & & \downarrow \bar{\mu} & & \\
 0 \longrightarrow & J_{t-1} & \longrightarrow & J_t & \longrightarrow & J_t/J_{t-1} & \longrightarrow 0
 \end{array}$$

where the vertical maps are the multiplication maps, and the lower exact sequence is the canonical one. By definition,  $J_t/J_{t-1}$  is a heredity ideal of  $\bar{A}$ , thus  $\bar{\mu}$  is bijective. By induction,  $\mu$  is bijective. It follows that  $\varphi$  is injective and that  $\mu$  is bijective. This completes the proof.

**LEMMA 1:** *Let  $A$  be a semiprimary ring,  $J$  a heredity ideal of  $A$ , and  $e \in A$  an idempotent with  $J \subseteq AeA$ . Then  $eJe$  is a heredity ideal in  $eAe$  and the right  $eAe$ -module  $Je_{eAe}$  and the left  $eAe$ -module  ${}_{eAe}eJ$  both are projective.*

*Proof:* Since  $J^2 = J$  and  $J \subseteq AeA$ , there is an idempotent  $f$  in  $A$  with  $J = AfA$  and  $f = efe$ . Therefore  $(eJe)^2 = eAfAeAfAe = eAfAe = eJe$ . Of course,  $N(eAe) = eN(A)e$ , thus,  $eJeN(eAe)eJe \subseteq JN(A)J = 0$ . As a right  $A$ -module,  $J = AfA$  is an epimorphic image of some direct sum  $\bigoplus fA$ , and, since  $J_A$  is projective, it follows that  $J_A$  is isomorphic to a direct summand of  $\bigoplus fA$ . Thus  $Je_{eAe}$  is isomorphic to a direct summand of  $\bigoplus fAe$ , and since  $f$  is an idempotent in  $AeA$ , we know that  $fAe_{eAe}$ , and therefore  $Je_{eAe}$  is projective. Similarly, since  ${}_AJ$  is projective (see [PS] or also [DR]), we also have  ${}_{eAe}eJ$  projective.

**LEMMA 2:** *Let  $C$  be any ring,  $f$  an idempotent in  $C$ , and  $M$  a right  $C$ -module. Assume that  $(MfC)_C$  is projective. Then the multiplication map  $\mu: Mf \otimes_{fCf} fC \rightarrow MfC$  is bijective.*

*Proof:* Since  $\mu$  is a surjective map of right  $C$ -modules, it splits. Thus, there is a  $C$ -submodule  $U$  of  $Mf \otimes_{fCf} fC$  such that the restriction of  $\mu$  to  $U$  is bijective. Multiply  $U$ ,  $Mf \otimes_{fCf} fC$  and  $MfC$  from the right by  $f$ . Since the map  $Mf \otimes_{fCf} fCf \rightarrow MfCf = Mf$  induced by  $\mu$  is bijective, the same is true for the inclusion map  $Uf \rightarrow Mf \otimes_{fCf} fCf$ . Thus  $Uf = Mf \otimes_{fCf} fCf$ . But the  $C$ -module  $Mf \otimes_{fCf} fC$  is generated by  $Mf \otimes_{fCf} fCf$ , thus  $Mf \otimes_{fCf} fC = U$ .

**PROPOSITION 8:** *Let  $A$  be a semiprimary ring. Let  $e$  be an idempotent of  $A$ , let  $C = eAe$ , and assume that the multiplication map  $Ae \otimes_C eA \rightarrow AeA$*

bijjective. Let  $J$  be an ideal with  $J \subseteq AeA$ . The following conditions are equivalent:

- (i)  $J$  is a heredity ideal of  $A$ .
- (ii)  $eJe$  is a heredity ideal of  $C$ , the  $C$ -modules  $(Je)_C$  and  ${}_C(eJ)$  are projective, and the multiplication map  $Je \otimes_C eJ \rightarrow J$  is bijjective.
- (iii)  $eJe$  is a heredity ideal of  $C$ , the  $C$ -modules  $((1 - e)Je)_C$  and  ${}_C(eJ(1 - e))$  are projective, and the multiplication map  $(1 - e)Je \otimes_C eJ(1 - e) \rightarrow (1 - e)J(1 - e)$  is bijjective.

