

**Cross-linguistic comparison of prosody, syntax and
information structure
in a production experiment on localizing expressions**

Journal:	<i>Transactions of the Philological Society</i>
Manuscript ID:	TPHS-09-2009-0027.R2
Manuscript Type:	Original Article
Keywords:	abstract noun, ablative case

Cross-linguistic comparison of prosody, syntax and information structure in a production experiment on localising expressions¹

Caroline Féry^{1,2}, Stavros Skopeteas² & Robin Hörnig²

¹ = University of Frankfurt, ² = University of Potsdam

Abstract

This article presents a cross-linguistic study of semi-spontaneous data obtained from an experiment conducted uniformly for six languages. It examines how native speakers communicate the changing spatial layouts of toy animals. The analysis of the data focuses on the universal preference for expressing a given constituent before a new one (Chafe 1976, Clark & Haviland 1977 and many others). In terms of grammatical strategies, speakers universally tend to realise the newly introduced or displaced toy animal in a position where it is aligned with a high-level prosodic domain. A constraint to achieve this effect, called ALIGN-FOCUS-R, is formulated as an optimality-theoretic alignment principle (McCarthy & Prince 1993). Language-dependent syntactic and prosodic restrictions may favour or disfavour this tendency. Some languages may reorder their constituents by scrambling, some may use more costly syntactic and prosodic operations, like dislocations, or the insertion of a prosodic boundary. Some use pitch accents, but some do not possess pitch accents in their phonological inventory. A constituent right aligned with a higher-level prosodic domain may be felt prominent (Jackendoff 1972, Truckenbrodt 1995, Büring 2009), but prominence is only a secondary effect of alignment.

1. Introduction

This study is part of a long programme of work on localising expressions and spatial descriptions (see, for instance, Levelt 1984, Ullmer-Ehrich 1982, Ehrich & Koster 1983, Klein 1991 and many others for descriptions of static localisations or path descriptions). We examine semi-spontaneous expressions from native speakers of six languages while localising a new or displaced object (a toy animal) relative to given ones. We qualify the localised toy animal as the ‘locatum’. In a relational localisation like (1), the object relative to which the locatum is localised is the ‘relatum’ (see section 4 for more detail on relational expressions and the difference between these types of expression and the other ones). In (1), the dog is locatum (Loc) and the bird is relatum (Rel). Locata are typically DPs and thus simple expressions; relata are parts of more complex locative expressions (Lx), usually PPs. In SVO

and SOV languages, the word order of localisations is syntactically unmarked if the locatum comes before the locative expression, at least in those cases in which the locatum plays a thematic role hierarchically superior to the role played by the relatum (i.e., the locatum is grammatical subject or direct object of the sentence).

(1) [The dog]_{Loc} is [to the right of [the bird]_{Rel}]_{Lx}

Information structure induces a tendency to put given referents before new ones (Clark & Haviland 1977), which can amount to a processing disadvantage for spatial relational sentences with unmarked word order. Relational sentences with an asymmetry in the discourse status of locatum and relatum are understood faster and more reliably if the relatum is given and its place is known to the addressee while the locatum is new and its place is unknown to the addressee than when the roles are assigned in the opposite way (Huttenlocher & Strauss 1968, Clark 1972, Harris 1975, Hörnig, Oberauer & Weidenfeld 2005; for an overview, see Hörnig & Weskott 2009). If the roles are correspondingly fixed, it can be advantageous to reverse the word order of the locatum and the locative expression, as in (2), as demonstrated by Hörnig et al. (2005) for German. A previous localisation of the relatum, the bird, renders the bird given and, as a result, the place to the right of the bird becomes easily accessible. This is in agreement with the ‘given-new strategy’ of Clark & Haviland (1977).

(2) {Discourse context: The bird has been mentioned in a previous localisation.}
[To the right of [the bird]_{Rel}]_{Lx} is [the dog]_{Loc}

A relational localisation can also be used to communicate to the addressee the unknown place of a given locatum relative to a given relatum, for instance, to inform the addressee that the locatum has changed its place (e.g., the dog was behind the bird but is now to the right of the bird). The symmetry in discourse status of locatum and relatum then induces no information-structural pressure to deviate from the unmarked word order in (1). Since the locatum is given, it may be located before the locative expression, in line with unmarked word order. In contrast, if the locatum is new, a conflict arises. For the sake of ‘given before new’, it should be uttered after the locative expression, but for syntax, it should come first. The preferences discussed so far refer to properties of human communication assumed to be universal (see for instance Clark & Haviland 1977 for ‘given before new’). Since individual grammars differ in syntax (e.g., word order possibilities) and phonology (e.g., intonational possibilities), we speculate that the effect of language-independent principles will vary across

1
2
3 languages. The aim of our empirical study is to examine whether this is the case, and the aim
4 of the discussion of the empirical findings is to offer a principled account for these
5 differences.
6
7

8
9 The following six languages were used for the study: English, Finnish, French, Georgian,
10 German and Mandarin Chinese. These languages differ from each other in several dimensions
11 that prove to be relevant for the investigation. In terms of prosody, the following features are
12 relevant: English and German have lexical stress, that is, every word has exactly one syllable
13 that gets a pitch accent if the word is accented; Finnish always stresses the first syllable of the
14 word (Suomi, Toivanen & Ylitalo 2008); French does not have lexical stress, and for
15 Georgian the literature is not clear about the existence of lexical stress and even less so about
16 its location; Chinese is a tone language, and thus in this language every syllable has its own
17 tonal specification. All of the languages except for Chinese have an intonational prosody, i.e.,
18 they may vary the direction of melodic excursions according to pragmatic needs. German,
19 English and Georgian deaccent given postnuclear material, but French and Finnish tend to
20 avoid situations of deaccenting, without completely banning them. Chinese may compress the
21 pitch range of given material, but there is no deaccenting like in the other languages (Xu
22 1999).
23
24
25
26
27
28
29
30
31
32

33
34 In terms of syntax, all the examined languages have in common that in the unmarked word
35 order the subject precedes the object, whereby the canonical order of English, French, Finnish
36 and Chinese is SVO and the canonical order of Georgian is SOV with considerable freedom
37 in V placement within the predicate (see Apridonidze 1986: 136-143, Skopeteas & Fanselow
38 2009b). German represents a special case, because the basic order of the syntactic derivation
39 is V-final, but the unmarked order in declarative main clauses is SVO (resulting from V-
40 movement to an earlier position, see Thiersch 1978 and den Besten 1989). All languages at
41 issue display syntactic operations leading to marked word orders in which the object precedes
42 the subject. Crucially, the examined languages differ with respect to the type of syntactic
43 operations that may result in OS orders. All the sample languages have the possibility to
44 extract an argument to a left-peripheral position outside the core clause (instances of
45 \bar{A} -movement). Only a subset of the languages (German, Georgian and Finnish) allow free
46 reordering of the arguments without involving extraction from the clause (a phenomenon
47 known as A-scrambling). These languages are characterised by greater word-order flexibility.
48
49
50
51
52
53
54
55
56
57

58 The remainder of this article is organised in the following way: Section 2 introduces the
59 experiment. Section 3 presents some basic classifications of our data. Section 4 proceeds with
60 the classification of a specified subset of the utterances used in the analysis and introduces the

1
2
3 kind of results we are interested in. Section 5 shows a cross-linguistic correlation between
4 word order and information-structural roles. Section 6 is devoted to definiteness and section 7
5 to the grammatical means that languages have at their disposal to satisfy ALIGN-FOCUS-R. In
6 particular, the interaction between syntax and prosody is given much attention. Section 8
7 presents a conclusion.
8
9

10 11 12 13 14 **2. Description of the experiment**

15 *2.1 Procedure*

16
17 Nine plastic toy animals, approximately 8cm long, were used as stimuli (plus a toy lion in the
18 German experiment). During the experiment the participants were seated at a table together
19 with the instructor. The task was explained to them orally with carefully prepared instructions.
20 They were instructed to describe the spatial layouts of the animals such that a listener seated
21 behind them could reproduce the spatial dispositions. The instructor (who was also a native
22 speaker) started the session by putting two toys, a crocodile and a gorilla, side by side on the
23 table. The participant described this layout. Then the instructor added a horse as a third
24 animal. This layout of three toys (L1) was described orally by the participants. In a second
25 step, the instructor created L2 by removing the crocodile and adding a tiger (a lion in the
26 German experiment). This procedure was repeated until the participants had described eleven
27 different layouts. Thus, the participants provided a brief oral description of all eleven layouts,
28 one after the other. All layouts were identical for all participants for each language, as shown
29 in Fig. 1. Animals that were currently not on the table were hidden in a bag and thus not
30 visible to participants; hence, the new toy was unfamiliar to the participants in the current
31 setting (an exception was reintroduced animals; see below). For Chinese, an entirely different
32 set of animals was used, but the layouts were identical.
33
34
35
36
37
38
39
40
41
42
43
44
45
46

47 <Insert Fig. 1 here>
48
49

50
51 In L1 to L5, as well as in L11, the animals were disposed horizontally, whereas in L6 to L10
52 the alignment was front to back. Each layout was the result of changing the preceding layout
53 by manipulating one toy animal, either by newly adding it to the layout or by displacing it
54 within the layout. Since the manipulated animal was put at a new place in the new layout, this
55 animal was expected to figure as the locatum in the localisation describing the change in the
56 layout. The layouts L1 and L7 resulted from adding a new animal to a layout of two given
57 ones. In L2-L5 and L8-L10, one of three given animals was removed before a new animal
58 was added either at the place of the removed one (L3, L4, L8) or at another place (L2, L5, L9,
59
60

1
2
3 L10). In L7 and L9, the added ‘new’ animal was not part of the immediately preceding layout
4 but it had been part of previous layouts, i.e., it was reintroduced.² Finally, in L6 and L11, one
5 of three animals was removed and one of the two remaining given animals was displaced.
6
7 There were three categories of manipulated animals, which in Fig. 1 are called
8
9 **NEW/ADDED** (bold capitals), REINTRODUCED/ADDED (capitals), *displaced/given*
10 (italics); the other toys in the layouts were given animals at given places that could be used as
11
12 relata in relational localisations.
13
14
15
16

17 2.2 Participants

18
19 In each language the sample was a dialectally and sociolectally homogeneous group of native
20 speakers of the target language. All participants were university students; hence, they should
21 not substantially differ in their abilities to perform the experimental task. Here are the details
22 of the six language samples (each speaker produced 11 layout descriptions):
23
24

- 25 • American English: 16 speakers from North Carolina produced 176 descriptions.
- 26 • Georgian: 16 speakers from Tbilisi produced 176 descriptions.
- 27 • French: 20 speakers from the Paris region produced 220 descriptions.
- 28 • Finnish: 20 speakers from Joensuu, North Karelia, produced 220 descriptions.
- 29 • Mandarin Chinese: 20 speakers from Beijing produced 220 descriptions.
- 30 • German: 30 speakers from Berlin-Brandenburg produced 330 descriptions.

