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Different mechanisms for role relations versus verb-action congruence effects: Evidence from ERPs in picture-sentence verification

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Abstract

Extant accounts of visually situated language processing do make general predictions about visual context effects on incremental sentence comprehension; these, however, are not sufficiently detailed to accommodate potentially different visual context effects (such as a scene-sentence mismatch based on actions versus thematic role relations, e.g., Altmann & Kamide, 2007; Knoeferle & Crocker, 2007; Taylor & Zwaan, 2008; Zwaan & Radvansky, 1998). To provide additional data for theory testing and development, we collected event-related brain potentials (ERPs) as participants read a subject-verb-object sentence (500 ms SOA in Experiment 1 and 300 ms SOA in Experiment 2), and post-sentence verification times indicating whether or not the

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9 verb and/or the thematic role relations matched a preceding picture (depict-
10 ing two participants engaged in an action). Though incrementally processed,
11 these two types of mismatch yielded different ERP effects. Role-relation mis-
12 match effects emerged at the subject noun as anterior negativities to the mis-
13 matching noun, preceding action mismatch effects manifest as centro-parietal
14 N400s greater to the mismatching verb, regardless of SOAs. These two types
15 of mismatch manipulations also yielded different effects post-verbally, corre-
16 lated differently with a participant's mean accuracy, verbal working memory
17 and visual-spatial scores, and differed in their interactions with SOA. Taken
18 together these results clearly implicate more than a single mismatch mecha-
19 nism for extant accounts of picture-sentence processing to accommodate.
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30 **Keywords:** situated language processing accounts; sentence-picture ver-
31 ification; visual context effects; event-related brain potentials
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35 **Introduction**

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38 Language processing is central to a diverse range of communicative tasks
39 including reading books, exchanging ideas, and watching the news, among
40 many others. It also plays an important role in tasks in which communica-
41 tion is not the primary goal such as navigating in space, buying a ticket at a
42 vending machine, or acquiring new motor skills. Indeed, much language pro-
43 cessing takes place in a rich non-linguistic context. Such 'situated' language
44 comprehension has been investigated in a variety of tasks using a variety of
45 dependent measures including response times, eye movements, and event-
46 related brain potentials (ERPs) - studies from which a reliable set of findings
47 has emerged.
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Perhaps most notably it has become increasingly clear that language is robustly mapped onto visual context. Incongruence (vs. congruence), for instance, affects how rapidly people verify a written sentence against a picture - faster for matching than mismatching stimuli (e.g., Clark & Chase, 1972; Gough, 1965). Moreover, it does so whether the task is verification (in response times) or sentence reading (in fixation times precisely at the word that mismatches aspects of the visual context, Knoeferle & Crocker, 2005).

There is also a general consensus that different aspects of a situational context - such as space, time, intentionality, causation, objects, protagonist - contribute to the construction of mental representations/models (see Zwaan & Radvansky, 1998, for a review). Modifications to each of these aspects can engender longer response times to probes and/or total sentence reading times, when there is a change in time or place in a narrative versus when there is not.

Another seminal finding concerns the time course of language-vision integration and the role of the visual context in language processing. The pattern of eye movements to objects as participants listen to related sentences in the ‘visual world paradigm’ has shown that a referential visual context can help resolve linguistic ambiguity within a few hundred milliseconds (e.g., Tanenhaus et al., 1995). This paradigm also has been used to argue that people anticipate objects when the linguistic context is sufficiently constraining (Altmann & Kamide, 1999; Kamide et al., 2003; Sedivy et al., 1999).

Similarly, anticipation seems to occur when spoken sentences are ambiguous but action events impose constraints on visual attention (Knoeferle et al., 2005). In short, language processing is temporally coordinated with visual

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9 attention to objects and events, presumably enabling rapid visual context
10 effects on comprehension ¹.
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13 Last but not least, recent studies suggest that these visual context ef-
14 fects involve functionally distinct comprehension processes. Knoeferle et al.
15 (2011), for instance, examined verb-action relationships as participants read
16 a subject-verb-object sentence and verified whether or not the verb matched
17 an immediately preceding depicted action. Two qualitatively distinct ERP
18 effects emerged (one at the verb, the other at the post-verbal object noun)
19 implicating functionally distinct processes in understanding even a ‘single’
20 (verb-action) mismatch. Mismatches between the role relations expressed
21 in a sentence and depicted in a drawing elicited at least partially different
22 ERP effects from those to verb-action mismatches, thereby corroborating the
23 hypothesis that there may be functionally distinct mechanisms in mapping
24 language to the visual context (Wassenaar & Hagoort, 2007, although see
25 Vissers et al. (2008)).
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39 *Accounting for visual context effects in language comprehension*
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41 Results such as these from situated language research have inspired a
42 host of models that differ in their coverage (of a specific task or language
43 comprehension more generally), their natures (frameworks vs. processing ac-
44 counts), and their representational assumptions (modular or not). Among
45 the task-specific models, the ‘Constituent Comparison’ model accommodates
46 picture-sentence verification (Carpenter & Just, 1975), whereas the ‘Moni-
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53 ¹By ‘visual context effects’ we mean the influence of scene-derived representations on
54 language comprehension processes.
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9 toring Theory' accommodates error monitoring (see, e.g., Kolk et al., 2003;
10 Van de Meerendonk et al., 2009). These two models, however, provide lim-
11 ited coverage of comprehension more broadly and have proven inadequate as
12 they predict no incremental effects (Constituent Comparison Model) or the
13 same response to any type of incongruence (Monitoring Theory).
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19 With regard to their nature, some accounts (e.g., situation models) are
20 best characterised as frameworks for the construction of mental models in lan-
21 guage and memory tasks. One situation model - the event-indexing model,
22 for instance - specifies that a newly incoming cue (e.g., a new protagonist)
23 leads to an update of the relevant index (e.g., the protagonist index). This
24 model is underspecified as to precisely when such updates occur and how they
25 might affect specific comprehension processes. Other accounts, by contrast,
26 specifically designed to accommodate the processes implicated in real-time
27 situated language comprehension (e.g., Altmann & Kamide, 2009; Knoeferle
28 & Crocker, 2006, 2007), all assume rapid influences of non-linguistic rep-
29 resentations on language processing but differ in their representational and
30 mechanistic assumptions.
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41 Altmann & Kamide (2009), for example, postulate a single representa-
42 tional format for different aspects of language and the visual context, in
43 line with recent accounts of embodied cognition (Barsalou, 1999, see also
44 Glenberg & Robertson (1999)). By contrast, the Coordinated Interplay
45 Account (CIA, Knoeferle & Crocker, 2007) assumes distinct language and
46 scene-derived representations. With regard to mechanisms, some of these
47 accounts assume that corresponding elements of a sentence and of the vi-
48 sual context in the focus of attention are co-indexed, thereby establishing
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9 reference (Glenberg & Robertson, 1999; Knoeferle & Crocker, 2006, 2007).
10 Others postulate attention-mediated representational overlap and competi-
11 tion among representations (Altmann & Kamide, 2009).
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15 These situation models cover a broad range of situational dimensions and
16 associated mental representations, but are underspecified regarding the real-
17 time coordination of language processing and (visual) attention. The various
18 real-time processing accounts, by contrast, can at least in broad stroke, ac-
19 commodate the rapid coordination of language processing, visual attention,
20 and visual context effects but are underspecified with regard to how different
21 aspects of the situation model feed into distinct comprehension processes (but
22 see Crocker et al., 2010). In sum, there is no principled account of how visual
23 context affects functionally distinct processes during situated comprehension.
24 Indeed, we have limited knowledge of the relative time courses or types of
25 processes underlying the different visual context effects during language com-
26 prehension, although these are clearly key to any account of how language is
27 interpreted against a current visual background (i.e., situated language com-
28 prehension). In the present studies we aim to help fill this theoretical gap by
29 collecting ERPs to distinctly different sorts of picture-sentence mismatches
30 in a verification task.
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32 *Verb-action versus thematic role relations mismatches: ERPs and RTs*

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35 Specifically, we conducted two picture-sentence verification studies, each
36 with two different types of violation within individuals. We used a known
37 (verb-action) mismatch that elicits an N400 to the mismatching verb and
38 negativity to the patient noun and a role relations mismatch. Given a picture
39 of a gymnast punching a journalist, a sentence such as *The gymnast punches*
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9 *the journalist* constitutes a complete match; a sentence about the gymnast
10 applauding the journalist includes a verb-action mismatch; a sentence about
11 the journalist punching the gymnast includes a role-relation mismatch; and
12 a sentence stating the journalist applauds the gymnast includes both a role-
13 relation mismatch (wrong agent and patient) and an action mismatch (wrong
14 action).