*Proof:* If  $J$  is a heredity ideal of  $A$ , then clearly  $eJe$  is a heredity ideal of  $C$ , thus all conditions include the assumption that  $eJe$  is a heredity ideal of  $C$ . Let  $f$  be an idempotent of  $C$  with  $eJe = CfC$ . Thus,  $fe = ef = e$ , and  $J = AfA$ . Let  $D = fAf$ . There is the following commutative diagram

$$\begin{array}{ccc}
 Af \otimes_D fAe \otimes_C eAf \otimes_D fA & \xrightarrow{\mu_1 \otimes \mu_2} & AfAe \otimes_C eAfA \\
 \downarrow 1 \otimes \mu_4 \otimes 1 & & \downarrow \mu_5 \\
 Af \otimes_D fA & \xrightarrow{\mu_3} & AfA
 \end{array}$$

where all the maps  $\mu_i$  are multiplication maps. Since we assume that the multiplication map  $Ae \otimes_C eA \rightarrow AeA$  is bijjective, the map  $\mu_4: fAe \otimes_C eAf \rightarrow fAf$  is bijjective, thus also  $1 \otimes \mu_4 \otimes 1$  is bijjective.

(i)  $\Rightarrow$  (ii): Assume that  $J$  is a heredity ideal. According to lemma 1, we know that  $(Je)_C$  is projective. Dually, also  ${}_C(eJ)$  is projective. Since the multiplication map  $\mu_3: Af \otimes_D fA \rightarrow AfA$  is bijjective, we see that also  $\mu_1, \mu_2$  are bijjective. Thus we conclude that  $\mu_5: Je \otimes_C eJ \rightarrow J$  is bijjective.

(ii)  $\Rightarrow$  (iii): We only have to observe that  $(Je)_C = (eJe)_C \oplus ((1 - e)Je)_C$ , and  ${}_C(eJ) = {}_C(eJe) \oplus {}_C(eJ(1 - e))$ .

(iii)  $\Rightarrow$  (i): Since  $J = AfA$ , we have  $J^2 = J$  and  $JN(A)J = AfN(A)fA = AfN(C)fA = 0$ . It remains to be seen that the multiplication map  $\mu_3$  is bijjective. Lemma 2 applied to  $M = A$  asserts that the map  $\mu_1$  is bijjective, since  $(Je)_C$  is projective. Dually, also  $\mu_2$  is bijjective. By assumption,  $\mu_5$  is bijjective, thus  $\mu_3$  is bijjective. This completes the proof.

**LEMMA 3:** Let  $C$  be a ring,  $f$  an idempotent in  $C$ . Let  $M_C$  and  ${}_C N$  be  $C$ -modules. Assume  $(MfC)_C$  and  ${}_C(CfN)$  are projective  $C$ -modules. Then there is an exact sequence

$$\begin{array}{l}
 \text{Tor}_1^C(M/MfC, N/CfN) \xrightarrow{\pi} MfC \otimes_C CfN \xrightarrow{\nu} M \otimes_C N \quad | \\
 \xrightarrow{\pi} (M/MfC) \otimes_C (N/CfN) \rightarrow 0,
 \end{array}$$

where  $v$  is induced by the inclusion maps, and  $\pi$  is induced by the projection maps.

*Proof:* Let  $\bar{M}_C = M/MfC$ , and  ${}_c\bar{N} = N/CfN$ . The canonical sequence

$$0 \rightarrow (MfC)_C \rightarrow M_C \rightarrow \bar{M}_C \rightarrow 0$$

gives the long exact sequence

$$\begin{aligned} 0 \longrightarrow \text{Tor}_1^C(M, \bar{N}) \xrightarrow{\alpha} \text{Tor}_1^C(\bar{M}, \bar{N}) \longrightarrow MfC \otimes_C \bar{N} \longrightarrow M \otimes_C \bar{N} \\ \xrightarrow{\gamma} \bar{M} \otimes_C \bar{N} \longrightarrow 0, \end{aligned}$$

where we use that  $(MfC)_C$  is projective. Since  $f\bar{N} = 0$ , we see that  $MfC \otimes_C \bar{N} = 0$ . Also, we obtain the sequence

$$0 \longrightarrow MfC \otimes_C CfN \xrightarrow{\beta} M \otimes_C CfN \longrightarrow \bar{M} \otimes_C CfN \longrightarrow 0,$$