31
32 Altogether, our dataset contains 1342 descriptions of layouts, produced by 122 speakers.
33
34
35
36

37 3. Roles and classification

38
39 In the comparative study that follows, 1257 descriptions (93.7% of the entire dataset of 1342
40 descriptions) used in the analysis. In order to study the effects of discourse status on clause
41 structure, we restricted the analysis to the subset of descriptions that fulfilled the requirements
42 in (3).
43
44
45
46
47
48
49

- 50
51
52 (3) a. The added or displaced animal plays the role of the locatum; in
53 particular, the manipulated animal does not figure as the relatum of a
54 relational localisation.
55
56 b. The localisation contains a single clause with a locatum and a locative
57 expression. In addition, we included a particular class of transitive
58 constructions that lack a locative expression, e.g., ‘X replaced Y’ or ‘Y is
59
60

replaced by X', with 'X' designating the added locatum (see sections 4 and 7.1; examples are given in (11a) and in (17) to (19)).

- c. The added animal was first mentioned when localised. There was no independent utterance introducing it prior to the localisation.

Requirement (3a) is met in the majority of cases; that is, the manipulated animal was used as the locatum in relational localisations, as illustrated for the added gorilla in English (4a) and for the displaced bear in Finnish (4b).

- (4) a. Now directly in front of that bear there is a **gorilla**. (E 20.7)
- b. koira otettiin pois ja **karhu** siirrettiin hevose-n ete-en.
 dog was.taken away and bear was.moved horse-GEN front-ILL
 (Fin 26.6)
- 'A/the dog was taken away and a/the bear was moved to the front of a/the horse'.

We discarded the few counterexamples to (3a), about 2% of the data, in which the speaker selected the manipulated toy as relatum, like the displaced dog in (5a) and the added horse in (5b).

- (5) a. zhu1 na2 zou3 le5, hou2 zi5 zai4 **gou3** de5 zuo3 bian5. (Ch 2.11)
 pig take away PFV, monkey be dog ASSOC left
 'A/the pig was taken away, a/the monkey is to the left of a/the dog'.
- b. **cxeni-s** maržvniv dgas gorila. (Geo 8.1)
 horse-GEN to-the-right stands gorilla
 'A/the gorilla is standing to the right of a/the horse'.

The requirement (3b) that the localisation is realised in a single clause is necessary in order to identify syntactic and prosodic correlates of the discourse status of the locatum. This requirement was not fulfilled in examples such as (6), without any locative expression. This type of example was extremely marginal.

- (6) Now the tiger is gone and there is a **bear**. (E 1.3)

Another type of counterexample to (3b), shown in (7), lists the animals in their spatial order. Such descriptions, which were used several times, effectively convey the location of the new

entity, but the spatial information is not specified first in response to the discourse statuses of the localised entities.

- (7) long2 bei4 na2 zou3 le5, xian4 zai4 cong2 shang4 dao4
 dragon BEI take.away PFV now from above to
 xia4 de5 shun4 xu4 shi4 hou2, zhu1, ma3. (Ch 18.9)
 bottom DE order be monkey pig horse
 ‘A/the dragon was taken away. Now from top to bottom, the order is a/the monkey,
 a/the pig, a/the horse’.

Finally, (8) presents a counterexample to requirement (3c). The fact that the gorilla was added is expressed in a separate sentence prior to its localisation in the subsequent sentence. The unmarked word order of the localisation is most probably due to the previous change of the discourse status from new/reintroduced to given; that is, the first mention of the gorilla turned it into a perfect topic for the localisation.

- (8) Ein Gorilla kommt hinzu. Der Gorilla sitzt nun vor
 a gorilla comes along the gorilla sits now in.front.of
 dem Hund ... (Ger 14.7)
 the dog
 ‘A gorilla is joining in. The gorilla is now sitting in front of the dog ...’

4. Types of description

Three types of localisation are distinguished according to their propositional content: relational, non-relational and replacement localisations. Relational localisations specify the place of the locatum with respect to the location of another entity (given animal at given place) whose location is assumed to be known to the hearer, as in (9).

- (9) **yang2** zai4 tu4 zi5 hou4 mian5 (Ch 19.2)
goat be rabbit behind
 ‘A/the goat is behind a/the rabbit’.

Non-relational localisations specify the place of the locatum with respect to the entire spatial configuration, as exemplified in (10). There is no mention of a relatum.

- 1
2
3 (10) ... zai4 zui4 you4 bian5 tian1 jia1 yi2 ge4 yang2. (Ch 15.2)
4 to very right add one CL goat
5
6
7 ‘Add a/the goat to the very right’.
8
9

10 Replacement localisations, as illustrated in (11), specify the place of the locatum as that of the
11 removed animal (layouts 3, 4 and 8). Syntactically, ‘replacement’ expressions come either as
12 expressions with a locative PP, as in (11a), or as transitive clauses with an agent and a patient
13 argument, as in (11b).
14
15
16
17

- 18 (11) a. maimun-is nacvl-ad ari-s zebra (Geo13.4)
19 monkey-GEN instead-ADV be-PRS.S.3.SG zebra(NOM)
20
21 ‘Here is a/the zebra instead/at the place of a/the monkey’.
22
23 b. maimun-i še-cval-a žroxa-m. (Geo 25.8)
24 monkey-NOM PV(into).PRF-change-AOR.S.3.SG cow-ERG
25
26 ‘A/the cow replaced a/the monkey’.
27
28
29

30 The speakers of all languages mostly produced relational localisations (70% of the valid
31 utterances). Non-relational localisations are attested in all languages (6% of the valid
32 utterances), except for Georgian in which this construction is not attested. Replacement
33 localisations (24% of the valid utterances) are particularly frequent in layouts in which an
34 animal is added at the place of the removed one (L2, L3, L8), but they also marginally occur
35 in layouts in which an animal is removed and another animal is added in a different place (L4,
36 L5, L10). Although replacement localisations in this latter instance are unable to convey the
37 place of the added animal, these instances are included in the analysis.
38
39
40
41
42
43
44
45

46 5. Word order and givenness

47 This section gives an overview of the results in terms of word order according to the discourse
48 status of the locatum. We are only interested in the order of the locatum relative to the relatum
49 as part of the locative expression, and thus, in how ‘given before new’ obtains in the data.
50
51

52 Two alternative word orders are distinguished: either the locatum comes before the locative
53 expression, yielding the unmarked order $Loc < Lx$ as in (12a), or the reverse ordering is
54 realised, $Lx < Loc$, as in (12b). This is the marked word order. If a locatum is placed before a
55 locative expression, this does not mean that the Alignment constraint is fulfilled, as it can be
56 the case that the verb, or some other material, separates the locatum from the right edge of the
57
58
59
60

intonation phrase (called i-phrase below) (see section 7 for more on the syntactic and prosodic options for the fulfilment of ALIGN-FOCUS-R).

- (12) a. **hevonen** tullee tuo-hon gorilla-n oikee-lle puole-lle
 horse comes there-ILL gorilla-GEN right-ALL side-ALL
 ja kasvo-t sama-an suunta-an (Fin 17.1)
 and face-PL same-ILL direction-ILL
 ‘A/the horse is there on the right side of a/the gorilla and is facing the same direction’.
- b. ja sitten nyt se-n gorilla-n oikea-lle puole-lle tul-i
 and then now it-GEN gorilla-GEN right-ALL side-ALL came
heppa kanssa naama tä-nne-päin (Fin 4.1)
 horse also face-PL here-ALL-towards
 ‘And now on the right side of that gorilla, a/the horse also with its face this way’.

The choice of word order between locatum and locative expression turns out to be sensitive to the discourse conditions, as may be gathered from the distribution of the two alternative orders in Table 1. A three-way distinction can be made between the layout conditions (a) added new locatum, (b) added reintroduced locatum and (c) displaced given locatum. It holds true across all six languages that marked word order (Lx < Loc) is most frequent for added new locata; that is, new locata follow the locative expressions more frequently than reintroduced locata. Unmarked word order (Loc < Lx) plainly predominates for displaced given locata. In this latter case there is no information-structural pressure against the unmarked word order.

<Insert Table 1 here>

The top panel of Table 1 shows the proportions of unmarked (Loc < Lx) and marked (Lx < Loc) word orders for layouts in which a new animal is added to the layout (L1-L5, L8 and L10) and the animal is mentioned in the localisation for the first time. Across all languages, marked order is more frequent than unmarked order, 66% versus 34%, in line with the fact that the locatum is new. The only language in which unmarked order predominates even in this condition is English. In French and Chinese, the two word orders are equally distributed.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22

The medial panel of Table 1 presents the proportions of word orders for layouts in which a reintroduced animal is added to the layout (L7, L9); that is, the animal is neither new nor was it part of the immediately preceding layout: the gorilla reintroduced in L7 had been part of L1-L3, whereas the tiger reintroduced in L9 had been part of L2 (cf. Fig. 1). Across all six languages, marked word order is less frequent in this condition compared to added new locata, 54% versus 66%, yet marked order still predominates. Languages differ, however, in how they respond to this difference in discourse status of the added locatum. A noticeable drop of marked order is observed for English, French and Finnish, with the first two of these languages showing a general predominance of unmarked order. In contrast, German, Georgian and Chinese exhibit no drop in marked word order. In particular, the first two of these languages still show a clear preference for marked order even for reintroduced locata.