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16 We recorded ERPs as participants inspected one of these types of pic-
17 tures and shortly thereafter read an NP1-Verb-NP2 sentence, after which we
18 collected their end-of-sentence verification response. To aid in our interpre-
19 tation of the (mis)match effects, we also collected participants' scores in the
20 reading span test (Daneman & Carpenter, 1980) and a motor-independent
21 version of the extended complex figure test (Fasteneau, 2003). We compared
22 the ERPs to the two violation types in morphology, timing, and scalp topog-
23 raphy. We also examined their relationships to end of sentence responses and
24 to other behavioural variables (e.g., verbal and visual-spatial working mem-
25 ory). We plan to use the extent to which action and depicted role relation
26 (mismatch) effects on language comprehension are similar in these respects
27 to determine whether or not the effects are best accounted for by a single
28 functional cognitive / neural mechanism or more.

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30 *Predictions of a single cognitive / neural mechanism.* If a single mechanism
31 is engaged by any mismatch between the pictorial representation and the
32 ensuing verbal description, then any and all mismatches should elicit the
33 same ERP response, though they might differ in timing. Participants may
34 assign roles to the depicted event participants (e.g., a patient role to the
35 gymnast) and compare these to sentential role relations as they read a sen-
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9 tence (e.g., *The gymnast applauds...*). Depending on when they assign the
10 thematic (agent) role to the first noun phrase, this may occur as soon as the
11 first noun, or perhaps not until the verb. If both the role relations and verb
12 action mismatch effects appear at the verb, they may be indexed by larger
13 negative mean amplitude ERPs compared with matches (N400) as reported
14 for active sentences (Knoeferle et al., 2011; Wassenaar & Hagoort, 2007).
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21 Moreover, if all mismatches engage the same cognitive / neural mecha-
22 nism, we would expect them to co-vary similarly with behavioral measures.
23 We have reported reliable correlations between N400 congruence effects at the
24 verb and end-of-sentence congruence response times in young adults (Knoe-
25 ferle et al., 2011). Participants with a small N400 congruence effect at the
26 verb tended to exhibit a large response time congruence effect at sentence
27 end, and vice versa. In addition, participants with lower verbal working
28 memory tended to have larger response time congruence effects, suggesting
29 that the time course of congruence processing might vary with verbal work-
30 ing memory. With the present study we can see whether these findings will
31 replicate and/or generalize, and the extent to which role relation and action
32 mismatches behave similarly. Under a single mechanism view, we should see
33 mismatch effects in the response times to action and role-relation mismatches
34 alike.
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48 *Predictions: More than a single cognitive / neural mechanism?*. Alterna-
49 tively, if more than a single mechanism subserves various picture-sentence
50 mismatches, then we aim to deduce their natures and relative timing from
51 the ERP and RT data. N400 effects, for example, are usually taken to re-
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9 2011, for a review). Some of the reported between-experiment variation
10 in congruence processing in the literature may reflect the sensitivity of the
11 N400 and/or ERPs more generally to different types of mismatches. Extant
12 studies, however, also differ in other ways: spoken comprehension in healthy
13 older adults (Wassenaar & Hagoort, 2007) versus sentence reading in younger
14 adults (Knoeferle et al., 2011).
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20 If these reported results (Knoeferle et al., 2011; Wassenaar & Hagoort,
21 2007) replicate within subjects in the same experiment, we would expect to
22 see larger N400s at the verb and post-verbal noun for both verb-action and
23 sentence role relations mismatches relative to matches, a post-N400 positivity
24 for the role relations mismatches only, and end-of-sentence response time mis-
25 match effects for the verb-action mismatches only. Moreover, to the extent
26 that the N400 mismatch effects and the relative positivity reflect functionally
27 distinct neural processes, we would expect them to correlate differently with
28 end-of-sentence RTs and the behavioral scores.
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38 If we see N400 amplitude modulations at the verb for both kinds of mis-
39 matches, there are several possible outcomes. If these two kinds of mis-
40 matches are processed by separate stages (as in any strictly serial account),
41 both of which contribute to verb processing, then the N400 amplitude would
42 reflect additivity (see, e.g., Hagoort, 2003; Kutas & Hillyard, 1980; Stern-
43 berg, 1969, for the methodology and its application to ERP data): Double
44 mismatches would yield the largest N400s and the longest end-of-sentence re-
45 sponse times, no mismatches the smallest N400 amplitudes and the shortest
46 RTs, and single matches of either kind intermediate N400s. Alternatively, if
47 these two types of mismatches engage interacting processes, we would expect
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9 to see non-additivity in the ERPs and RTs. The CIA (like other accounts)
10 is underspecified as to whether or not these two mismatches might interact.
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13 Alternatively, verb-action and role relations mismatches may not emerge
14 at the same word (the verb). On a fully incremental account, participants
15 could assign a patient role to the gymnast upon seeing the gymnast as the pa-
16 tient in an event depiction, an agent role to it upon reading the noun phrase
17 *the gymnast*, in sentence-initial position (see, e.g., Bever, 1970), and thus im-
18 mediately experience a mismatch. On this possibility, the ERPs might index
19 role mismatch at the first noun, before a verb-action mismatch; on the as-
20 sumption that a mismatch earlier in the sentence enables earlier preparation
21 and thus faster response execution, response times to role relations would be
22 faster than those to action mismatches. These role mismatch effects might
23 manifest as an N2b, as observed for adjective-color mismatches (D’Arcy &
24 Connolly, 1999) and role relations mismatches in irreversible active sentences
25 (Wassenaar & Hagoort, 2007), or as a N400-like relative negativity to the first
26 noun.
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29 Another, albeit less likely, alternative given the incremental and/or pre-
30 dictive nature of language comprehension (e.g., Elman, 1990; Federmeier,
31 2007; Hale, 2003; Kamide et al., 2003; Levy, 2008; Pickering & Garrod, 2007)
32 is that the depicted and sentential role relations are compared only *after* peo-
33 ple have accessed the verb’s lexical entry (e.g., Carlson & Tanenhaus, 1989;
34 MacDonald et al., 1994). If so, then we might expect to see later ERP effects
35 and perhaps slower verification times for role than verb-action mismatches.
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39 In sum, we believe that the relative time course of the congruence effects
40 to these two picture-sentence mismatch manipulations, their topographies
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9 and their relationships with end-of-sentence verification latencies and neu-
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and their relationships with end-of-sentence verification latencies and neu-
psychological test scores, will provide additional constraints on (single or
more) mechanisms found in accounts of visually situated language compre-
hension.

Experiments 1 and 2

Methods

Participants

Thirty-two students of UCSD took part in Experiment 1 (16 females, 16
males; aged 18-29, mean age: 20.84); a different set of thirty-two participated
in Experiment 2 (16 females, 16 males; aged 18-23, mean age = 19.94). All
participants were native English speakers, right-handed (Edinburgh Hand-
edness Inventory), and had normal or corrected-to-normal vision. All gave
informed consent; the UCSD IRB approved the experiment protocol.

Materials, design, and procedure

Materials for both experiments were derived from Knoeferle et al. (2011)
by creating two new pictures and sentences for each item. The design by
Knoeferle et al. (2011) had 1 within-subjects factor (*action congruence* with
the levels congruent, Picture 1a vs. incongruent, Picture 1b, see Table 1).
To this we added Pictures 1c and 1d, resulting in a 2 x 2 within-subject
design with the factors *role-relation congruence* (congruent, Picture 1a/b vs.
incongruent, Picture 1c/d) and *action congruence* (Pictures 1a/c vs. 1b/d,
Table 1).

The sentence, *The gymnast punches the journalist*, in Table 1 is con-
gruent with respect to both the action and role dimensions for Picture 1a,

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Table 1: about here

(full match); it is incongruent with respect to the action but congruent with respect to the role-relations dimension for Picture 1b (action mismatch); it is congruent with respect to the action but incongruent with respect to the role relations dimension with Picture 1c (role mismatch); and it is incongruent with respect to both these dimensions for Picture 1d (combined mismatch). In Knoeferle et al. (2011) 21 of the 80 items had first and/or second noun phrases that were composite (e.g., *the volleyball player*) while the remaining 59 items had simple noun phrases (e.g., *the gymnast*). This was changed for the present experiments such that only simple noun phrases were used.

The materials were counterbalanced to ensure that any congruency-based ERP differences were not spuriously due to stimuli or to their presentation: (1) Each verb (e.g., *punches / applauds*) and corresponding action (punching/applauding) occurred once in a congruent (match) and once in an incongruent (mismatch) condition; (2) Each verb and action occurred in two different items (with different first and second nouns); and (3) Directionality of the actions (the agent standing on the left vs. the agent standing on the right) were also counterbalanced.