which is exact, since  ${}_c(CfN)$  is projective. Here,  $\bar{M} \otimes_C CfN = 0$ , since  $\bar{M}f = 0$ . As a consequence, the maps  $\alpha, \beta, \gamma$  all are bijective. The canonical exact sequence

$$0 \rightarrow {}_c(CfN) \rightarrow {}_cN \rightarrow {}_c\bar{N} \rightarrow 0$$

yields the upper row of the following commutative diagram

$$\begin{array}{ccccccccc} \text{Tor}_1^C(M, \bar{N}) & \xrightarrow{\delta} & M \otimes_C CfN & \longrightarrow & M \otimes_C N & \longrightarrow & M \otimes_C \bar{N} & \longrightarrow & 0 \\ \alpha \downarrow & & \beta \uparrow & & \parallel & & \gamma \downarrow & & \\ \text{Tor}_1^C(\bar{M}, \bar{N}) & \xrightarrow{\beta^{-1}\delta\alpha^{-1}} & MfC \otimes CfN & \xrightarrow{v} & M \otimes N & \xrightarrow{\pi} & \bar{M} \otimes \bar{N} & \longrightarrow & 0. \end{array}$$

Since  $\alpha, \beta, \gamma$  are bijective, and the upper row is exact, also the lower one is exact.

**LEMMA 4:** *Let  $J$  be a heredity ideal in  $A$ , let  $B = A/J$ . If  $X_B, {}_B Y$  are  $B$ -modules, we may consider them as  $A$ -modules, and we have  $\text{Tor}_1^B(X, Y) \simeq \text{Tor}_1^A(X, Y)$ .*

*Proof:* Write  $X_A = A_A^n/X'$  for some submodule  $X'$  of  $A_A^n$  and some  $n$ . Since  $XJ = 0$ , it follows that  $J^n \subseteq X'$ , and  $X = B^n/X''$ , where  $X'' = J^n/X'$ .



We have the following commutative diagram with exact rows and columns:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 & & J^n & = & J^n & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & X'_A & \longrightarrow & A^n_A & \longrightarrow & X_A \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \parallel \\
 0 & \longrightarrow & X''_A & \longrightarrow & B^n_A & \longrightarrow & X_A \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

Tensoring with  ${}_A Y$  gives the following commutative diagram, with all tensor products being over  $A$ :

$$\begin{array}{ccccccc}
 & & J^n \otimes Y & = & J^n \otimes Y & & \\
 & & \downarrow & & \downarrow & & \\
 X' \otimes Y & \xrightarrow{\alpha} & A^n \otimes Y & \longrightarrow & X \otimes Y & \longrightarrow & 0 \\
 & & \downarrow \gamma & & \downarrow \delta & & \parallel \\
 X'' \otimes Y & \xrightarrow{\beta} & B^n \otimes Y & \longrightarrow & X \otimes Y & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

with exact rows and columns. Since  $JY = 0$ , and  $J^2 = J$ , we see that  $J^n \otimes_A Y = 0$ , thus  $\gamma, \delta$  are isomorphisms. But the kernel of  $\alpha$  is  $\text{Tor}_1^A(X, Y)$ , the kernel of  $\beta$  is  $\text{Tor}_1^B(X, Y)$ . This completes the proof.

**LEMMA 5:** *Let  $A$  be quasi-hereditary, with heredity chain  $\mathcal{J}$ . Assume that the  $\mathcal{J}$ -filtrations of  $X_A, {}_A Y$  are good. Then  $\text{Tor}_1^A(X, Y) = 0$ .*

*Proof:* Let  $\mathcal{J} = (J_i)_{0 \leq i \leq m}$ . The proof is by induction on  $m$ . Let  $B = A/J_1$ . By induction, we have  $\text{Tor}_1^B(X/XJ_1, Y/J_1Y) = 0$ , thus  $\text{Tor}_1^A(X/XJ_1, Y/J_1Y) = 0$  by lemma 4. Since  $(XJ_1)_A$  is projective, also  $\text{Tor}_1^A(XJ_1, Y/J_1Y) = 0$ , thus  $\text{Tor}_1^A(X, Y/J_1Y) = 0$  by the long exact Tor-sequence. Also,  ${}_A(J_1Y)$  is projective, thus  $\text{Tor}_1^A(X, J_1Y) = 0$  and therefore  $\text{Tor}_1^A(X, Y) = 0$ , again using a long exact Tor-sequence.