23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38

The bottom panel of Table 1 presents the proportions of the two word orders for layouts in which a given animal is displaced, and thus given (L6, L11). In this case, the animal was part of the immediately preceding layout(s). Across languages, marked word order is clearly dispreferred in this condition compared to unmarked order, 21% versus 79%. Accordingly, the drop in frequency of marked order from new to given (45% difference) is much stronger than the drop from new to reintroduced (12% difference). Georgian is the only language in which the marked order $Lx \prec Loc$ is still more frequent even for given locata (56%), although this language exhibits a drop in frequency of the marked word order that is in line with the general pattern (34% difference from new/reintroduced to given).

39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

The proportions presented in Table 1 suggest a major division between given locata (21% ‘ $Lx \prec Loc$ ’) and new or reintroduced locata (66% and 54% ‘ $Lx \prec Loc$ ’, respectively). This finding is challenging, because at first sight the discourse status of displaced locata as well as of reintroduced locata might be collapsed together as ‘given’, an intuition reflected to some extent in the definiteness of the corresponding DPs (see section 6 below). But this is not the case, since new and reintroduced animals pattern together. One possible explanation for the behaviour of a reintroduced animal as new is that a given locatum that was both part of the immediately preceding layout and underwent a visible change of location is more likely to be selected as the topic of the localisation than a locatum that was part of some distant layout and then reappears in a new location. Moreover, the speakers must not only remember the previous occurrence of an animal of the type in question (e.g., a gorilla) but they must also assume that it is the same token (given token) and not just another token of the same type (new token). In section 7, it is proposed that new and reintroduced animals are referents of focused constituents, and that this is the base for their similar role in word order.

1
2
3 Fig. 2 illustrates the proportions presented in Table 1. The major cross-linguistic difference
4 relates to the proportions of the examined orders: while the general covariance of word order
5 and discourse status of the locatum shows up in all languages, there is a subset of languages in
6 which the unmarked word order Loc < Lx order is the preferred order across the conditions
7 (English, French and Chinese), and a subset of languages in which the marked word order
8 Lx < Loc order is the preferred pattern (German, Finnish and Georgian).
9
10
11
12
13

14
15
16 <Insert Fig. 2 here>
17
18

19 To sum up thus far, the order of locatum and locative expression responds to the discourse
20 status of the locatum in all languages: if it is new, it preferably follows the locative
21 expression, but if it is given, it comes first. Converging patterns could be identified across
22 languages, but the use of marked word order substantially differs between two subsets of
23 languages. This difference suggests that structural properties of the languages at issue interact
24 with discourse status. Section 7 proposes a grammatical account of word order variation in
25 terms of syntactic and prosodic properties. But first, some remarks on the use of articles are
26 the subject of section 6.
27
28
29
30
31
32
33

34 35 **6. Definiteness**

36 Finnish, Georgian and Mandarin Chinese do not have definite or indefinite articles; however,
37 English, German and French obligatorily use articles with nouns, at least in the singular. In
38 these languages new animals were generally introduced by indefinite articles and given ones
39 by definite articles. Table 2 compares in percentages the use of definite and indefinite articles
40 in the three languages with obligatory articles.
41
42
43
44
45
46
47
48
49

50
51 <Insert Table 2 here>
52
53

54 The results for definiteness are the clearest for French: 91% of the new animals are mentioned
55 with an indefinite article. Similarly, 78% of the new animals in English and 74% of the new
56 animals in German are introduced by an indefinite article. The reintroduced animals are
57 recognised as such and are very often used with a definite article, again French being the
58 clearest language with only 27.7% of the reintroduced animals being mentioned with an
59 indefinite article. Unsurprisingly, the given displaced animals are accompanied by a definite
60 article most often, in approximately 90% of the cases in all three languages.

7. Alignment: syntax and prosody

This section introduces an optimality-theoretic constraint requiring alignment of a new or reintroduced locatum, which is assumed to be the focus of the sentence with the right edge of an i-phrase. ALIGN-FOCUS-R is used as a universal constraint that every language tries to fulfil the best it can (see Féry 2010). It is formulated in (13) in terms of McCarthy & Prince's (1993) Generalized Alignment theory, which requires that the edges of different types of constituent fall together. I-phrases are the highest prosodic domains, often corresponding to sentences (see Nespor & Vogel 1986, Selkirk 1984 and many others for prosodic constituents).

(13) ALIGN-FOCUS-R (ALIGN-FOCUS R, I-PHRASE R):

Align a focus with the right boundary of an intonation phrase.

ALIGN-FOCUS-R is first of all a requirement on prosody to fulfil the needs of information structure, but the most direct way to achieve its goal goes through syntactic reordering, by non-canonical word order.

In the data examined in this paper, the role of focus is taken over by the locatum in the critical conditions in which the locatum is a new/reintroduced referent. In these conditions, the locatum seeks to be aligned with the right edge of an i-phrase. The result is often a change relative to the unmarked word order, which is more often fulfilled when the locatum is new than when it is reintroduced, speaking for a gradient effect: a constituent can be more or less focused, and thus more or less subject to ALIGN-FOCUS-R (see Table 1). As a rule of thumb, $Lx \prec Loc$ fulfils ALIGN-FOCUS-R better than $Loc \prec Lx$. But this is not always true, as alignment is not just implementation of word order. It is possible to right align a focused locatum without changing word order. And the reverse is true as well. Non-canonical word orders do not imply that ALIGN-FOCUS-R is fulfilled. Examples of both cases are shown below.

The languages under consideration differ with respect to the syntactic operation that is involved in the derivation of non-canonical word orders. German, Georgian and Finnish are scrambling languages, which means that the locative PP may be scrambled to a position higher than the subject in order to satisfy discourse preferences. By contrast, many of the available constructions in English, French and Chinese involve \bar{A} -movement. In this kind of syntactic operation, the displaced constituent occupies an operator position outside the

1
2
3 thematic layer of the clause. Scrambling languages are examined in section 7.1 and languages
4 with \bar{A} -movement in section 7.2.³ Apart from these reorderings, some languages use
5 passivisation in order to change the order between an agent and a patient. This operation also
6 satisfies alignment, as shown in section 7.3. A further way to satisfy alignment is through
7 deaccenting of the material following the focused locatum. This is the subject of section 7.4.
8
9
10
11

12 13 14 7.1 Alignment through scrambling: reordering of p-phrases

15 Three languages in our sample, namely German, Finnish and Georgian, allow for scrambling
16 of the PP constituent over a higher argument; see the two word orders in (12a) vs. (12b) for
17 Finnish and in (14a) vs. (14b) for Georgian. Sentences involving a scrambled PP over the
18 subject (hence, the locative expression precedes the locatum, and the locatum is final) are
19 very frequent in these three languages. The crucial point is that the alternation between
20 canonical and scrambled sentences in these languages is a fairly free operation selected in
21 discourse to fulfil ALIGN-FOCUS-R (see extensive discussion for Georgian in Skopeteas &
22 Fanselow 2009b and Skopeteas & Féry 2010, albeit in a different theoretical framework).
23
24
25
26
27
28
29
30

- 31 (14) a. **cxen-i** maimun-is maržvniv dgas. (Geo 2.1)
32 **horse-NOM** monkey-GEN right stands
33 ‘A/the horse is standing to the right of a/the monkey’.
34
35 b. datv-is maržvniv **žağl-i** dgas ... (Geo 2.5)
36 bear-GEN right **dog-NOM** stands
37
38 ‘There is a/the dog standing to the right of a/the bear ...’
39
40
41
42

43 German, Georgian and Finnish are thus languages that allow the reordering of the
44 constituents by scrambling. For prosody, this implies that the prosodic phrases (p-phrases)
45 projected by the locatum and the locative expression can be reordered inside of a single
46 i-phrase. Let us examine some additional examples fulfilling ALIGN-FOCUS-R from the
47 perspective of the prosody.
48
49
50
51

52 The first example comes from German. In the sentence in (15), the locative expression is in
53 the preverbal position, the subject is postverbal, and each of them forms its own p-phrase
54 (Selkirk 1984, Uhmman 1991, Büring 2001). The order displayed in this sentence allows the
55 new animal to be right aligned in its i-phrase and to fulfil ALIGN-FOCUS-R. The sentence
56 forms a single i-phrase, divided up into two p-phrases. The successive p-phrases are in a
57 downstep relation, which is typical for an i-phrase (Féry 1993). Downstep means that the
58
59
60

1
2
3 highest pitch accent of a p-phrase is lower than the highest pitch accent of the preceding p-
4 phrase.
5
6

- 7
8
9 (15) [[Links vom Pferd]_p [steht jetzt ein **Zebra**]_p]_I (Ger 28.4)
10 left of.the horse stands now a zebra
11
12 ‘To-the-left of the horse stands now **a zebra**’.
13
14

15
16 In German, there is a very strong correlation between word order and direction of excursions
17 as rising or falling tones. If the locatum is before the locative expression, it is realised with a
18 rising tone, but if it is the last constituent of the sentence, it is realised with a falling tone,
19 which is the standard pitch excursion for focused constituents (Büring 1997). This relates to
20 the fact that a declarative sentence ends with a low tone in German, but a medial prosodic
21 phrase often has a high boundary tone. Table 3 compares the correlation between pitch accent
22 of the locatum and discourse status and between the same pitch accents and word order.
23
24
25
26
27
28

29
30 <Insert Table 3 here>
31
32

33 Satisfaction of ALIGN-FOCUS-R in Georgian is illustrated with example (16). The new
34 referent is *lomi* ‘lion’, which is final in its intonation phrase – the remainder of the sentence is
35 in a separate intonation phrase. The entire word *lomi* is uttered on a very low pitch. The first
36 sentence is organised in three prosodic phrases (p-phrases) in a downstep relation to each
37 other, as shown in Fig. 3, a pitch track of (16). The word preceding *lomi*, that is, *dgas*
38 ‘stands’, has a high boundary tone.
39
40
41
42
43
44