There were 80 item sets which, combined with the conditions and the counterbalancing (counterbalancing measures (1) and (3)), yielded 16 experimental lists. Each list contained one occurrence of an item, and an equal number of left-to-right and right-to-left action depictions. Each list also contained 160 filler items, of which half were mismatches. These filler sentences

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9 had different syntactic structures including negation, clause-level and noun
10 phrase coordination, as well as locally ambiguous reduced relative clause
11 constructions in which the first noun phrase was the patient of the reduced
12 relative clause. The fillers also ensured that a sentence initial noun phrase
13 was not always a felicitous agent. For some fillers the sentence started with
14 a noun phrase but the picture was fully unrelated; and for other fillers, the
15 first-mentioned noun phrase mismatched the picture referentially.
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23 *Procedure*

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25 Participants inspected the picture on a CRT monitor for a minimum
26 of 3000 ms terminated via a right thumb button press. Next, a fixation
27 dot appeared for a random duration between 500 and 1000 ms, followed by
28 the sentence, one word at a time. Word onset asynchrony was 500 ms in
29 Experiment 1 and 300 ms in Experiment 2; word presentation duration was
30 200 ms in both. Participants were instructed to examine the picture and
31 then to read and understand the sentence in the context of the preceding
32 picture. Participants indicated via a button press as quickly and accurately
33 as possible after each sentence whether it matched the preceding picture or
34 not. After that button press, there was a delay interval randomly varying
35 between 500 and 1000 ms prior to the next trial.
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47 *Recording and analyses*

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49 ERPs were recorded from 26 electrodes embedded in an elastic cap (ar-
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51 cm on a side and originating at the intersection of the inter-aural and nasion-
52 inion lines as illustrated in Figure 1) plus 5 additional electrodes referenced
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9 online to the left mastoid, amplified with a bandpass filter from 0.016 to 100
10 Hz, and sampled at 250 Hz. Recordings were re-referenced offline to the aver-
11 age of the activity at the left and right mastoid. Eye-movement artifacts and
12 blinks were monitored via the horizontal (through two electrodes at the outer
13 canthus of each eye) and vertical (through two electrodes just below each eye)
14 electrooculogram. Only trials with a correct response were included in the
15 analyses. All analyses (unless otherwise stated) were conducted relative to
16 a 200-ms pre-stimulus baseline. All trials were scanned offline for artefacts,
17 and contaminated trials were excluded from further analyses. Blinks were
18 corrected with an adaptive spatial filter (Dale, 1994) for 20 of the partici-
19 pants in Experiment 1, and 12 participants’s data in Experiment 2. After
20 blink correction, we verified that less than 27% of the data for a given partic-
21 ipant per condition at a given word region were rejected. However, artefact
22 rejection rates per condition after blink correction were higher than 27 % for
23 2 participants at the first noun, 3 at the verb, and 11 participants at the
24 second noun in Experiment 1, and for 2 participants at the second noun in
25 Experiment 2² After blink correction, we thus initially conducted analyses for
26 a word region with only those participants that met the 27% threshold. Since
27 results did not differ substantially, however, when including all participants,
28 the reported analyses are those for all participants.
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48 ²For instance, a rejection rate of 5 out of 19 correctly answered trials would be 26
49 percent of the data for a given condition while 6 out of 19 rejected would be more than
50 27 percent.
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9 *Analysis of behavioral data.* For response latency analyses, any score $+/-$
10 2 standard deviations from the mean response latency of a participant was
11 removed prior to further analyses and we report the original reading times.
12 Mean response latencies, log-transformed to improve normality, and time-
13 locked to the sentence-final word (the second noun) as well as accuracy scores,
14 summarized by participants ($F1$) and items ($F2$), were analyzed via repeated
15 measures ANOVAs with the role and action congruence factors (congruous vs.
16 not)³. Following reliable effects in the ANOVA analyses, we conducted paired
17 sample t -tests and we report p -values after Bonferroni (0.05/6 in Experiment
18 1). In Experiment 2, the selection of comparisons was guided by reliable
19 effects in Experiment 1. For the analysis of working memory scores from the
20 reading span test (Daneman & Carpenter, 1980), we computed the propor-
21 tion of items for which a given participant recalled all the elements correctly
22 as a proxy for VWM scores (Conway et al., 2005). For the extended com-
23 plex figure test, we followed the scoring procedure for the motor-independent
24 ECFT-MI described in Fastenau (2003).
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41 ³The accuracy data were in addition analyzed with mixed-effects regression using a
42 generalized linear model with a logit link function (Baayen, 2008; Bates et al., 2011;
43 Quene & van den Bergh, 2008, lme4 package of R). Accurate responses were coded as
44 '1', inaccurate responses as '0'. Role-relations congruence and action-congruence fac-
45 tors were centered prior to analyses (collin.fnc condition value = 1, indicating no issues
46 with multi-collinearity of the predictors). We use the following models for the analysis
47 by subjects: $lmer(accuracy \sim (1 + rolecongruence * actioncongruence|mysubj) + (1 +$
48 $rolecongruence * actioncongruence|myitem) + rolecongruence * actioncongruence, data =$
49 $mydata, family = binomial)$. Since these results replicated the ANOVA results, we only
50 report the latter.
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9 *Analysis of the ERP data.* Following the analysis procedure by Knoeferle
10 et al. (2011), and based on visual inspection and traditional (sensory) evoked
11 potential epochs, analyses of variance (ANOVAs) in Experiment 1 were con-
12 ducted on the mean amplitudes of the average ERPs elicited by the first
13 nouns (*gymnast*), the verbs (e.g., *punches*), and the second nouns (e.g., *jour-*
14 *nalist*) in three time windows each (0-100 ms, 100-300 ms, and 300-500 ms)⁴.
15 We analyzed the first noun and early verb since we could, in principle, see
16 early effects of the role relations mismatch. We also analyzed ERPs to the
17 verb, where we should see a verb-action congruence effect from 300-500 ms
18 since Knoeferle et al. (2011) reported verb-action congruence effects in this
19 time window. Analyses of the ERPs to the second nouns (*journalist*) were
20 motivated by previously-observed verb-action and role congruence effects. In
21 Experiment 2, the selection of time windows and comparisons was guided by
22 reliable effects in Experiment 1. Note that in Experiment 1 (verb) and in
23 Experiment 2 (verb and the second noun) the standard baseline (-200 to 0
24 ms before word onset) contained reliable congruence effects. To ensure that con-
25 gruence effects in the baseline did not impact the analyses for these regions,
26 we selected a different baseline for them. All ERP analyses to the verb were
27 baselined to -200 to 0 ms before the first noun. In Experiment 2, analyses
28 of ERPs to both the verb and second noun were baselined to -200 to 0 ms
29 before the first noun.
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50 ⁴We did not correct for the overall number of time windows for which we report anal-
51 yses (10 in Experiment 1; 6 in Experiment 2; however, if we adjusted the *p*-values after
52 Bonferroni (/ 10 analyses regions, adjusted *p*=.005), the key results and conclusions would
53 still hold.
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9 We performed omnibus repeated measures ANOVAs on mean ERP ampli-
10 tudes (averaged by participants for each condition at each electrode site) with
11 role congruence (incongruent vs. congruent), action congruence (incongruent
12 vs. congruent), hemisphere (left vs. right electrodes), laterality (lateral vs.
13 medial), and anteriority (5 levels) as factors. Interactions were followed up
14 with separate ANOVAs for left lateral (LLPf, LLFr, LLTe, LDPa, LLOc),
15 left medial (LMPf, LDFr, LMFr, LMCE, LMOc), right lateral (RLPf, RLFr,
16 RLTe, RDPa, RLOc), and right medial (RMPf, RDFr, RMFr, RMCE, RMOc)
17 electrode sets (henceforth ‘slice’) that included either role congruence (match
18 vs. mismatch), or action congruence (match vs. mismatch), and anterior-
19 ity (5 levels). Greenhouse-Geisser adjustments to degrees of freedom were
20 applied to correct for violation of the assumption of sphericity. We report
21 the original degrees of freedom in conjunction with the Greenhouse-Geisser
22 corrected p -values. In Experiment 1, we conducted six tests on mean ERP
23 amplitudes at RMPf and at RMOc since those two sites illustrate variation
24 of the role versus action congruence effects along the anterior-posterior di-
25 mension, Bonferroni-corrected $p / 12$ for 2 x 6 comparisons). In Experiment
26 2, we analyzed only time windows that had shown statistically significant
27 differences in Experiment 1. For these comparisons, we conducted paired
28 sample t -tests and we report p -values after Bonferroni.
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48 *Correlation analyses*

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50 Correlation analyses were used to ascertain to what extent end-of-sentence
51 verification times co-varied with ERPs and the behavioral scores that we
52 collected. Our research had revealed reliable correlations between ERP dif-
53 ferences over right hemispheric sites at the verb and second noun phrase and
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9 sentence-final RT differences, as well as between RT differences scores, verbal
10 working memory, and accuracy scores (Knoeferle et al., 2011).
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13 In line with these prior analyses, we computed each participant's mean
14 congruence effects (action mismatch minus full match and role mismatch
15 minus full match ERP amplitude) from 300-500 ms at the first noun, verb and
16 second noun for each of the two factors, and each participant's congruence
17 effect for verification response latencies (action mismatch minus full match
18 and role mismatch minus full match). Congruence ERP difference scores
19 were averaged across the electrode sites in the four slices used for the ANOVA
20 analyses (e.g., left lateral: LLPf, LLFr, LLTe, LDPa, LLOc). For ERPs, a
21 negative number means that incongruous trials were relatively more negative
22 (or less positive) than congruous trials, with the absolute value of the negative
23 number indicating the size of the difference. For response latencies, a positive
24 number indicates longer verification times for incongruous than congruous
25 times and a negative number indicates the converse.
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38 For the RT-ERP correlations at the first and second nouns in the four
39 slices of a given time window, and for correlations of ERP scores with verbal
40 working memory scores (VWM), visual-spatial scores (ECFT), and mean
41 accuracy, the Bonferroni correction was 0.05/4 (slices). For the RT-ERP
42 correlation analyses at the verb we compared correlations of corresponding
43 response time and left-lateral ERP differences (e.g., action mismatch RT
44 with ERP differences) with correlations of response time and right-lateral
45 ERP differences. Based on Knoeferle et al. (2011) we expect reliable correla-
46 tions for action mismatch differences over the right but not left lateral slice
47 (Bonferroni 0.05/2). Since the Kolmogorov-Smirnov test indicated normality
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9 violations for ECFT and VWM scores (Experiment 1) and for ECFT, VWM,
10 and mean accuracy scores (Experiment 2), we report Spearman's ρ for the
11 respective correlations (r_s). Effect sizes are reported using Cohen's d .
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15 *Results Experiment 1 (500 ms SOA)*