*Proof of the theorem:* Let  $\mathcal{J} = (J_i)_i$  be a chain of idempotent ideals of  $A$ , say

$$0 = J_0 \subset J_1 \subset \cdots \subset J_m = A$$

and assume that  $J_t = AeA$  for some  $t$ . Note that for  $0 \leq i \leq t$ , we have

$$AeJ_i e = AeAJ_i e = J_i J_i e = J_i e.$$

(i)  $\Rightarrow$  (ii): We assume that  $\mathcal{J}$  is a heredity chain. Clearly,  $A/AeA = A/J_t$  is quasi-hereditary. Also,  $C = AeA$  is quasi-hereditary, with heredity chain  $\mathcal{J} = (eJ_i e)_{0 \leq i \leq t}$ , see [DR]. According to Proposition 7, the multiplication map  $Ae \otimes_C eA \rightarrow AeA$  is bijective. It remains to be shown that the  $\mathcal{J}$ -filtrations of  $(Ae)_C$  and  ${}_C(eA)$  are good. We deal with  $(Ae)_C$ , the other case follows from dual considerations. Let  $1 \leq i \leq t$ , we have to show that  $AeJ_i e/AeJ_{i-1} e$  is a projective right  $C/eJ_{i-1} e$ -module. We apply Proposition 8 to the ring  $\bar{A} = A/J_{i-1}$ , the idempotent  $\bar{e} = e + J_{i-1}$ , and the ideal  $\bar{J} = J_i/J_{i-1}$ . Since  $\bar{A}\bar{e}\bar{A}$  belongs to a heredity chain of  $\bar{A}$ , the assumption concerning the multiplication map is satisfied. Let  $\bar{C} = \bar{e}\bar{A}\bar{e}$ . Since  $\bar{J}$  is a heredity ideal of  $\bar{A}$ , it follows that  $(\bar{J}\bar{e})_{\bar{C}}$  is a projective  $\bar{C}$ -module. However,  $\bar{C}$  can be identified with  $C/eJ_{i-1} e$ , and  $\bar{J}\bar{e}$  can be identified with  $J_i e/J_{i-1} e = AeJ_i e/AeJ_{i-1} e$ . It follows that  $AeJ_i e/AeJ_{i-1} e$  is a projective  $C/eJ_{i-1} e$ -module.

(ii)  $\Leftrightarrow$  (iii): Let  $e_1 = e, e_2 = 1 - e$ . There are the direct decompositions of  $C$ -modules  $(Ae)_C = (e_1 Ae)_C \oplus (e_2 Ae)_C$  and  ${}_C(eA) = {}_C(eAe_1) \oplus {}_C(eAe_2)$ . The multiplication map  $\mu: Ae \otimes_C eA \rightarrow eAe$  is the direct sum of the four multiplication maps

$$\mu_{ij}: e_i Ae \otimes_C eAe_j \rightarrow e_i AeAe_j,$$

$1 \leq i, j \leq 2$ . But  $\mu_{11}, \mu_{12}, \mu_{21}$  are always bijective. Thus  $\mu$  is bijective if and only if  $\mu_{22}$  is bijective. Also, given a heredity chain  $\mathcal{J}$  of  $C$ , the  $\mathcal{J}$ -filtration of  $C_C$  is always good. Thus the  $\mathcal{J}$ -filtration of  $(Ae)_C$  is good if and only if the  $\mathcal{J}$ -filtration of  $((1 - e)Ae)_C$  is good. A similar argument for  ${}_C(eA)$  and  ${}_C(eA(1 - e))$  completes the proof.

(ii)  $\Rightarrow$  (i): Let  $\mathcal{J} = (I_i)_i$  be a heredity chain for  $C$ , say

$$0 = I_0 \subset I_1 \subset \cdots \subset I_t = C.$$

Let  $J_i = AI_i A$ , for  $0 \leq i \leq t$ , thus  $J_t = eAe$ . Also note that  $eJ_i e = I_i$  for all  $0 \leq i \leq t$ . We want to apply Proposition 8 to the ideal  $J = J_1$ . Since the