- 45
46 (16) [[cxen-is]_p [marcxena mxare-s dgas]_p [**lom-i**]_p]_I [niang-i ağar aris]_I (Geo 6.2)
47 horse-GEN left.DAT side-DAT stands lion-NOM crocodile-NOM no.more is
48
49 ‘There is a/the lion standing on the left side of a/the horse, a/the crocodile left’.
50
51

52
53 <Insert Fig. 3 here>
54
55

56 A very strong (though partial) correlation between word order and tone could be established
57 for Georgian. Georgian is the language with the most Lx < Loc word orders in all conditions
58 (see Fig. 2). Not only is the locatum generally after the locative expression, but it is in the
59 absolute final position of the sentence in 118 cases out of the 172 analysed (69%), thus
60

1
2
3 satisfying ALIGN-FOCUS-R straightforwardly. And it has a special intonation, in which the last
4 constituent has a super-low tone in 109 cases (or 92% of the sentence-final locata). In the few
5 remaining cases, the final locatum has a falling intonation, never a rising one. When the
6 locatum is given (in layouts 6 and 11), it is less often final and has more rarely a super-low
7 tone (3 times in L6 and 7 times in L11). When the locatum is not final (in the remaining 54
8 cases), it is rising (37 cases) or falling (17 cases). These results are summed up in Table 4. In
9 Georgian, downstep is even more regular than in German, since every p-phrase is
10 downstepped relative to the preceding one. Because the focused word is very often final, it is
11 also the lowest (see also Skopeteas, Féry & Asatiani 2009 and Skopeteas & Féry 2010 for
12 Georgian intonation, but different data). It can be thus assumed that finality and low tone are
13 strong indicators for focus.
14
15

16 <Insert Table 4 here>
17
18

19 Finnish, the last language with scrambling, is illustrated in (17). It was shown in Fig. 2 that
20 this language considerably changes its word order depending on the status of the locatum as
21 new or given. As can be gathered from Fig. 4, the last p-phrase is much lower than the
22 preceding one, which suggests that it is part of the same i-phrase. Downstep is again very
23 regular in this language. In the words of Suomi et al. (2008: 114-5): ‘Neutrally uttered
24 complete statements in Finnish generally take a smoothly descending pitch contour; the first
25 syllable is uttered somewhere above (or at) the middle of the speaker’s voice range, and the
26 last syllable is uttered on a very low pitch (often, the end of the intonation-group is
27 accompanied by creak).’ This is fully confirmed in our data (see also Mixdorff et al. 2002 for
28 Finnish intonation).
29
30

- 31 (17) [[ja nytte se-n karhu-n ete-en]_p [tul-i se **gorilla**]_p]_I (Fin 4.7)
32 and now it-GENbear-GEN front-ILL come-IMPF-3SG it gorilla
33 ‘And now in front of a/the bear came a/the gorilla’
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54

55 <Insert Fig. 4 here>
56
57

58 The locatum in Finnish is often realised with a falling pattern, namely 146 times out of the
59 219 sentences analysed.⁴ There is consequently 73 rising tones on the locatum DP, but never
60 when the locatum is given (layouts 6 and 11), suggesting an association of a rising contour

with a new constituent, but not with a given one. This correlates with the observation found in the literature that Finnish has rising tones on strongly accented constituents (see Välimaa-Blum 1993). Compare the data in Table 5.

<Insert Table 5 here>

7.2 Alignment through \bar{A} -movement: creation of i-phrases

ALIGN-FOCUS-R is also achieved by \bar{A} -movement. This option is chosen by languages whose syntax does not allow scrambling and p-phrase reordering. As a result, a more drastic change in syntax and prosody is needed if ALIGN-FOCUS-R is to be fulfilled. This happens by \bar{A} -movement and by creating additional i-phrases. As far as alignment is concerned, if the focused constituent (the locatum) is right aligned with an i-phrase, the result is identical to the one observed for scrambling. But both in terms of syntax and prosody, there is a difference between scrambling and dislocating languages. A different set of constraints is violated in each case (see section 7.5).

English, French and Chinese also allow for marked word orders, as attested in our dataset, though less often than the scrambling languages discussed above. In English and French, we find a number of sentences in which the subject appears *in situ*, while the preverbal slot is filled by an expletive; see English in (18a). A similar pattern with the subject *in situ* is exemplified in (18b) from French, with an *il y a* ‘there is’ expression. In these examples, ALIGN-FOCUS-R is straightforwardly fulfilled.

- (18) a. Now directly in front of that bear there is **a gorilla**. (E 20.7)
 b. À la gauche de l'ours il y a **un chien**. (Fr 7.5)
 ‘On the left of the bear there is **a dog**’.

The English and Chinese data contain some sentences in which the subject remains *in situ* while the preverbal position is occupied by the locative PP. These constructions are exemplified in (19) for Chinese and English (this construction is not attested in the French data, though it is grammatically possible; see Cornish 2001). Again, ALIGN-FOCUS-R is straightforwardly fulfilled in these sentences.

- (19) a. zhu1 de5 xia4 bian5 shi4 **ji1** (Ch 17.7)
 pig ASSOC below be **cock**

1
2
3 'Under a/the pig is a/the cock?'.
4

- 5 b. Now... next to the gorilla... on the gorilla's right is **a horse**. (E 20.1)
6
7

8
9 In still another subset of sentences in English and French, the locative PP is left dislocated
10 to a position that precedes the subject, as exemplified in (20a-b). This operation has the result
11 of reducing everything to the right of the locatum to deaccented material in (20a). This
12 prosodic structure is a way to fulfil ALIGN-FOCUS-R. In (20b), *cheval* is the end of the main i-
13 phrase and the verb *est ajouté* 'is added' is uttered as an afterthought, compressed and in a
14 different i-phrase; see section 7.3. Hence, the examples (20)-(22) illustrate three different
15 structural possibilities to form a Lx < Loc order in English, French and Chinese, and to fulfil
16 ALIGN-FOCUS-R.
17
18
19
20
21
22

- 23
24 (20) a. In front of the bear, who is in front of the stallion, **a gorilla** has positioned
25 himself. (E 9.7)
26
27 b. À droite du gorille **un cheval** est ajouté. (Fr 1.1)
28
29 'On the right of the gorilla **a horse** is added'.
30
31

32 In (21) and (22), additional examples are shown from French and English respectively that
33 illustrate how these languages fulfil ALIGN-FOCUS-R in phrasing.
34

35 French does not have pitch accents in the same sense as German and English because of
36 the lack of lexical stress in this language. But it does have high boundary tones, which are
37 perceived as more or less prominent, along with the height of the high tones. Table 6 shows
38 that 91% of the locata have a rising tone when they precede the locative expression, and 74%
39 of them have a falling tone when they follow the locative expression. And, as was observed
40 for German, the correlation between direction of excursion and status of the animal is weak.
41
42
43
44
45
46
47
48
49
50

<Insert Table 6 here>

51 The sentence-medial rises have thus a partly different and partly similar function to the
52 German high tones, which can explain why downstep is organised in a different way in the
53 two languages: French also has downstep at the highest level of phrasing, but embedded
54 downstep at lower p-phrases is not as regular as it is in German. This language also differs
55 from the languages with scrambling in that stronger boundaries appear between the prosodic
56 phrases. Pauses between p-phrases are longer than in German (see Féry, Hörnig & Pahaut
57 2010 for a quantified comparison between French and German prosodic features).
58
59
60

- 1
2
3
4
5 (21) [Le zèbre est enlevé]_I [et à la droite de l'ours]_I, [vient se mettre un **chien**]_I (Fr 14.5)
6 the zebra is taken-away and at the right of the bear comes REFL put a dog
7
8 'The zebra is taken away, and a dog locates itself to the right of the bear'.
9

10
11 English presents the least clear pattern in its choice of tones. In the 159 sentences analysed,
12 there are 76 rising patterns, 41 falling ones, 34 rising-falling ones, 2 falling-rising and 6
13 completely flat contours. English often upsteps the high tone of a new animal, thus cancelling
14 downstep (see Fig. 6).
15
16
17
18

- 19
20
21 (22) a. [Take away the dog]_I, [and move the **bear**]_I, [so that's in front of the horse]_I (E.16.6)
22 b. ... [and behind the horse]_I [facing the horse's tail]_I [is the **tiger**]_I (E. 21.9)
23
24
25

26 The relevance of the distinction between scrambling and \bar{A} -movement for our data is quite
27 straightforward. While scrambling implies that the ordering of the constituents is free and can
28 be determined by the interaction of discourse and/or accentual preferences, \bar{A} -movement is a
29 restrictive syntactic operation that has to be licensed by a contextual trigger (see Neeleman &
30 Koot 2007, Skopeteas & Fanselow 2009a). This distinction is reflected in our data in terms of
31 frequencies. Speakers of scrambling languages (German, Finnish, Georgian) select PP-
32 fronting much more frequently than speakers of languages in which this configuration
33 involves \bar{A} -movement (English, French, Chinese) under the same discourse conditions. The
34 difference is shown in Table 7, which summarises across conditions the word-order results in
35 constructions with a PP constituent: either a locative PP headed by an adposition (relational),
36 as in (9); a PP headed by an adverb (non-relational), as in (10); or an *instead*-phrase
37 (replacement), as in (11a).
38
39
40
41
42
43
44
45
46
47
48
49

50 <Insert Table 7 here>
51

52
53 In sum, sections 7.1 and 7.2 have shown that all sample languages show a tendency to
54 fulfil alignment of the locatum with the right of an i-phrase. But the individual languages
55 differ with respect to the impact of this constraint on word order. This is because fulfilment of
56 ALIGN-FOCUS-R is obtained through very different operations. On the basis of this finding, we
57 argue that the crucial typological factor is not a distinction between languages with 'rigid' and
58 languages with 'free' word order. The different data patterns reflect the type of syntactic
59
60

operations that the languages employ in order to derive non-canonical word orders. In particular, the operation of scrambling is sensitive to givenness asymmetries, which is reflected in the large amount of marked word orders in the scrambling languages of our sample, i.e., German, Finnish and Georgian. \bar{A} -movement involves a higher degree of structural markedness and occurs less frequently under the same discourse conditions, as is reflected in the lower proportion of non-canonical orders in English, French and Chinese.