16 *Behavioral results*

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19 Overall accuracy was 88 % (accuracy by participants for full matches:
20 88 %, SD=8.30; action mismatches: 82 %, SD=9.11; role mismatches: 90
21 %, SD=7.30; combined mismatches: 92 %, SD=7.18). Accuracy was signif-
22 icantly higher for role mismatches than matches (mean difference = |5.59|,
23 SE of the mean difference = 1.09, $F1(1, 31) = 26.18, p < 0.001, \eta^2 = 0.46$;
24 $F2(1, 79) = 17.46, p < 0.001, \eta^2 = 0.18$) while there was no reliable accuracy
25 difference between action matches and mismatches ($ps > 0.1$), resulting in an
26 interaction ($F1(1, 31) = 9.24, p < 0.01, \eta^2 = 0.23$; $F2(1, 79) = 8.78, p < 0.01,$
27 $\eta^2=0.1$). Pairwise t -tests revealed significantly less accurate responses for
28 the action mismatch versus role mismatch condition ($t1(1, 31) = -4.48, p <$
29 $0.001, d = 0.63$; $t2(1, 79) = -3.82, p < 0.01, d = 0.56$); for the action mis-
30 match versus combined mismatch condition ($t1(1, 31) = -6.83, p < 0.0001,$
31 $d = 0.78, t2(1, 79) = -4.81, p < 0.0001, d = 0.65$); and marginally more accu-
32 rate responses for the full match than action mismatch condition ($t1(1, 31) =$
33 $2.68, p = 0.07, d = 0.43$; $t2(1, 79) = 2.65, p = 0.06, d = 0.43$, other $ps > 0.6$).
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49 Response latencies were 1078 ms (SD=292.79) for full matches, 1185
50 ms (SD=313.23) for action mismatches, 1102 ms (SD=286.58) for role mis-
51 matches, and 1092 ms (SD=286.74) for combined mismatches (by partici-
52 pants). Repeated measures ANOVAS confirmed faster response times for
53 the action matches than mismatches (1090 ms vs. 1139 ms, mean difference
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9 by subjects = |48.39|, $SE = 21.35$, $F1(1, 31) = 5.02$, $p < 0.05$, $\eta^2 = 0.14$,
10 $F2(1, 79) = 1.17$, $p > 0.25$, $\eta^2 = 0.02$), but not for role matches (1131 ms) ver-
11 sus mismatches (1097 ms, mean difference by subjects = |33.98|, $SE = 19.84$,
12 $F1(1, 31) = 1.75$, $p = 0.20$, $\eta^2 = 0.05$, $F2 < 1$); the interaction between these
13 two manipulated factors was reliable ($F1(1, 31) = 7.20$, $p < 0.02$, $\eta^2 = 0.19$,
14 $F2(1, 79) = 5.01$, $p < 0.05$, $\eta^2 = 0.06$). Pairwise t -tests showed that responses
15 were reliably faster by subjects for the full match versus the action mis-
16 match condition ($t1(1, 31) = -3.12$, $p < 0.05$, $d = 0.49$, $t2(1, 79) = -2.47$, p
17 $= 0.1$, $d = 0.27$); marginally for the role versus action mismatch condition
18 ($t(1, 31) = 2.72$, $p = 0.07$, $d = 0.44$, $t2 < 1$; and for the combined versus
19 action mismatch condition ($t(1, 31) = 3.12$, $p < 0.05$, $d=0.49$, $t2 < 1.6$, other
20 $ps > 0.2$). Scores for the extended complex figure test (ECFT) ranged from
21 8-18 with a mean of 13.25. Verbal working memory (VWM) scores ranged
22 from 0.13 to 0.83 (mean = 0.36). These scores are comparable to previously-
23 observed ECFT (see Fasteneau, 1999, 2003) and reading span scores (e.g.,
24 Knoeferle et al., 2011).
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41 *ERP results*

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43 Figure 1 shows grand average ERPs (N=32) at all 26 electrode sites in
44 the four conditions time-locked to the onset of the verb. Figure 2 displays
45 mean amplitude role mismatches versus matches, together with the spline-
46 interpolated topographies of their difference (200-400 ms after the first noun
47 onset, and between 300-500 ms at the second noun). Figure 3 displays the
48 grand average ERPs (at prefrontal, parietal, temporal, and occipital sites) for
49 action mismatch versus action matches, together with the spline-interpolated
50 topographies of their difference (300-500 ms post-verb onset, lasting into
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9 the post-verbal determiner). Tables 2, 3, and 4 present the corresponding
10 ANOVA results for main effects of role and action congruence and interac-
11 tions between these two factors, hemisphere, laterality, and anteriority at the
12 first noun, verb, and second noun.
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18 Figure 1: about here
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24 These figures and tables illustrate temporally and topographically dis-
25 tinct effects of role and action congruence (see Supplementary Materials II
26 for effect sizes): During the first noun and early verb, we observed role con-
27 gruence but no action congruence effects. These took the form of a somewhat
28 anterior negativity (100-300; 200-400 ms) and an ensuing posterior positivity
29 beginning around 400 ms after noun onset and continuing beyond the on-
30 set of the subsequent verb (0-100 ms and 100-300 ms), both larger for role
31 mismatches than matches (Fig. 2 and Table 2). For the anterior negativity,
32 mean amplitudes to the role mismatches ($1.55 \mu\text{V}$) were reliably more nega-
33 tive than to the full matches ($2.82 \mu\text{V}$) at frontal sites (RMPf, 100-300 ms:
34 $t(1,31)=2.98$, $p < 0.05$, $d = 0.47$) but not occipitally (RMOc, $t < 1$, Bonfer-
35 roni adjustments 0.05/12 for six tests at 2 electrode sites). Role congruence
36 effects at the verb emerged as a broadly-distributed positivity that was de-
37 scriptively somewhat larger over posterior than anterior sites (0-100 ms, see
38 Fig. 2 and Table 3, t -tests for RMPf, RMOc n.s.). The role congruence
39 positivity continued, broadly distributed, from 100-300 ms.
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54 From 300-500 ms at the verb, role congruence effects were absent but
55 we replicated a broadly distributed negativity (N400) that was larger for
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Figure 2: about here

Figure 3: about here

action mismatches than matches; over the right than left hemisphere; over medial than lateral sites; and over posterior than anterior sites (Knoeferle et al., 2011). In contrast with the anterior role congruence negativity to the first noun, action mismatches ($-1.73 \mu\text{V}$) were more negative than the full match ($0.69 \mu\text{V}$) at RMOc ($t(1, 31)=3.54, p < 0.02, d = 0.54$) but not at RMPf ($p > 0.2$), illustrating the posterior distribution; they were also more negative than the role mismatches over RMPf (-0.60 vs. $1.52 \mu\text{V}$, $t(1,31)=-3.30, p < 0.02, d = 0.51$) and marginally over RMOc (-1.73 vs. $0.32 \mu\text{V}$, $t(1, 31)=-2.92, p = 0.07, d = 0.46$). At the second noun, we failed to replicate the previously observed verb-action congruence effect, but observed a broadly distributed negativity (300-500 ms, Fig. 2) which was larger for role mismatches than matches (100-300 ms and 300-500 ms, Table 4, t -tests at RMPf and RMOc n.s.).

Table 2: about here

Table 3: about here

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Table 4: about here

Correlation results

At the first noun, the lower a participant’s visual-spatial test scores (ECFT), the larger was her role congruence effect (Table 1 in Supplementary Materials IV, other correlations *n.s.*). Descriptively, the relationship between ERP mean amplitude differences from 300-500 ms at the verb and RT differences appears similar to the one observed by Knoeferle et al. (2011) but was not reliable ($p > 0.1$, for more details see Supplementary Materials I). At the second noun, action mismatch ERP difference scores correlated positively with action mismatch RT difference scores such that the larger a participant’s mean amplitude congruence effect, the smaller her response time congruence effect and vice versa (Fig. 4a). In addition, role mismatch ERP difference scores correlated with role mismatch RT differences - the smaller the role mismatch ERP negativity, the larger the response time congruence effect (Fig. 4b). No further robust difference score correlations between ERPs and the behavioral measures were observed (see Supplementary Materials IV).