$\mathcal{J}$ -filtration of  $Ae$  is good, we know that  $(AeI_1)_C$  is a projective  $C$ -module. However,  $AeI_1 = AeJ_1e = J_1e$ , thus  $(J_1e)_C$  is a projective  $C$ -module. Similarly,  ${}_C(eJ_1)$  is a projective  $C$ -module. Since the  $\mathcal{J}$ -filtrations of  $(Ae/Je)_C$  and  ${}_C(eA/eJ)$  are good, we have  $\text{Tor}_1^C(Ae/Je, eA/eJ) = 0$  by lemma 5. We can apply lemma 3 to  $M = Ae$  and  $N = eA$ , since  $AefC = Je$  is a projective right  $C$ -module, and  $CfeA = eJ$  is a projective left  $C$ -module. There is the following commutative diagram of canonical maps:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & Je \otimes_C eJ & \xrightarrow{v} & Ae \otimes_C eA & \xrightarrow{\pi} & (Ae/Je) \otimes_C (eA/eJ) \longrightarrow 0 \\
 & & \downarrow \mu' & & \downarrow \mu & & \downarrow \bar{\mu} \\
 0 & \longrightarrow & J & \longrightarrow & AeA & \longrightarrow & AeA/J \longrightarrow 0
 \end{array}$$

(with  $v$  induced by the inclusion maps,  $\pi$  by the projection maps, and all maps  $\mu', \mu, \bar{\mu}$  being multiplication maps). Both rows are exact, the first one according to lemma 3. Now  $\mu$  is bijective by assumption, thus  $\mu'$  is injective. But clearly  $\mu'$  is also surjective, thus  $\mu'$  is bijective too. Thus all conditions of (ii) in proposition 8 are satisfied, therefore  $J$  is a heredity ideal. It remains to be shown that  $\bar{A} = A/J$  and  $\bar{e} = e + J$  again satisfy the conditions (ii) of the theorem, so that we can use induction. Let  $\bar{C} = \bar{e}\bar{A}\bar{e}$ . Clearly,  $\bar{A}/\bar{A}\bar{e}\bar{A} \simeq A/AeA$ , and  $\bar{C} \simeq C/I_1$ , so both rings are quasi-hereditary. The ring  $\bar{C}$  has the heredity chain  $\bar{\mathcal{J}} = (I_i/I_1)_{1 \leq i \leq l}$  and one easily checks that the  $\bar{\mathcal{J}}$ -filtrations both of  $(\bar{A}\bar{e})_{\bar{C}}$  and of  ${}_C(\bar{e}\bar{A})$  are good. Finally, the multiplication map  $\bar{A}\bar{e} \otimes_C \bar{e}\bar{A} \rightarrow \bar{A}\bar{e}\bar{A}$  is just the map  $\bar{\mu}$  in the diagram above, and therefore bijective. This completes the proof of the theorem.

In the special case when  $C$  is semisimple, the conditions (ii) and (iii) of theorem 1 are easier to formulate.

**COROLLARY:** *Let  $A$  be a semisimple ring,  $e$  an idempotent of  $A$ , and assume that  $C = eAe$  is semisimple. Then the following conditions are equivalent:*

- (i) *There exists a heredity chain containing  $AeA$ .*
- (ii)  *$A/AeA$  is quasi-hereditary, and the multiplication map  $Ae \otimes_C eA \rightarrow AeA$  is bijective.*
- (iii)  *$A/AeA$  is quasi-hereditary, and the multiplication map  $(1 - e)Ae \otimes_C eA(1 - e) \rightarrow (1 - e)AeA(1 - e)$  is bijective.*

**REMARK:** The ‘not so trivial extension’ method outlined by Parshall and Scott in [PS] can be based on this corollary: if  $\mathcal{J} = (J_i)_{0 \leq i \leq m}$  is a heredity chain for  $A$ , and  $J_1 = AeA$  for some idempotent  $e$  of  $A$ , then  $C = eAe$  is semisimple. Also, we can assume that  $e$  is chosen in such a way that we have, in addition,  $eA(1 - e) \subseteq N(A)$ . In this case, the

the multiplication map

$$eA(1 - e) \underset{\mathbb{Z}}{\otimes} (1 - e)Ae \rightarrow eAe = C$$

is zero, in particular, the ideal  $U = (1 - e)AeA(1 - e)$  of  $\tilde{D} = (1 - e)A(1 - e)$  satisfies  $U^2 = 0$ . It follows that  $A$  is uniquely determined by  $C, D := A/AeA$ , the  $C$ - $D$ -bimodule  $M = eA(1 - e)$ , the  $D$ - $C$ -bimodule  $N = (1 - e)Ae$ , and the ‘Hochschild extension’

$$0 \rightarrow N \underset{C}{\otimes} M \rightarrow \tilde{D} \rightarrow D \rightarrow 0.$$