7.3 Alignment through passivisation

A further subset of elicited utterances involves two referents as agent and patient constituents of a base transitive verb either in the active or the passive voice. The crucial property of these utterances is that they reflect the speaker's choice among four paradigmatic alternatives (two possible orders in two different voices). The choice of voice can be determined by preferences for linear orders in which the patient constituent precedes the agent constituent, as has been shown in several studies (see Mathesius 1975, Tomlin 1995, Skopeteas & Fanselow 2009a). Hence, it offers a further possibility to satisfy alignment that is only applicable to transitive verbs.

Utterances with base transitive verbs occur in replacement expressions in our dataset. The interaction with givenness is illustrated in the examples (23) from English and (24) from Chinese. In the active sentences, (23a) and (24a), the new referent (agent/locatum) precedes the given one (patient/relatum). In their passive counterparts, (23b) and (24b), the order is inverted and the given referent precedes the new one. As a consequence, the locatum is better aligned to the right of an *i*-phrase. Table 8 summarises the results and shows that passive sentences also occur in French, German and Finnish.

- (23) a. Now it seems a **dairy cow** has replaced the gorilla... (E 9.8)
 b. The bear was replaced by a **pig**... (E 22.10)
- (24) a. xian4 zai4 **ma3** ba3 ji1 huan4 zou3 le5 (Ch 24.8)
 now **horse** APPL cock replace PFV
 'Now a/the horse has replaced a/the cock'.
 b. xian4 zai4 ji1 bei4 yi4 pi3 **ma3** gei3 ti4 huan4 diao4 (Ch 4.8)
 now cock BEI one CL **horse** replace
 'Now a/the cock is replaced by a/the horse'.

1
2
3 the following given material. In this case, the locatum carries the final (nuclear) pitch accent
4 of an i-phrase, and the following material is deaccented. It is well known that newness and
5 givenness can be expressed in some languages by the relation between the heights of tonal
6 excursions. According to relevant principles in the literature (see Jackendoff 1972,
7 Truckenbrodt 1995 and Büring 2009, among others), it is expected that a new (and focused)
8 constituent has a higher pitch accent than a given one.
9

10 The following example illustrates this case. The locatum *Kuh* ‘cow’ is pitch-accented, but
11 the locative expression *an seine Stelle gestellt* ‘put at its place’ is deaccented (see Fig. 5).⁵
12
13

- 14 (26) [Der Gorilla wird entfernt]_I [und eine Kuh an seine Stelle gestellt]_I (Ger 39.8)
15 the gorilla becomes removed and the cow at its place put
16 ‘The gorilla is removed and [a cow]_{LOC} is [at its place]_{LX} put’.
17
18

19 <Insert Fig. 5 here>
20
21
22
23
24
25
26
27
28
29

30 The next example comes from English, the other language beside German that regularly
31 deaccents postnuclear material. In (27), the locatum *cow*, is right aligned with its i-phrase,
32 although it is followed by the locative expression. The reason is that the PP is completely
33 deaccented, and even creaky, as shown in Fig. 6.
34
35
36
37

- 38 (27) Now [[instead of the gorilla]_p]_I [[there is a COW]_p [in front of the bear]_p]_I (E 39.8)
39
40
41
42

43 <Insert Fig. 6 here>
44
45
46
47
48
49

50 Not all the languages in our sample may deaccent given material. French (and Chinese) do
51 not have any lexical stress, and thus no pitch accent associated with them. In these languages,
52 ALIGN-FOCUS-R by deaccenting is not available, or rare.
53

54 In deaccenting, alignment is fulfilled in a different way, since now it is not the lexical
55 material which is right aligned, but rather the head of a prosodic constituent, as shown in (28).
56 The only grid position at the level of the i-phrase is associated with the lexical stress of the
57 locatum *cow*, rendering this word the most prominent of the entire i-phrase. In the following
58 p-phrase, the locative expression is deaccented at this level.
59
60

- 1
2
3 (x) i-phrase
4
5 (x) (x) p-phrase
6
7 (28) [...] [[there is a COW]_p [in front of the bear]_p]_I
8
9

10 7.5. Align

11 Four different ways to fulfil ALIGN-FOCUS-R have been reviewed above. An OT approach
12 allows us to express the fact that every language tries to right align a focus with an i-phrase
13 but that they reach this aim by different means, a case of conspiracy (Kenstowicz &
14 Kisseberth 1979). An important result of this paper is that success differs greatly from
15 language to language and depends on the methods used for satisfying a markedness constraint
16 such as ALIGN-FOCUS-R. Depending on which constraints are at play and how they are
17 ranked, the alignment requirement may be more or less costly to obtain. In this section, which
18 optimality-theoretic constraints are violated in each case are outlined. We restrain from giving
19 a full OT account for each case for reasons of space.
20
21
22
23
24
25
26
27

28 First, languages allowing scrambling reorder p-phrases in such a way that the one
29 containing the focused word (the new or reintroduced locatum) appears at the end of an i-
30 phrase. This does not always happen, because other effects may interfere. In other words,
31 fulfilment of ALIGN-FOCUS-R (29a) is just a preference. Languages with scrambling reorder
32 their constituents for a number of reasons, focus being just one of them. It has been shown
33 that animacy, definiteness, pronominalisation, weight, or length of constituents also act on the
34 order of constituents (Lenerz 1977, Müller 1999). As a result, there is no need for a special
35 constraint for moving a focused constituent, and also no need for a special syntactic position
36 targeting focus. The constraint against scrambling is STAY; see (29b) from Grimshaw (1997).
37 In languages with scrambling, this constraint is ranked lower than ALIGN-FOCUS-R, and it is
38 violated when ALIGN-FOCUS-R is fulfilled.
39
40
41
42
43
44
45
46
47

48 In a second set of languages, exemplified with French and English in our sample, STAY is
49 high-ranking, which means that scrambling and reordering of p-phrases is not an option.
50 However, another way to fulfil ALIGN-FOCUS-R was shown to involve the creation of
51 additional i-phrases. Again, the restructuring of sentences in several i-phrases is not restricted
52 to focus, but languages may create additional i-phrases for all sorts of reasons: topicalisation
53 is one of them. Notice that languages with scrambling generally also have the option to divide
54 sentences into more than one i-phrase. This may result in cleft sentences, for example.
55 However, the results of this paper show that minimal solutions are preferred, and the
56 reordering of p-phrases happens before the creation of i-phrases. In the languages of this
57
58
59
60

1
2
3 second set, when ALIGN-FOCUS-R is fulfilled, MAX I-PHRASE (29c) is violated. Again, there is
4 no need for a special constraint stating explicitly that focus has to be realised in a separate
5 i-phrase. The creation of an i-phrase is the consequence of the overall organisation of the
6 grammar.
7
8
9

10 In a particular subset of sentences that involve an agent and a patient constituent,
11 languages may use passivisation in the same discourse conditions as those triggering the
12 reordering of the locatum and the locative PP. In our view, passivisation is a further
13 possibility in order to change the linearisation for the sake of ALIGN-FOCUS-R. It implies a
14 change of grammatical functions, since now the patient is the subject of the sentence. The
15 constraint penalising such a change comes from Aissen (1999), who proposed a harmonic
16 combination of a grammatical function hierarchy with a theta-role hierarchy: high theta-roles
17 thrive to realise high grammatical functions. For the sake of the present argument, the
18 constraint in (29d) SU/AGENT > SU/PATIENT is sufficient. This constraint reflects the
19 markedness asymmetry between active and passive clauses: An active clause with the agent as
20 a subject is optimal if it does not violate a higher constraint. Again, this constraint is
21 independent from focus. It should be noted that information-structural factors only account
22 for a subset of the passives in discourse.⁶
23
24
25
26
27
28
29
30
31
32
33

34 The last way of fulfilling ALIGN-FOCUS-R implies that the focused constituent carries the
35 last pitch accent in an i-phrase. This goes with deaccenting of the following postnuclear
36 material and renders the focus prominent. There is no need for a special constraint assigning a
37 focus a pitch accent. The presence of pitch accents is independent of focus (see Gussenhoven
38 1983 and Cinque 1993, for instance, who propose a syntactic account of pitch accent
39 assignment). What is special is that some constituents are deaccented, and for this, a
40 constraint like the one formulated in (29e) is needed (see Féry & Samek-Lodovici 2006 for
41 this constraint). This option is only available in languages in which pitch accents are
42 associated with lexical stress.
43
44
45
46
47
48
49
50

51
52 (29) OT Constraints

- 53 a. ALIGN-FOCUS-R: Align a focus with the right boundary of an intonation phrase.
54 b. STAY: do not move constituents.
55 c. MAX I-PHRASE: do not create i-phrases.
56 d. SU/AGENT > SU/PATIENT: an agent is a subject.
57 e. DESTRESS-GIVEN: given material is not accented.
58
59
60

8. Conclusion

With the help of an experiment eliciting pseudo-spontaneous speech in six languages (Chinese, English, Finnish, French, Georgian and German), we examined the role of syntax and prosody for the expression of information structure in a typological perspective. The task consisted in localising toy animals relative to each other. In each layout, one of the animals was new or displaced, and in two cases, the animal was reintroduced. Altogether, 1256 utterances were used in the syntactic and prosodic analysis.

The well known tendency for a given constituent to be uttered before a new constituent delivers the non-canonical marked word order (locative expression before locatum, $Lx \prec Loc$). In a subset of the studied languages (German, Georgian and Finnish), non-canonical orders were dominant in the critical context. At the other extreme, in French and English, non-canonical orders were always non-preferred, even though they occurred more frequently in the critical condition. The Chinese results were intermediate between the two classes of language. This difference relates to the fact that the syntactic operations involved in the derivation of non-canonical word orders differ in the two language types: the non-canonical word orders in German, Georgian and Finnish are the result of scrambling, while the non-canonical word orders in English, French and Chinese are the results of movement to designated positions in the left periphery. In other words, some languages were much more responsive than others in their propensity for a non-canonical word order for the sake of information structure. This difference was attributed to the restricting role played by syntax and prosody in the languages considered.