Figure 4: about here

Discussion

Role relation congruence was verified more accurately than action congruence. Moreover, role relation congruence effects preceded action congruence

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9 effects in the response times and the sentential ERPs. Our role congruence
10 effects emerged earlier than in Wassenaar and Hagoort, namely, to the first
11 noun (an anterior-medial negativity from 100-300 and 200-400 ms), and early
12 in the response to the verb (a posterior positivity from 0-100 ms). By con-
13 trast, we did not observe any role relations congruence ERP effects at the
14 verb, which did, however, show a larger N400 to action mismatches than
15 matches. Post-verbally, role mismatches elicited a broadly-distributed larger
16 negativity relative to the role matches. Overall, role congruence effects were
17 distinct from, and preceded, action congruence ERP effects, implicating more
18 than a single mismatch processor.
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28 Why did we find earlier role congruence effects than Wassenaar and Ha-
29 goort? Some of the rapidity with which role congruence effects appeared
30 in our study is likely due to the relatively slow word-by-word presentation
31 (word duration was 200 ms and the SOA was 500 ms for Experiment 1). If
32 participants have sufficient time, they may already begin to assign thematic
33 role relations during the first noun and early verb. Wassenaar and Hagoort,
34 by contrast, presented fluid spoken sentences (no SOA specified), and per-
35 haps their older participants had less time between the first noun and verb to
36 begin to process thematic role relations such that thematic role congruence
37 effects emerged only later during the verb. Experiment 2 examines whether
38 the key result in the RTs, ERPs, and correlations - viz. that role-relations
39 congruence effects are distinct from and precede verb-action congruence ef-
40 fects - generalizes with more fluid sentence presentation.
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9 *Results Experiment 2 (300 ms SOA)*

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11 We shortened the onset asynchrony of words from 500 to 300 ms while
12 keeping word presentation time constant (200 ms, ISI=100 ms). If the time
13 course of the action relative to role congruence effects is invariant even at
14 this faster presentation rate, we should replicate the observed response time,
15 accuracy and ERP congruence effects (role congruence: noun1, 100-300 and
16 200-400 ms, verb: 0-100 and 100-300 ms; noun2: 100-300 and 300-500 ms;
17 action congruence: 300-500 ms at the verb); and, if it is not invariant, we
18 can see whether the two kinds of congruence effects vary in similar ways.
19 Presentation rate is furthermore a parameter that existing accounts of incre-
20 mental situated language processing have not explicitly included and thus a
21 dimension along which we want to know more about visual context effects
22 with the future goal of extending existing accounts.
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35 *Behavioral results*

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37 At 88 % the overall accuracy was comparable to Experiment 1 (by partic-
38 ipants, full matches: 88 %, SD=10.08; action mismatches: 82 %, SD=10.55;
39 role mismatches: 90%, SD=7.30; combined mismatches: 92 %, SD=5.95).
40 Responses were reliably more accurate for role mismatches than matches
41 (mean difference = |6.16|, $SE = 1.11$, $F1(1, 31) = 30.75, p < 0.001$, $\eta^2 =$
42 0.50; $F2(1, 79) = 17.50, p < 0.001$, $\eta^2 = 0.18$) while there was no reliable
43 difference in response accuracy for action mismatches versus matches ($F <$
44 2.1), resulting in an interaction ($F1(1, 31) = 5.90, p < 0.03$, $\eta^2 = 0.16$,
45 $F2(1, 79) = 9.63, p < 0.01$, $\eta^2 = 0.11$). Planned pairwise t -tests replicated re-
46 liably less accurate responses for the action mismatch versus role mismatch
47 condition ($t1(1, 31) = -4.83, p < 0.001$, $d = 0.66$, $t2(1, 79) = -3.77, p <$
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9 0.001, $d = 0.56$), for the action mismatch versus combined mismatch condi-
10 tion ($t(1, 31) = -6.71, p < 0.0001, d = 0.77, t2(1, 79) = -5.10, p < 0.0001,$
11 $d = 0.68$), and by items for the action mismatch than full match condi-
12 tion ($p > 0.1$ by subjects; $t2(1, 79) = -2.75, p < 0.05, d = 0.44$, Bonferroni,
13 $0.05/3$).
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19 Analyses of verification time latencies revealed marginal main effects by
20 subjects of action ($F1(1,31)=4.04, p = 0.05, \eta^2 = 0.12, F2 < 1.5 \eta^2 = 0.02$)
21 and of role relations ($F1(1,31)=3.89, p = 0.06, \eta^2 = 0.11, F2(1, 79)=3.03, p$
22 $= 0.09, \eta^2=0.04$, full matches: 1087 ms, SD=259.46; action mismatches:
23 1136 ms, SD=258.38; role mismatches: 1044 ms, SD=266.82; combined
24 mismatches: 1093 ms, SD=259.12), and no reliable interaction ($F1 < 1,$
25 $F2(1, 79)=1.63, p=0.21, \eta^2=0.02$). *T*-tests showed that sentences in the ac-
26 tion mismatch condition took longer to verify than in the role mismatch
27 condition ($t1(1, 31)=3.24, p < 0.01, d = 0.50, t2 < 2$, Bonferroni $0.05/3$,
28 other $ps > 0.09$). Scores for the extended complex figure test ranged from 7
29 to 18 (mean = 12.09); for the reading span test participants' scores ranged
30 from 0.09 to 0.65 (mean = 0.33), replicating Experiment 1 and Knoeferle
31 et al. (2011).
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44 *ERP results*

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46 Figure 5 shows the grand average ERPs (N=32) at all 26 electrode sites
47 in the full match, action mismatch, role mismatch, and combined mismatch
48 conditions time-locked to the onset of the verb. Figure 6 displays mean
49 amplitude role mismatches versus matches at prefrontal, parietal, temporal,
50 and occipital sites with the spline-interpolated topographies of the differences
51 (role mismatches minus role matches) from 200-400 ms at the first noun and
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9 from 300-500 ms at the second noun. Figure 7 displays the grand average
10 ERPs (N=32) for action mismatches versus matches at prefrontal, parietal,
11 temporal, and occipital sites time-locked to the first noun, together with the
12 spline-interpolated topographies of the differences (action mismatches minus
13 action matches) between 300-500 ms post-verb onset, and between 300-500
14 ms at the second noun. Tables 5 to 7 present the corresponding ANOVA
15 results.
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23 Figure 5: about here
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30 These figures and tables illustrate again temporally distinct effects of role
31 and action congruence⁵. (see Supplementary Materials III for effect sizes):
32 a negativity during the first noun (200-400 ms) larger for role mismatching
33 than matching sentence beginnings. Role mismatches differed reliably from
34 full matches at RMOc ($t(1, 31) = 3.64, p < 0.02, d = 0.55$) but not at RMPf
35 ($p > 0.1$, i.e., the reverse anteriority pattern from Experiment 1). Combined
36 mismatches also differed reliably from the full match condition over the pos-
37 terior (RMOc, $t(1, 31) = 3.79, p < 0.02, d = 0.56$) but not anterior (RMPf,
38 $p > 0.2$) scalp. No further comparisons were reliable (200-400 ms, $p > 0.1$).
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47 ANOVAs for the 0-100 ms and 100-300 ms time windows at the verb
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49 ⁵In Experiment 2, an error occurred in the assignment of lists: there were 16 base lists,
50 and 32 participants such that each list should have been assigned twice (as was the case in
51 Experiment 1). Instead, two lists were assigned only once, and 2 other lists were assigned
52 3 instead of 2 times. Analyses that excluded data for the lists that were assigned three
53 times and analyses for sixteen lists replicated the reported pattern.
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9 confirmed the same main effects and interactions as for 200-400 at the first
10 noun. Comparisons from 0-100 ms at the verb showed reliable differences for
11 the action versus role mismatch condition (RMPf: $t(1, 31) = 3.01, p < 0.05,$
12 $d = 0.48$; RMOc: $t(1, 31) = 3.36, p < 0.05, d = 0.52$); for the role mismatch
13 versus full match condition (RMPf: $t(1, 31) = 3.42, p < 0.05, d = 0.52$;
14 RMOc: $t(1, 31) = 4.61, p < 0.001, d = 0.64$), and for the combined mismatch
15 relative to the full match condition (RMPf: $p > 0.2$; RMOc: $t(1, 31) =$
16 $3.60, p < 0.02, d = 0.54$; other $ps > 0.1$). From 100-300 ms, no comparisons
17 were reliable ($ps > 0.4$).
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27 Figure 6: about here
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34 Figure 7: about here
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48 For the 300-500 ms time window at the verb, the role relations congruence
49 main effect was no longer reliable. Instead, a broadly distributed negativ-
50 ity (N400, 300-500 ms) was larger for action mismatches than matches and
51 maximal at centro-parietal recording sites (Fig. 7, and Table 6). The full
52 match differed reliably from the action mismatch condition (RMPf: $t(1, 31) =$
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9 4.65, $p < 0.001$, $d = 0.64$; RMOc: $t(1, 31) = 4.03$, $p < 0.001$, $d = 0.59$), and
10 from the combined mismatch condition (RMPf: $t(1, 31) = 3.88$, $p < 0.02$,
11 $d = 0.57$; RMOc: $t(1, 31) = 3.79$, $p < 0.02$, $d = 0.56$). Action mismatches
12 didn't differ from role mismatches ($ps > 0.2$), and role mismatches didn't
13 differ reliably from full matches ($ps > 0.08$; all other $ps > 0.1$).
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18 At the second noun, we observed a right-lateralized negativity (300-500
19 ms), larger for action mismatches than matches (Fig. 7 and Table 7). The
20 combined mismatch differed reliably from the full match (RMPf: $t(1, 31) =$
21 3.38 , $p < 0.05$ $d = 0.52$; RMOc: $t(1, 31) = 4.01$, $p < 0.01$, $d = 0.58$). No
22 further tests were reliable ($ps > 0.08$).
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29 Table 6: about here
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37 Table 7: about here
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44 *Correlation results*