### 5. The inductive construction of quasi-hereditary algebras

**THEOREM 2:** *Let  $C, D$  be quasi-hereditary rings, let  ${}_C S_D, {}_D T_C$  be bimodules, and  $\gamma: {}_C S_D \otimes {}_D T_C \rightarrow {}_C C_C$  a bimodule homomorphism. Assume that there exists a heredity chain  $\mathcal{F}$  of  $C$  such that the  $\mathcal{F}$ -filtrations both of  ${}_C S$  and of  $T_C$  are good. Then  $A(\gamma)$  is quasi-hereditary.*

*Proof:* Let  $e = e_C$ . Then  ${}_C S_D = eA(1 - e)$ ,  ${}_D T_C = (1 - e)Ae$ . The assertion is just the implication (iii)  $\Rightarrow$  (i) of theorem 1.

We consider now the converse problem of writing a given quasi-hereditary ring in the form  $A(\gamma)$ .

**PROPOSITION 9:** *Let  $A$  be a quasi-hereditary ring, let  $e$  be an idempotent of  $A$  such that  $AeA$  belongs to a heredity chain of  $A$ . Assume that there exists a subring  $D$  of  $(1 - e)A(1 - e)$  such that  $D + (1 - e)AeA(1 - e) = (1 - e)A(1 - e)$ . Let  $C = AeA, S = eA(1 - e), T = (1 - e)Ae$ , and  $\gamma: S \otimes_D T \rightarrow C$  the multiplication map. Then  $A = A(\gamma)$ .*

*Proof:* This is a direct consequence of propositions 7 and 3.

As a consequence, we obtain the following result which gives the inductive procedure for constructing quasi-hereditary rings. Here, given a semiprimary ring  $A$ , we denote by  $s(A)$  the number of isomorphism classes of simple right  $A$ -modules.

**THEOREM 3:** *Let  $k$  be a field. Let  $A$  be a non-zero quasi-hereditary finite dimensional  $k$ -algebra with a heredity chain  $\mathcal{F} = (J_i)_{0 \leq i \leq m}$ . Assume  $D := A/J_{m-1}$  is a separable  $k$ -algebra. Then there exists a quasi-hereditary  $k$ -algebra  $C$  with  $s(C) < s(A)$ , with a heredity chain  $\mathcal{F} = (I_i)_{0 \leq i \leq m-1}$ , bimodules  ${}_C S_D, {}_D T_C$ , such that the  $\mathcal{F}$ -filtrations of  ${}_C S$  and  $T_C$  are good, and a bimodule*

homomorphism  $\gamma: {}_C S_D \otimes_D T_C \rightarrow {}_C C_C$  with image contained in  $N(C)$ , such that  $A = A(\gamma)$ .

*Proof:* Choose an idempotent  $e$  of  $A$  such that  $J_{m-1} = AeA$  and such that, moreover,  $eA(1 - e) \subseteq N(A)$ . Note that

$$(1 - e)A(1 - e)/(1 - e)AeA(1 - e) \simeq A/AeA,$$

thus, since  $A/AeA$  is assumed to be separable, there exists a subring  $D \subseteq (1 - e)AeA(1 - e)$  such that  $D + (1 - e)AeA(1 - e) = (1 - e)A(1 - e)$ . Let  $C = eAe$ ,  $S = eA(1 - e)$ ,  $T = (1 - e)Ae$ , and  $\gamma: S \otimes_D T \rightarrow C$  be the multiplication map. Then  $A = A(\gamma)$  by proposition 9. The assumption  $eA(1 - e) \subseteq N(A)$  implies that the image of  $\gamma$  is contained in  $N(C)$ . Of course,  $s(A(\gamma)) = s(C) + s(D)$ , thus  $s(C) < s(A)$ . Let  $\mathcal{J} = (J_i)_{0 \leq i \leq m-1}$  with  $J_i = eJ_i e$ , this is a heredity chain by [DR], and the  $\mathcal{J}$ -filtrations of  ${}_C S$  and  $T_C$  are good, by (the proof of) the theorem in section 4.