In grammatical terms, we proposed that ALIGN-FOCUS-R is active (and high-ranking) in all languages. This optimality-theoretic constraint requires the focus (locatum) to be right-aligned in its intonation phrase (i-phrase). In the scrambling languages, this constraint can be fulfilled by scrambling p-phrases relative to a canonical word order, but in dislocating languages, the creation of additional i-phrases relative to the unmarked word order is involved, and higher-ranked constraints are violated.

It was also shown that ‘given before new’ and ALIGN-FOCUS-R cannot be reduced to each other, because ALIGN-FOCUS-R can be fulfilled even if the locatum is placed before the locative expression. In the same way ‘given before new’ is sometimes obtained when ALIGN-FOCUS-R is not.

In terms of prosody, alignment of the new constituent with the right edge of a constituent can be fulfilled in two ways. First, the constituent can be perfectly aligned if it is the last one in its prosodic domain. This happens where the syntax provides the right configuration, either

1
2
3 by default, or by reordering. In the case of dislocation, a new i-phrase may be created, which
4 allows the new constituent to be right aligned. Second, a nuclear pitch accent may be assigned
5 to the new constituent and deaccenting applies to the following material. This is a way of
6 marking the edge of an i-phrase, as the pitch accent is then the last one in its domain.
7
8

9
10 In sum, prosodic alignment is first of all a prosodic constraint that relates information
11 structure to the edge of a prosodic domain. But syntax provides some of the tools to fulfil this
12 constraint. Thus, prosody and syntax are working together in satisfying information-structural
13 needs.
14
15
16
17

18
19 Correspondence address:

20 Caroline Féry

21 Institut für Linguistik

22 Frankfurt University

23 Grüneburgplatz 1

24 60629 Frankfurt/M

25 Germany

26 e-mail: caroline.fery@googlemail.com
27
28
29
30
31
32
33
34
35
36
37
38

39 Abbreviations

40 ALL	allative
41 APPL	applicative
42 ASSOC	associative
43 BEI	gloss for Chinese <i>bei4</i> (passive auxiliary)
44 CL	noun classifier
45 ERG	ergative
46 GEN	genitive
47 ILL	illative
48 i-phrase	intonation phrase
49 NOM	nominative
50 PFV	perfective
51 PL	plural
52 p-phrase	prosodic phrase

1
2
3
4
5 *Goethe-Universität Frankfurt*
6
7 *Institut für Kognitive Linguistik*
8
9 *Grüneburgplatz 1*
10
11 *60629 Frankfurt am Main*
12
13 *Germany*
14 **Email:** *caroline.fery@googlemail.com*
15
16

17 **Universität Potsdam**
18
19 *Department of Linguistics*
20
21 **Sonderforschungsbereich 632 „Information Structure“**
22
23 *University of Potsdam*
24
25 *Karl-Liebknecht-Str. 24/25,*
26
27 *D-14476 Potsdam, Germany*
28 **Email:** *skopetea@rz.uni-potsdam.de, robin.hoernig@uni-potsdam.de*
29
30

31 **References**

- 32
33 Apridonidze, Shukia, 1986. *sit 'q'vatganlageba axal kartulši* [word order in Modern
34 Georgian], Tbilisi: Mecniereba.
35
36 Aissen, Judith (1999). 'Markedness and subject choice in optimality theory'. *Natural*
37 *Language and Linguistic Theory* 17, 673-711.
38
39 Berg, Rob van de, Gussenhoven, Carlos and Rietveld, Toni, 1992. 'Downstep in Dutch:
40 Implications for a model', in Gerhard Docherty and D. Robert Ladd (eds), *Papers in*
41 *Laboratory Phonology II: Gesture, Segment, Prosody*, Cambridge: Cambridge University
42 Press, 335-367.
43
44 Besten, Hans den, 1989. *Studies in West Germanic Syntax*, Amsterdam: Atlanta.
45
46 Birner, Betty and Ward, Gregory, 2004. 'Information structure and non-canonical syntax', in
47 Laurence R. Horn and Gregory Ward (eds), *The Handbook of Pragmatics*, Oxford:
48 Blackwell, 153-174.
49
50 Bolinger, Dwight, 1958. 'A theory of pitch accent in English'. *Word* 14, 109-149.
51
52 Bresnan, Joan, 1994. 'Locative inversion and the architecture of universal grammar'.
53 *Language* 70 (1), 72-131.
54
55 Buring, Daniel, 1997. *The 49th Bridge Accent*. Mouton de Gruyter: Berlin.
56
57
58
59
60

- 1
2
3 Büring, Daniel, 2001. 'Let's phrase it! Focus, word order and prosodic phrasing in German
4 double object constructions', in Gereon Müller and Wolfgang Sternefeld (eds),
5 *Competition in Syntax*, New York: Mouton de Gruyter, 69-105.
6
7
8 Büring, Daniel, 2009. 'Towards a typology of focus realization', in Malte Zimmermann and
9 Caroline Féry (eds), *Information Structure: Theoretical, Typological and Experimental*
10 *Perspectives*, Oxford: Oxford University Press, 177-205.
11
12
13 Chafe, Wallace L., 1976. 'Givenness, contrastiveness, definiteness, subjects, topics and point
14 of view', in Charles N. Li (ed.), *Subject and Topic*, New York: Academic Press, 25-55.
15
16
17 Cinque, Guglielmo, 1993. 'A null theory of phrase and compound stress'. *Linguistic Inquiry*
18 24 (2), 239-297.
19
20
21 Clark, Herbert H., 1972. 'Difficulties people have in answering the question "Where is it?"'.
22 *Journal of Verbal Learning and Verbal Behavior* 13, 133-137.
23
24
25 Clark, Herbert H. and Haviland, Susan, 1977. 'Comprehension and the given-new contrast',
26 in Roy O. Freedle (ed.), *Discourse Production and Comprehension*, Hillsdale, NJ:
27 Lawrence Erlbaum Associates, 1-40.
28
29
30 Cornish, Francis, 2001. 'L'inversion locative en français, italien et anglais: propriétés
31 syntaxiques, sémantiques et discursives'. *Cahiers de grammaire* 26, 101-123.
32
33
34 Culicover, Peter W. and Levine, Robert D., 2001. 'Stylistic inversion in English: A
35 reconsideration'. *Natural Language and Linguistic Theory* 19, 283-310.
36
37
38 Ehrich, Veronika, and Koster, Charlotte, 1983. 'Discourse organization and sentence form:
39 The structure of room descriptions in Dutch'. *Discourse Processes* 6, 169-195.
40
41 Féry, Caroline, 1993. *German Intonational Patterns*, Niemeyer, Tübingen.
42
43 Féry, Caroline, 2010. 'Focus realisation as prosodic alignment'. Ms., Univ. of Frankfurt.
44
45 Féry, Caroline, Hörnig, Robin, and Pahaut, Serge, 2010. 'Correlates of phrasing in French and
46 German from an experiment with semi-spontaneous speech', in Christoph Gabriel and
47 Conxita Lleó (eds), *Intonational Phrasing at the Interfaces: Cross-Linguistic and*
48 *Bilingual Studies in Romance and Germanic*, Amsterdam: Benjamins Publishers.
49
50
51 Féry Caroline and Samek-Lodovici, Vieri, 2006. 'Focus projection and prosodic prominence
52 in nested foci'. *Language* 82 (1), 131-150.
53
54
55 Grimshaw, Jane, 1997. 'Projection, heads and optimality'. *Linguistic Inquiry* 28.3, 373-422.
56
57
58 Gussenhoven, Carlos, 1983. *A Semantic Analysis of the Nuclear Tones of English*,
59 Bloomington, Indiana: Indiana University Linguistics Club.
60
Gussenhoven, Carlos, 2004. *The Phonology of Tone and Intonation*, Cambridge: Cambridge
University Press.

- 1
2
3 Harris, Lauren J., 1975. 'Spatial direction and grammatical form of instructions affect the
4 solution of spatial problems'. *Memory and Cognition* 3, 329-334.
5
6 Hörnig, Robin, and Féry, Caroline, 2010. 'Describing altered spatial layouts', Ms., Univ. of
7 Potsdam and Univ. of Frankfurt.
8
9 Hörnig, Robin, Oberauer, Klaus and Weidenfeld, Andrea, 2005. 'Two principles of premise
10 integration in spatial reasoning'. *Memory and Cognition* 33, 131-139.
11
12 Hörnig, Robin, and Weskott, Thomas, 2009. 'Given and new information in spatial
13 statements', in Malte Zimmermann and Caroline Féry (eds), *Information Structure:
14 Theoretical, Typological, and Experimental Perspectives*, Oxford University Press, 354-
15 374.
16
17 Huttenlocher, Janellen, and Strauss, Susan, 1968. 'Comprehension and a statement's relation
18 to the situation it describes'. *Journal of Verbal Learning and Verbal Behavior* 7, 527-530.
19
20 Ihalainen, Ossi, 1979. 'Some remarks on word order and definiteness in Finnish and English'.
21 *Papers and Studies in Contrastive Linguistics* 11, 59-68.
22
23 Ivanishvili, Marine, and Soselia, Ether, 1999. 'A morphological structure and semantics of the
24 Georgian so-called passive forms'. *Proceedings of the Fourth International Tbilisi
25 Symposium on Language, Logic, and Computation*, Batumi.
26
27 Jackendoff, Ray S., 1972. *Semantic Interpretation in Generative Grammar*, Cambridge, MA:
28 MIT Press.
29
30 Kenstowicz, Michael and Kisseberth, Charles, 1979. *Generative Phonology*, San Diego:
31 Academic Press.
32
33 Klein, Wolfgang, 1991. Raumausdrucke. *Linguistische Berichte* 132, 77-114.
34
35 Lenerz, Jürgen, 1977. *Zur Abfolge nominaler Satzglieder im Deutschen*. Tübingen: Narr.
36
37 Levelt, Willem J. M., 1984. 'Some perceptual limitations on talking about space', in Andrea J.
38 van Doorn, Wim A. van der Grind and Jan J. Koenerdink (eds), *Limits in Perception*.
39 Utrecht: VNU Science Press, 323-358.
40
41 McCarthy, John J. and Prince, Alan S., 1993. 'Generalized alignment', in Geert Booij and
42 Jaap van Marle (eds), *Yearbook of Morphology 1993*, Dordrecht: Kluwer. 79-153.
43
44 Mathesius, Vilém, 1975. *A Functional Analysis of Present Day English on a General
45 Linguistic Basis* [ed. by J. Vachek], The Hague, Paris: Mouton de Gruyter.
46
47 Mixdorff, Hansjörg, Vainio, Martti Werner, Stefan and Järvikivi, Juhani, 2002. 'The Mani-
48 festation of linguistic information in prosodic features of Finnish', in Bernard Bel and
49 Isabelle Marlien (eds), *Proceedings of Speech Prosody 2002 Conference*, Aix-en-
50 Provence: Laboratoire Parole et Langage, 515-518.
51
52
53
54
55
56
57
58
59
60