45 At the first noun, a participant's mean accuracy correlated with both
46 ERP and test scores: It was higher the smaller a participant's left-lateral
47 action mismatch difference scores (300-500 ms); and the higher her visual-
48 spatial scores. Verbal and visual working memory scores correlated such that
49 a higher verbal working memory score coincided with higher visual spatial
50 scores. At the verb, action mismatch difference ERPs correlated positively
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9 with mean accuracy such that a participant with a smaller left lateral action
10 mismatch effect from 100-300 ms had higher later accuracy (see Supplemen-
11 tary Materials IV).
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15 **General Discussion**

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18 With the aim of refining existing accounts of visually situated language
19 comprehension by improving our understanding of the functional mechanisms
20 involved, we monitored ERPs as participants inspected a picture, read a
21 sentence, and verified whether or not the two matched in certain distinct
22 respects. On critical trials the sentence matched the picture completely,
23 in terms of the depicted role relations but not depicted action, vice versa,
24 or neither. We assessed, at two SOAs (500 ms and 300 ms), whether these
25 two types of mismatches impact written language comprehension similarly by
26 examining (a) the time courses and scalp topographies of the associated ERP
27 effects; and (b) correlations of these ERP effects with end of sentence response
28 time mismatch effects, with mean accuracy in the verification task, and with
29 participants' verbal memory and visual-spatial test scores. In short, the ERP
30 indices of action-verb and role mismatches were not the same, implicating
31 more than a single cognitive / neural mechanism.
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45 *Different time courses and scalp topographies*

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48 The earliest ERP effects for action mismatches (vs complete matches)
49 emerged as a greater negativity to the mismatch between 300-500 ms relative
50 to verb onset. By contrast, the first mismatch effect for single role relation
51 (vs. the full match) appeared earlier - at the subject noun (100-300 and 200-
52 400 ms in Experiment 1; 200-400 in Experiment 2), as a larger negativity, and
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9 an ensuing positivity (albeit only at the long SOAs) to the mismatch. The
10 dual mismatch ERPs generally patterned with the role mismatch at the first
11 noun, and with the action mismatch at the verb. Post-verbally, additional
12 role mismatch effects (at the object noun) appeared at the long SOA and
13 additional verb-action mismatch effects appeared at the short SOA. Response
14 analyses revealed further differences between verb action and role-relations
15 mismatches. At the long SOA, RTs were longer for action (but not role)
16 mismatches than matches. Moreover, regardless of SOA, role mismatches
17 were responded to faster and more accurately than action mismatches.
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19 These ERP mismatch effects differed not only in their timing but also
20 in their morphology and scalp topography. Action mismatches elicited a
21 broadly distributed negativity maximal over posterior scalp akin to a visual
22 N400 (see also Knoeferle et al., 2011). Indeed, this N400 effect was indis-
23 tinguishable from that typically elicited by lexico-semantic anomalies or low
24 cloze probability words in sentences read for comprehension (e.g., Kutas,
25 1993; Kutas et al., 2006; Otten & Van Berkum, 2007; Van Berkum et al.,
26 1999), and likely reflects semantic matching of the verb and the action. By
27 contrast, the role relation mismatch elicited a negativity to the first noun
28 maximal over the *anterior* scalp throughout its course at the long SOA,
29 and in its initial (200-400ms) phase at the short SOA consistent with more
30 pictorial-based semantic processing (Ganis et al., 1996); its terminal phase
31 (300-450 ms) was broadly distributed. At the long SOA, there were addi-
32 tional role mismatch effects at the verb (100-300 ms) and at the post-verbal
33 object noun both anteriorly (100-300 ms) and posteriorly (300-500 ms). To
34 reiterate, the ERP indices of action-verb and role mismatches were not the
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9 same, implicating more than a single mechanism.

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11 *Different correlation pattern*
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14 These distinct ERP mismatch effects also correlated differently with our
15 behavioral measures. At the long SOAs, the response time congruence ef-
16 fects correlate with action and role-relations mismatch differences only at the
17 second noun (but with different time courses: 0-100 ms for the verb-action
18 mismatch effect, and from 100-300 ms for the role relation mismatch effects).
19 Visual-spatial working memory scores did not correlate with any of the action
20 mismatch effects but did correlate with the role relations mismatch effects at
21 the first noun. Role relations congruence effects over left lateral sites were
22 larger the lower the visual spatial scores (long SOA). At the short SOA, high
23 visual spatial scores further correlated with high accuracy and with high
24 verbal working memory; and higher accuracy coincided with smaller action
25 mismatch effects at the verb (short SOA: from 100-300 ms left lateral).
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37 *More than one cognitive / neural mechanism underlies visual context effects*
38 *during sentence comprehension*
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40 Overall then the distinct morphologies, time courses, scalp topographies,
41 and correlation patterns of the observed congruence effects would seem to
42 implicate more than a single mechanism in visual context effects on sentence
43 processing. The time course differences were not expected based on the lit-
44 erature. Based on prior results across studies, we expected to see posterior
45 N400s to the verb for both action (Knoeferle et al., 2011) and role-relations
46 (Wassenaar & Hagoort, 2007) mismatches. Had these expectations been
47 borne out, we could have argued that participants wait until the verb be-
48 fore matching picture-based role relations with sentence-based thematic role
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9 relations.

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11 The role congruence effects prior to the verb (at the first noun), however,
12 suggest more immediate incremental picture-sentence processing and active
13 interpretation of the event depictions: It seems that when participants saw
14 a gymnast as the patient in an event depiction, they immediately assigned a
15 patient role (or high likelihood of patienthood) to that character; but when
16 they then encountered *the gymnast*, in sentence-initial position, they assigned
17 an agent role to that noun phrase, as reflected in an ERP mismatch effect.
18 This was the case even though there was no definitive mismatch at this point
19 in this sentence and even though among the filler sentences, some initial
20 nouns were also thematic patients. This is a hallmark of incremental
21 processing. Moreover, analyses with block as a within-subjects factor replicated
22 the role relations mismatch ERP effects to the first noun absent an inter-
23 action with block ($F_s < 1$), suggesting these early effects are not due to
24 participant strategies.
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38 In principle, the distinct congruence effects to action and role mismatches
39 could reflect the same cognitive / neural mechanism activated at different
40 points during sentence processing. If so, then these different ERP congru-
41 ence effects should have the same topography; they did not. Moreover, the
42 presence of a positivity for role relations congruence (at the long SOA) repli-
43 cates Wassenaar & Hagoort (2007) and highlights the potential contribution
44 of structural revision to role but not action congruence processing.
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51 Overall, the pattern of correlations is also more complex than a single
52 cognitive / neural mechanism alone can readily accommodate. As before,
53 we find that within participants larger action mismatch effects coincide with
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9 smaller RT congruence effects (albeit at the second noun rather than at the
10 verb, Knoeferle et al., 2011); additionally, role mismatch effects correlated
11 with a participant’s mean accuracy, and visual-spatial scores. The latter sug-
12 gests that role congruence effects may rely more on pictorial processes than
13 do verb-action congruence effects. The correlations of action congruence ef-
14 fects with mean accuracy at the short SOA but with the RT congruence effect
15 at the long SOA suggest that at the short SOA action congruence process-
16 ing during the first noun and the verb contribute to processing accuracy but
17 not speed. By contrast, at the long SOA, verb-action congruence processing
18 seems to make more of a contribution to verification speed.

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28 *Implications for models of picture-sentence processing*

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30 In summary, these results corroborate the inadequacy of ‘single-mechanism’
31 models such as the Constituent Comparison Model by Carpenter & Just
32 (1975) and the error monitoring account (Kolk et al., 2003). Moreover,
33 other accounts (e.g., Altmann & Kamide, 2007; Glenberg & Robertson, 1999;
34 Kaschak & Glenberg, 2000; Knoeferle & Crocker, 2007; Taylor & Zwaan,
35 2008; Zwaan & Radvansky, 1998) require some adjustment to accommodate
36 our findings. We outline requirements / desiderata for any viable model as
37 we work through an example for the Coordinated Interplay Account, ‘CIA’.