**COROLLARY:** *Let  $k$  be a perfect field. Let  $A$  be a non-zero quasi-hereditary finite dimensional  $k$ -algebra. Then there exists a semisimple  $k$ -algebra  $D$ , a quasi-hereditary  $k$ -algebra  $C$ , with  $s(C) < s(D)$ , and a bimodule homomorphism  $\gamma: {}_C S_D \otimes_D T_C \rightarrow {}_C C_C$  such that  $A = A(\gamma)$ .*

*Proof:* Let  $\mathcal{J} = (J_i)_{0 \leq i \leq m}$  be a heredity chain of  $A$ . Always,  $A/J_{m-1}$  is semisimple. Since  $k$  is perfect,  $A/J_m$  is even separable. So we apply theorem 3.

## 6. Examples

Let  $C, D$  be quasi-hereditary rings, and  $\gamma: {}_C S_D \otimes_D T_C \rightarrow {}_C C_C$  a bimodule homomorphism. Theorem 2 asserts that  $A(\gamma)$  is quasi-hereditary provided there exists a heredity chain  $\mathcal{J}$  for  $C$  such that the  $\mathcal{J}$ -filtrations both of  ${}_C S$  and  $T_C$  are good. We want to give two examples which show what may happen in general. We consider quasi-hereditary algebras  $C$  with  $s(C) = 2$  and  $D$  will be a division ring. The simple right  $C$ -modules will be denoted by  $E(1), E(2)$ . The projective cover of  $E(i)$  will be denoted by  $P(i)$ . The simple left  $C$ -modules will be denoted by  $E^*(i)$ , with  $E^*(i) \otimes_C E(i) \neq 0$ .

**EXAMPLE 1:** Let  $C$  be serial, with  $P(1)$  of length 3, and  $P(2)$  of length 2. Let  $T_C$  be the indecomposable right  $C$ -module of length 2 with top  $E(1)$ , and  ${}_C S$  the indecomposable left  $C$ -module of length 2 with top  $E^*(2)$ . The endomorphism rings of  $T_C$  and  ${}_C S$  are isomorphic division rings (always, we assume that endomorphisms act on the opposite side as the scalars), say  $D = \text{End}(T_C) = \text{End}({}_C S)$ . Note that the  $D$ - $C$ -bimodule  $\text{Hom}({}_C S_D,$

${}_C C_C$ ) can be identified with  ${}_D T_C$ , let  $\gamma: {}_C S \otimes_D T_C \rightarrow {}_C C_C$  be adjoint to the identity map  ${}_D T_C \rightarrow \text{Hom}({}_C S_D, {}_C C_C)$ . One may check without difficulties that  $A = A(\gamma)$  is again serial, with simple right modules  $E(1), E(2), E(3)$ , (where  $E(1), E(2)$  are the given  $C$ -modules). If  $P_A(i)$  denotes the projective cover of  $E(i)$ , then  $P_A(i)$  has length 4, 3, 4 for  $i = 1, 2, 3$ , respectively. It follows that  $gl. dim. A = 4$ , but  $A$  is not quasi-hereditary.

EXAMPLE 2: Let  $C$  again be serial, with  $P(1)$  of length 2, and  $P(2)$  of length 1. (Thus,  $C$  is Morita equivalent to the ring of upper triangular  $2 \times 2$ -matrices over some division ring). Let  $T_C$  be the simple injective right  $C$ -module,  ${}_C S$  the simple injective left  $C$ -module (thus,  $T_C = E(1)$ , and  ${}_C S = E^*(2)$ ), and  $D = \text{End}(T_C) = \text{End}({}_C S)$ . Let  $\gamma: {}_C S \otimes_D T_C \rightarrow {}_C C_C$  be the zero map. Then  $A = A(\gamma)$  is again serial with all indecomposable projective  $A$ -modules of length 2. Consequently,  $A$  is self-injective with  $N(A)^2 = 0$ . In particular,  $gl. dim. A = \infty$ .

### Acknowledgements

The authors are indebted to L. Scott for helpful discussions concerning the presentation of the results. Also, he informed us that proposition 7 has been obtained independently by B. Parshall, see [P]. The authors are indebted to the referee for pointing out the proof of proposition 4 given here.

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