- 1
2
3 Müller, Gereon, 1999. 'Optimality, markedness, and word order in German'. *Linguistics* 37,
4 777-818.
5
6 Neeleman, Ad and Koot, Hans van de, 2007. 'The nature of discourse templates'. Ms., UCL.
7
8 Nespør, Marina and Vogel, Irene, 1986. *Prosodic Phonology*, Dordrecht: Foris.
9
10 Prat-Sala, Mercè, 1997. *The Production of Different Word Orders: A Psycholinguistic and*
11 *Developmental Approach*, Ph.D. dissertation, Edinburgh: University of Edinburgh.
12
13 Selkirk, Elisabeth O., 1984. *Phonology and Syntax: The Relation Between Sound and*
14 *Structure*. Cambridge: MIT Press.
15
16 Skopeteas, Stavros, and Fanselow, Gisbert, 2009a. 'Effects of givenness and constraints on
17 free word order', in Malte Zimmerman and Caroline Féry (eds), *Information Structure:*
18 *Theoretical, Typological, and Experimental Perspectives*, Oxford: Oxford University
19 Press, 307-331.
20
21 Skopeteas, Stavros, and Fanselow, Gisbert, 2009b. 'Focus in Georgian and the expression of
22 contrast'. *Lingua*, doi:10.1016/j.lingua.2008.10.012.
23
24 Skopeteas, Stavros, Féry, Caroline, 2010. 'Prosodic structure of Georgian: Evidence from
25 language production'. Ms., University of Potsdam and University of Frankfurt.
26
27 Skopeteas, Stavros, Féry, Caroline, and Asatiani, Rusudan, 2009. 'Word order and intonation
28 in Georgian'. *Lingua* 119 (1), 102-127.
29
30 Steedman, Mark, 2000. 'Information structure and the syntax-phonology interface'. *Linguistic*
31 *Inquiry* 31, 649-689.
32
33 Suomi, Kari, Toivanen, Juhani and Ylitalo, Riikka, 2008. *Finnish Sound Structure: Phonetics,*
34 *Phonology, Phonotactics and Prosody*. Oulu: Oulu University Press.
35
36 Thiersch, Craig, 1978. *Topics in German Syntax*, Ph. D. dissertation, Cambridge, MA: MIT.
37
38 Tomlin, Russell, 1995. 'Focal attention, voice, and word order: an experimental, cross-
39 linguistic study', in Pamela Downing and Michael Noonan (eds), *Word Order in*
40 *Discourse*, Amsterdam/Philadelphia: Benjamins, 517-554.
41
42 Truckenbrodt, Hubert, 1995. *Phonological Phrases: Their Relation to Syntax, Focus and*
43 *Prominence*, Unpublished Doctoral Dissertation, Cambridge, MA: MIT.
44
45 Uhmann, Susanne, 1991. *Fokusphonologie: Eine Analyse deutscher Intonationskonturen im*
46 *Rahmen der nicht-linearen Phonologie*. Tübingen: Niemeyer.
47
48 Ullmer-Ehrich, Veronika, 1982. 'The structure of living room descriptions', in Robert J.
49 Jarvella and Wolfgang Klein (eds), *Speech, Place, and Action: Studies in Deixis and*
50 *Related Topics*, Chichester: Wiley, 219-249.
51
52
53
54
55
56
57
58
59
60

Välismaa-Blum, Riitta, 1993. 'A pitch accent analysis of intonation in Finnish'. *Ural-Altische Jahrbücher* 12, 82-94.

Van Valin, Robert, 1999. 'A typology of the interaction of focus structure and syntax', in Ekaterina Raxilina and Jakov Testelec (eds), *Typology and the Theory of Language: From Description to Explanation*, Moscow.

Xu, Yi, 1999. 'Effects of tone and focus on the formation and alignment of F0 contours'. *Journal of Phonetics*, 27, 55-105.

Notes

¹ This paper is part of the SFB 632 on Information Structure located in Potsdam, financed by the DFG. Thanks to Anja Arnhold (Finnish), Rusudan Asatiani (Georgian), Joseph DeVeugh-Geiss (English), Wang Bei (Chinese), and Serge Pahaut (French) for their help with gathering and analyzing the data. Georg Höhn, Kristin Irsig, Fabian Schubö and Verena Thießen provided technical help. We are also grateful to Joseph DeVeugh-Geiss for correcting the last version of this paper and for checking our English. Our gratitude also goes to two anonymous reviewers and to the editors. We entirely revised the first version of this paper according to the suggestions of one of the reviewers.

² In the German experiment, only the gorilla in L7 was reintroduced; the tiger in L9 was new since the lion was part of L2 instead of the tiger.

³ The distinction adopted here differs from a binary distinction between languages with 'free word order' in which different orderings are allowed and languages with 'rigid word order' in which the order is syntactically fixed (see Mathesius 1975: 156ff., Tomlin 1995: 538, Prat-Sala 1997: 99, Van Valin 1999). In the view advocated in our article, all languages have the structural possibility of deriving marked word orders (and they do so as our findings in Table 1 show), albeit through different syntactic operations.

⁴ In the literature on Finnish intonation, it is often stated that accented syllables are only realised by rising tones. The following fall is then attributed to an extra low boundary tone (see for instance Väliina-Blum 1984). This is not disconfirmed by our data.

⁵ See also the examples in (20) in which only the verb follows the right-aligned locatum. In such a case, the verb is integrated into the same p-phrase as the locatum, and it is this latter word which is the head of the p-phrase.

⁶ Beyond information structure, passivisation can be used in order to suppress the expression of the agent and is associated with semantic effects in several language, e.g., it may interact with the lexical aspect.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

For Peer Review

L1	Crocodile	Gorilla	HORSE		
L2	Gorilla	Horse	TIGER*		
L3	Gorilla	Horse	BEAR		
L4	ZEBRA	Horse	Bear		
L5		Horse	Bear	DOG	
L6	Horse	L7	Horse		L8
	<i>Bear</i>		Bear		Bear
			GORILLA		COW
L9	TIGER	L10	PIG		
	Horse		Tiger		
	Bear		Horse		
L11		<i>Tiger</i>	Horse		

Figure 1 Layouts used in the experiment (* in the German experiment, a lion was added instead of the tiger)