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46 *The CIA (2007)*. Figure 8A outlines the 2007 version of the CIA (Knoeferle
47 & Crocker, 2007), comprising three informationally and temporally depen-
48 dent steps (*i* to *i''*). As participants hear a word, they access associated
49 linguistic and world knowledge, begin to construct an interpretation, and
50 derive expectations (*sentence interpretation*, step *i*). Their interpretation
51 and expectations can then guide (visual) attention to relevant aspects of the
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9 visual context or representations thereof (*utterance-mediated attention*, step
10 *i'*); visual context representations can, in turn, be linked to the linguistic
11 input, and if relevant, influence its interpretation (*scene integration*, step
12 *i''*). This account also features a working memory (WM) component which
13 keeps track of the interpretation (int), the expectations (ant), and represen-
14 tations of the scene (scene). This model, could, for instance, accommodate
15 visual attention shifts to objects (or their previous locations) in response to
16 object names. Its mechanisms, however, do not accommodate the distinct
17 mismatch effects, overt verification responses, the effects of processing time,
18 or of individual differences in WM capacity that we observed in the present
19 experiments.
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31 *Parametrizing the CIA: Verification, timing and comprehender parameters.*

32 The Coordinated Interplay Account does not model picture-sentence verifi-
33 cation processes per se but rather the interplay of visual attention, visual
34 cues and utterance comprehension (see Knoeferle & Crocker, 2007, for a de-
35 scription). However, since verification processes seem to be part and parcel
36 of language comprehension (see Altmann & Kamide, 1999; Knoeferle et al.,
37 2011; Singer, 2006), and since they occur during comprehension, it is reason-
38 able to include them into the account. The functionally distinct mismatch
39 processes observed for action and role relations mismatches could be accom-
40 modated by having distinct picture-sentence (mis)matches feed into distinct
41 language comprehension subprocesses such as establishing reference and the-
42 matic role assignment. We can instantiate this in the CIA through indices
43 for the representations in WM ($\text{int}_{\text{type of process}}$, Fig. 8B). However, evidence
44 of non-additivity (at certain time points such as the second noun and verb)
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9 suggests that these processes, while distinct, can interact as comprehension
10 proceeds. These distinct but interacting processes could be modelled through
11 a temporally coordinated interplay of sentence processing, attention, and vi-
12 sual context information to which various different mismatch processes con-
13 tribute, and which subserves building of the sentence interpretation. This
14 is already instantiated in the CIA through the temporally coordinated in-
15 terplay steps (i to i'') to which both action and role congruence processes
16 could contribute. To model functional differences indexed by different ERP
17 topographies, we propose the engagement of different neuronal assemblies, a
18 testable prediction in models such as CIANet (Crocker et al., 2010).
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Figure 8: about here

Any viable model also would need a way of temporally tracking reac-
tions to mismatches so as to model the extended time course of congruence
processing, and an overt response index to model the post-sentence verifica-
tion response latency and accuracy patterns. Both can be implemented by
maintaining pictorial representations in WM, indexed as discarded; in this
way, pictorial representations would remain active for some time and thereby
support continued reactions to mismatch throughout the sentence up to the
overt verification response. In the CIA, a truth value index for the interpre-
tation ($int_{truth\ value}$) tracks discarded, mismatching representations, and the
response index is set to track the value of the response as ‘true’ or ‘false’
(Fig. 8B).

Parameters that index timing and a participant’s cognitive resources can

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9 then impact the time course and interaction of different picture-sentence
10 matching processes (whereby more time and more cognitive resources imply
11 more in-depth, and earlier picture-sentence comparison). We could model
12 variation of congruence effects as a function of SOA and cognitive resources
13 by allowing these parameters to modulate either the contents of WM per se,
14 and/or the retrieval of WM content. High verbal working memory capacity
15 at a long SOA would thus support detailed and highly active pictorial WM
16 representations that can then be accessed faster and lead to more pronounced
17 role congruence effects. In the revised CIA, this is instantiated through
18 $WM_{characteristics}$ where *characteristics* could take values such as ‘high’ or ‘low’,
19 and a timing parameter $Time_i$ which tracks processing step duration (Fig.
20 8B).
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33 *An illustrated example.* Extended in this way, we can model the combined
34 (dual) mismatches as follows (see Fig. 9): When participants inspect an
35 event depiction (a journalist punching a gymnast), their role assignments
36 (e.g., of agent to journalist and patient to gymnast) are tracked in the scene
37 representations, $scene_{i''-1}$ (step i, Fig. 9).
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42 When they subsequently read the first noun phrase in the sentence *The*
43 *gymnast applauds the journalist*, role congruence ERP effects emerge (the
44 relative negativity and positivity to the first noun). In the model, the first
45 noun receives an agent role ($int_i [GYMNA_{AG}]$, $step_i$) and is indexed to
46 the role filler (the gymnast, depicted as a patient), yielding a corresponding
47 role relations mismatch (co-indexing, at $step_{i''}$). After co-indexing, the inter-
48 pretation $int_{i''}$ for the long SOA would contain an agent role representation
49 [$GYMNA_{AG-RR-M}$], where ‘RR-M’ specifies the role relations mismatch;
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9 working memory would further contain a (discarded) visual representation
10 of the first noun’s referent as a patient ($scene_{i''} [GYMNAST_{PAT-RR-M}]$); the
11 representation of a punching action ($scene_{i''} [PUNCHING_V]$), and of the jour-
12 nalist as the agent ($scene_{i''} [JOURNALIST_{AG}]$); the response index would
13 be set to [false]. At the short SOA, participants have less time to access the
14 contents of working memory, possibly leading to less in-depth role congruence
15 processing at the first noun, perhaps explaining the absence of the posterior
16 positivity that was present at the longer SOA.
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25 Figure 9: about here
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31 At the verb, a verb-action congruence N400 emerges for the combined
32 mismatches. In the model, the verb (word $i + 1$), is indexed to the ac-
33 tion, which likewise fails. Once the verb and action have been co-indexed
34 at $step_{i''+1}$, the interpretation thus would contain an agent noun phrase
35 [$GYMNAST_{AG-RR-M}$], the sentential verb ($[APPLAUDS_{VA-M}]$), both marked
36 as mismatches, and working memory also would contain a (discarded) visual
37 representation of the first noun phrase referent ($GYMNAST_{PAT-RR-M}$), a
38 (discarded) representation of the mismatching action ($PUNCHING_{VA-M}$), as
39 well as the representation of a journalist in an agent role ($JOURNALIST_{AG}$,
40 $step_{i''+1}$, Fig. 10).
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50 At the post-verbal noun, action congruence effects were absent for the long
51 SOA but role congruence effects were in evidence. This could be accommo-
52 dated through (re-activation of) mismatching role relations representations
53 at the post-verbal noun since that noun is implicated in thematic role assign-
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ment. No such incongruence would be expected based on this mechanism for action congruence (though note that we have observed punctate action congruence effects at the long SOA previously, Knoeferle et al., 2011). At the short SOA, by contrast, no role congruence effects emerged post-verbally and action congruence effects lasted into the post-verbal noun phrase. This could be the result of the greater compactness of word presentation (i.e., relative to the long SOA, the post-verbal noun phrase appears earlier and its presentation thus overlaps with the verb-action congruence effect) and less time to re-access role representations at the post-verbal noun at the short SOA.

Figure 10: about here

At sentence end, the response must be executed. Working memory at this point would contain the interpretation, mismatching representations, and an index of the to-be-executed response (here: ‘false’). RT action congruence effects only emerged at the long SOA. We thus speculate that at the short SOA, with less time at each word, processing was relatively more shallow, perhaps due to a good-enough strategy for representation building (e.g., Ferreira et al., 2002), or because the shorter sentence duration in combination with the pressure to respond precluded renewed access to existing WM representations for the mismatches. The absence of mismatch RT effects to role relations incongruence at the long SOA could come from processing that starts earlier for role (vs. action) mismatches, and is completed by the time the response is given such that working memory no longer contains the discarded mismatching representations. This is supported by faster response

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9 times to role than to action mismatches and by the presence of marginal role
10 congruence effects in RTs at the short SOA.
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13 In sum, these data place some important constraints on the specifications
14 of viable models of situated language processing. Accounts that postulate a
15 single representational substrate for different aspects of language and visual
16 context, or a single mechanism, won't suffice. In general terms, it would
17 appear then that a model in which "utterance meaning, scene information,
18 and linguistic expectation are representationally indistinguishable and reside
19 within a unitary system that learns, represents, and processes language and
20 the world" would fail to explain our results (Altmann & Mirković, 2009, p.
21 593). They are, by contrast, compatible with models that postulate a rapid
22 interaction between linguistic and non-linguistic information (e.g., Anderson
23 et al., 2011; Tanenhaus et al., 1995).
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27 We have outlined an instantiation of the CIA in which distinct com-
28 prehension (sub)processes recruit relevant aspects of a visual scene. This
29 instantiation makes no predictions about the potential contribution of senso-
30 rimotor activations as in various embodiment accounts; however, this might
31 be assessed by monitoring which brain regions are activated by the different
32 mismatches (e.g. action information might be associated with sensorimotor
33 activation; role information with visual activation). Yet another possibility
34 is that our findings reflect a mismatch between the sentence and a subvo-
35 cal verbal representation of the scene. This possibility could be tested by
36 precluding subvocalization via engagement in an unrelated vocalisation task
37 during scene inspection. To the extent that we obtain the same pattern of
38 effects (e.g., the earlier effects of role-relation than verb-action mismatches),
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we would conclude that the underlying representations are visual rather than verbal in nature. While these are interesting avenues for future research, the contribution of the current work is a principled account of how visual context affects functionally distinct comprehension processes (during situated comprehension), especially as inferred from ERPs to various sorts of picture-sentence (mis)matches.