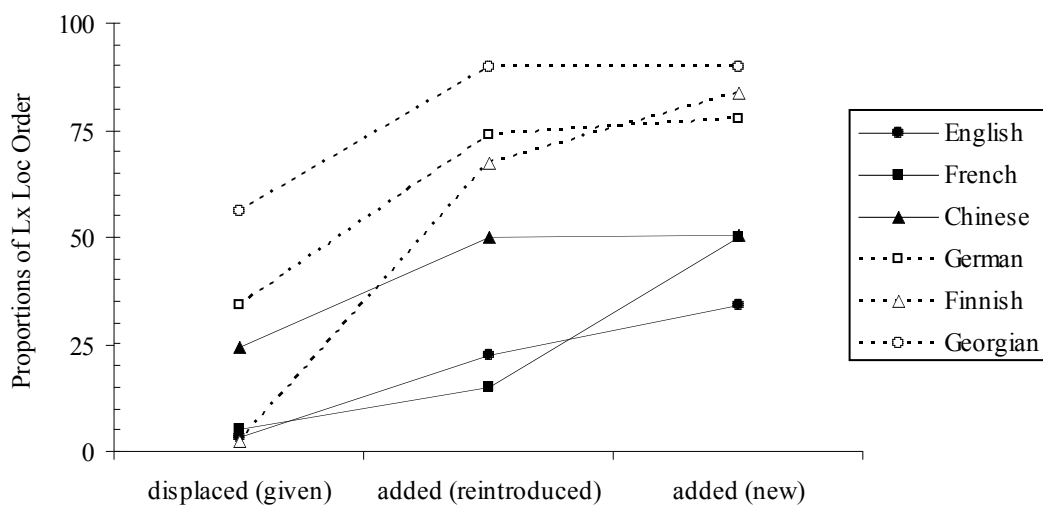


Figure 2 Proportions of orders with Lx preceding Loc

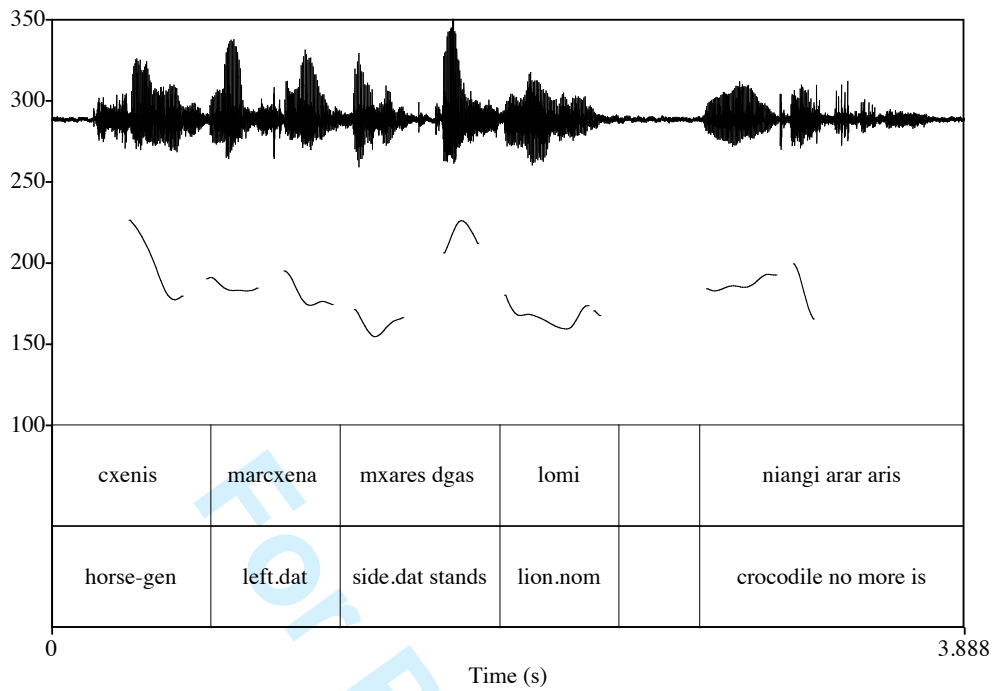


Figure 3 Super-low tone in Georgian

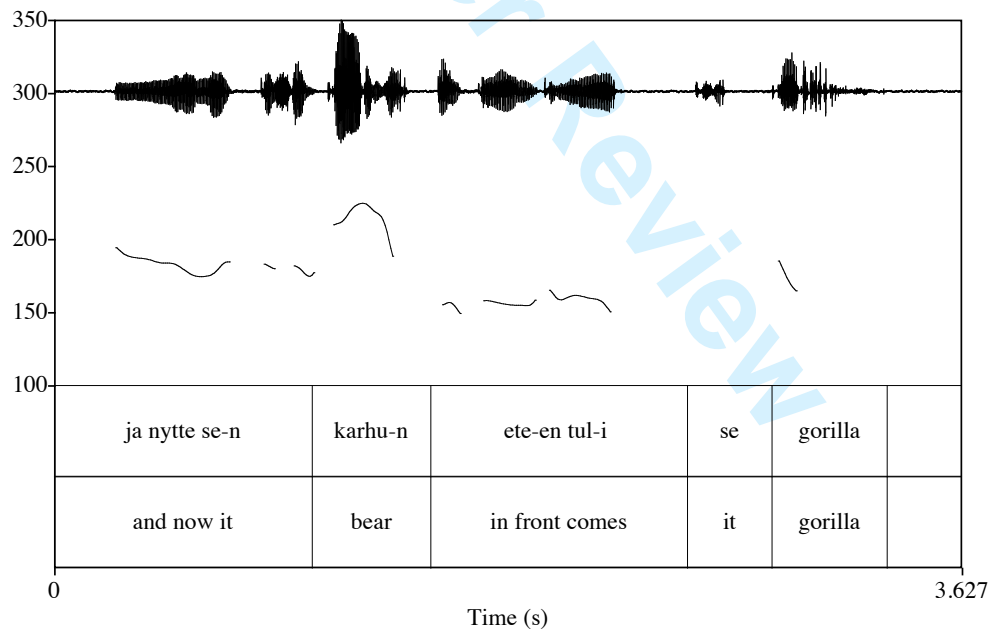


Figure 4 Initial fall followed by register lowering in Finnish

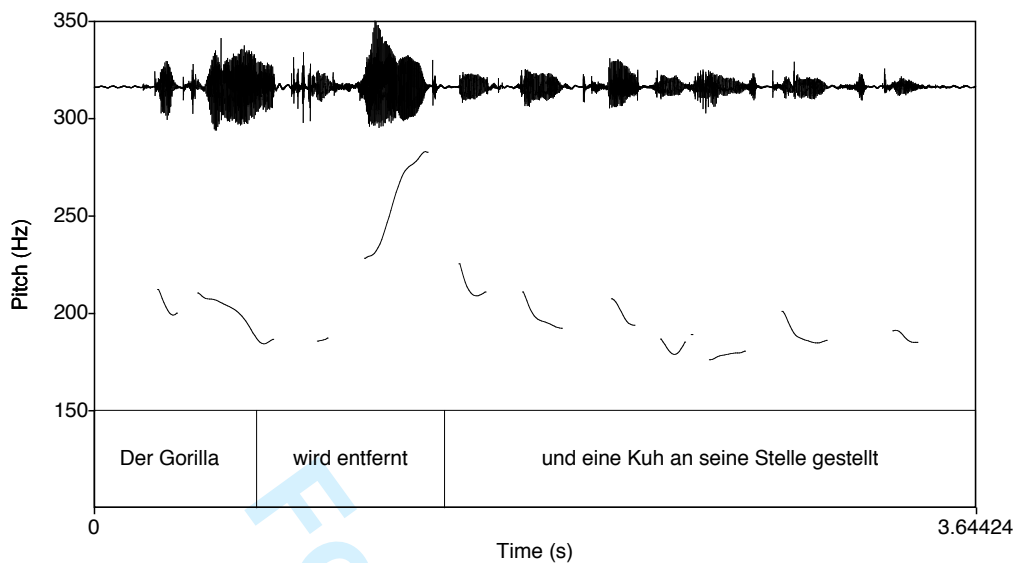


Figure 5 Deaccenting of postnuclear material in German

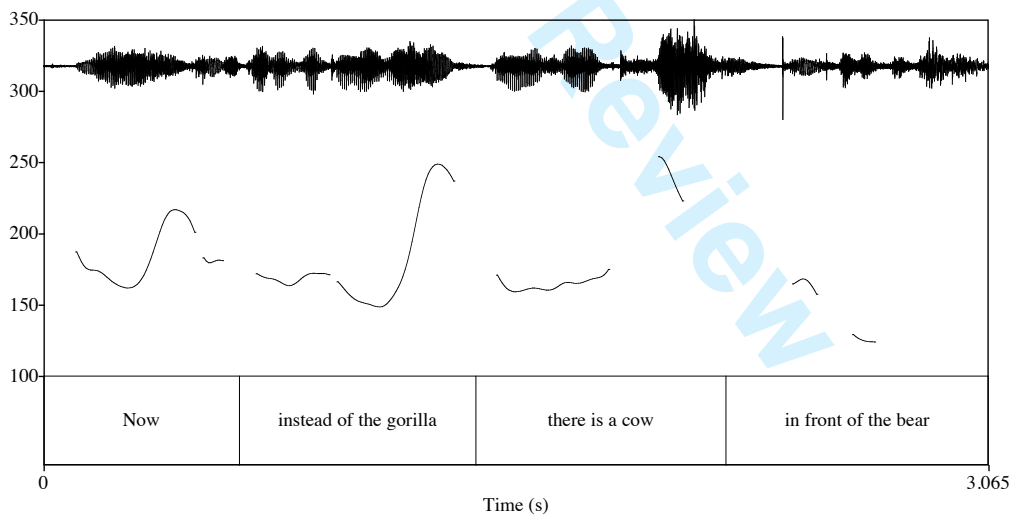


Figure 6 Deaccenting of postnuclear material in English

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

Table 1. Frequencies of unmarked (Loc < Lx) and marked (Lx < Loc) word order

	English		French		German		Finnish		Georgian		Chinese		Total	
	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
Added (new)	99	100	138	100	199	100	140	100	108	100	115	100	799	100
Loc < Lx	65	66	69	50	45	23	23	16	11	10	57	50	270	34
Lx < Loc	34	34	69	50	154	77	117	84	97	90	58	50	529	66
Added (reintroduced)	31	100	39	100	57	100	40	100	29	100	38	100	234	100
Loc < Lx	24	77	33	85	15	26	13	33	3	10	19	50	107	46
Lx < Loc	7	23	6	15	42	74	27	68	26	90	19	50	127	54
Displaced (given)	30	100	37	100	56	100	40	100	32	100	29	100	224	100
Loc < Lx	29	97	35	95	37	66	39	98	14	44	22	76	176	79
Lx < Loc	1	3	2	5	19	34	1	3	18	56	7	24	48	21

Table 2. Indefinite articles in the new, reintroduced and displaced (given) locata

	English		German		French	
	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
New animal	88	78	127	74	128	91
Reintroduced	29	59	12	45	11	28
Displaced (given)	3	9	5	10	3	8

Table 3. Tonal contours in German

	New		Given		Lx-Loc		Loc-Lx	
	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
Falling contour	133	67	19	26	136	74	14	16
Rising contour	66	33	54	74	48	26	74	84
Total	199	100	73	100	184	100	88	100

Table 4. Tonal contours in Georgian

	Final locata		Non-final locata	
	<i>n</i>	%	<i>n</i>	%
Super-low tone	109	92	–	–
Falling contour	9	8	17	31
Rising contour	–	–	37	69
Total	118	100	54	100

Table 5. Tonal contours in Finnish

	New locatum		Given locatum	
	<i>n</i>	%	<i>n</i>	%
Falling contour	106	59	40	100
Rising contour	73	41	–	–
Total	179	100	40	100

Table 6. Tonal contours in French

	New		Given		Lx-Loc		Loc-Lx	
	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
Falling contour	65	41	7	18	60	74	12	9
Rising contour	114	59	31	82	21	26	124	91
Total	179	100	38	100	81	100	136	100

Table 7. Fronting PP constituents (S = subject; PP = prepositional phrase)

	English		French		German		Finnish		Georgian		Chinese		Total	
	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
S < PP	117	89	133	73	97	34	75	35	28	18	72	59	522	48
PP < S	14	11	50	27	187	66	141	65	131	82	51	41	574	52
Total	131	100	183	100	284	100	216	100	159	100	123	100	1096	100

Table 8. Fronting NP-constituents (Act. = active; Pass. = passive; S = subject, O = object)

	English		French		German		Finnish		Georgian		Chinese		Total	
	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%
Act., S-first	1	3	1	3	1	4	–	–	–	–	26	84	29	23
Act., O-first	–	–	–	–	–	–	–	–	10	100	–	–	10	8
Pass., S-first	28	97	30	97	22	96	4	100	–	–	5	16	89	70
Total	29	100	31	100	23	100	4	100	10	100	31	100	128	100

For Peer Review

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60