Tenable accounts must permit interactions between different (verb-action and role-relations) picture-sentence matching processes while keeping them distinct, representationally or otherwise. Additionally, these accounts must further specify processing parameters (time) and comprehender parameters (e.g., cognitive capacities) since at least the few parameters that we tested had distinct influences on the different picture-sentence matching processes. The proposed revised CIA accomplishes just that.

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Figure captions

Figure 1: Grand average ERPs (mean amplitude) for all 26 electrodes, right-lateral, left-lateral, right-horizontal, left-horizontal eye electrodes ('rle', 'lle', 'rhz' and 'lhz'), and the mastoid ('A2') time-locked to the verb (Experiment 1). Negative is plotted up in all time course figures, and waveforms were subjected to a digital low-pass filter (10 Hz) for visualization. A clear negativity emerges for incongruent relative to congruent sentences at the verb when the mismatch between verb and action becomes apparent. The ERP comparison at the mid-parietal ('MiPa') site is shown enlarged.

Figure 2: Grand average mean amplitude ERPs for role mismatching conditions versus role matching conditions across the sentence at prefrontal, parietal, temporal, and occipital sites together with the spline interpolated maps of the difference waves at the first noun (200-400 ms) and second noun (300-500 ms) in Experiment 1

Figure 3: Grand average mean amplitude ERPs for action mismatching versus matching conditions at prefrontal, parietal, temporal, and occipital sites (Experiment 1). Spline interpolated maps of the scalp potential distributions show the verb N400 (300-500 ms). In these and subsequent figures, each isopotential contour spans $0.625 \mu\text{V}$. More negative potentials have darker shades and more positive potentials lighter shades.

Figure 4: Correlations at the second noun in Experiment 1: (a) RT and ERP action mismatch difference scores (0-100 ms); (b) RT and ERP role

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9 mismatch difference scores (100-300 ms)

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13 Figure 5: Grand average ERPs (mean amplitude) for all 26 electrodes,
14 right-lateral, left-lateral, right-horizontal, left-horizontal ('rle', 'lle', 'rhz' and
15 'lhz'), and the mastoid ('A2') at the verb position (Experiment 2). A clear
16 negativity emerges for incongruent relative to congruent sentences at the verb
17 when the mismatch between verb and action becomes apparent. The ERP
18 comparison at the mid-parietal ('MiPa') site is shown enlarged.
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26 Figure 6: Grand average mean amplitude ERPs scores for action mis-
27 matches versus matches across the sentence at prefrontal, parietal, temporal,
28 and occipital sites (Experiment 2). Spline interpolated maps of the scalp
29 potential distributions show the verb-action congruence N400 from 300-500
30 ms at the verb and from 300-500 ms at the second noun. Note that in this
31 figure and Figure 6 the scalp potential distributions at the second noun were
32 computed relative to a -200 to 0 baseline of the first noun
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41 Figure 7: Grand average mean amplitude ERPs scores for role mismatches
42 versus matches across the sentence at prefrontal, parietal, temporal, and oc-
43 cipital sites (Experiment 2)
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49 Figure 8: A: The 2007 version of the Coordinated Interplay. B: the re-
50 vised version of the CIA
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55 Figure 9: State of the model when the phrase *The gymnast* is processed
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after participants have seen a picture of a journalist punching a gymnast. Steps i to i'' represent the three processing stages for *the gymnast*. Step $i + 1$ indicates the continuation of the loop to the next word.

Figure 10: State of the model when the verb *applauds* is processed after participants have seen a picture of a journalist punching a gymnast. Steps $i + 1$ to $i'' + 1$ represent the three processing stages for *applauds*. Step $i + 2$ indicates the continuation of the loop to the next phrase, *the journalist*.

Table 1: Example of the four experimental conditions

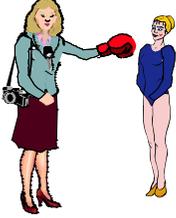
Condition	Picture	Sentence
full match	1a 	<i>The gymnast punches the journalist</i>
action mismatch	1b 	<i>The gymnast punches the journalist</i>
role mismatch	1c 	<i>The gymnast punches the journalist</i>
combined mismatch	1d 	<i>The gymnast punches the journalist</i>

Table 2: ANOVA results for first noun in Experiment 1 (SOA: 500 ms). 'R(ole)'=Role relations congruence factor; 'V(action)'=Verb-action congruence factor; Columns 4-5 show the results of the overall ANOVA electrode sets at the verb (20 electrode sites), all other p -values involving the independent variables in these time windows > 0.07 ; columns 6-9 show results of separate follow-up ANOVAS for left lateral (LL: LLPf, LLFr, LLTe, LDPa, LLOc), left medial (LM: LMPf, LDFr, LMFr, LMCe, LMOc), right lateral (RL: RLPf, RLFr, RLTe, RDPa, RLOc) and right medial (RM: RMPf, RDFr, RMFr, RMCe, RMOc) electrode sets that included congruence (match vs. mismatch) and anteriority (5 levels). Given are the F - and p -values; we report main effects of role congruence (R(ole)), action congruence (V(Action)), and interactions of these two factors with hemisphere (H), laterality (L), and anteriority (A); main effects of factors hemisphere, laterality, and anteriority are omitted for the sake of brevity as are interactions between just these three factors; degrees of freedom $df(1,31)$ expect for RA, VA, RVA, RHA, VHA, RLA, VLA, RVHA, RVLA, RHLA, VHLA, RVHLA, $df(4,124)$. ? $0.07 > p > 0.05$; * $p < 0.05$; ** $p < .01$; *** $p < .001$;

Sentence position	Time window	Factors	Overall ANOVA	p -value	Left lateral sites	Left medial sites	Right lateral sites	Right medial sites
Noun1	0-100	–						
	100-300	Role	4.88	0.035*	1.49	4.15?	4.00?	6.17*
		RL	4.58	0.040*				
		RLA	2.66	0.055?				
	200-400	Role	9.69	0.004**	5.25*	8.78**	8.45**	10.58**
		RL	6.91	0.013*				
	300-500	RL	4.10	0.052?				

Table 3: ANOVA results for the verb in Experiment 1 (SOA: 500 ms, baselined to 0-200 ms prior to the first noun). All other p -values involving the independent variables in these time windows > 0.07 . ? $0.07 > p > 0.05$; * $p < 0.05$; ** $p < .01$; *** $p < .001$;

Sentence position	Time window	Factors	Overall ANOVA	p -value	Left lateral sites	Left medial sites	Right lateral sites	Right medial sites
Verb	0-100 ms	RA	4.21	0.036*	4.89*	2.54	3.96*	3.91*
		RLA	3.67	0.015*				
		RVHL	4.83	0.036*				
	100-300 ms	Role	6.13	0.019*	4.83*	3.38?	9.96**	4.23*
	300-500 ms	VAction	16.05	0.000***	9.78**	12.70**	16.78***	15.80***
		VH	8.07	0.008**				
		VL	4.63	0.039*				
		VA	4.82	0.019 ***				

Table 4: ANOVA results for the second noun in Experiment 1 (SOA: 500 ms). All other p -values involving the independent variables in these time windows > 0.07 . * $p < 0.05$; ** $p < .01$; *** $p < .001$;

Sentence position	Time window	Factors	Overall ANOVA	p -value	Left lateral sites	Left medial sites	Right lateral sites	Right medial sites
Noun2	0-100	RV	3.74	0.062?				
	100-300	RA	6.39	0.002**				
	300-500	Role	4.99	0.033*	3.48?	3.81?	7.95**	3.24?
		RVA	4.76	0.018*				

Table 5: ANOVA results for the first noun in Experiment 2 (SOA: 300 ms). All other p -values involving the independent variables in these time windows > 0.07 .

Sentence position	Time window	Factors	Overall ANOVA	p-value	Left lateral sites	Left medial sites	Right lateral sites	Right medial sites
Noun1	100-300	RHA	3.30	0.030*	-	-	-	-
		VHA	3.09	0.035*	-	-	-	-
	200-400	Role	12.14	0.001**	6.79*	12.87**	10.91**	11.63**
		RL	10.06	0.003**				
		RHA	2.85	0.05?				
		RLA	4.71	0.004**				

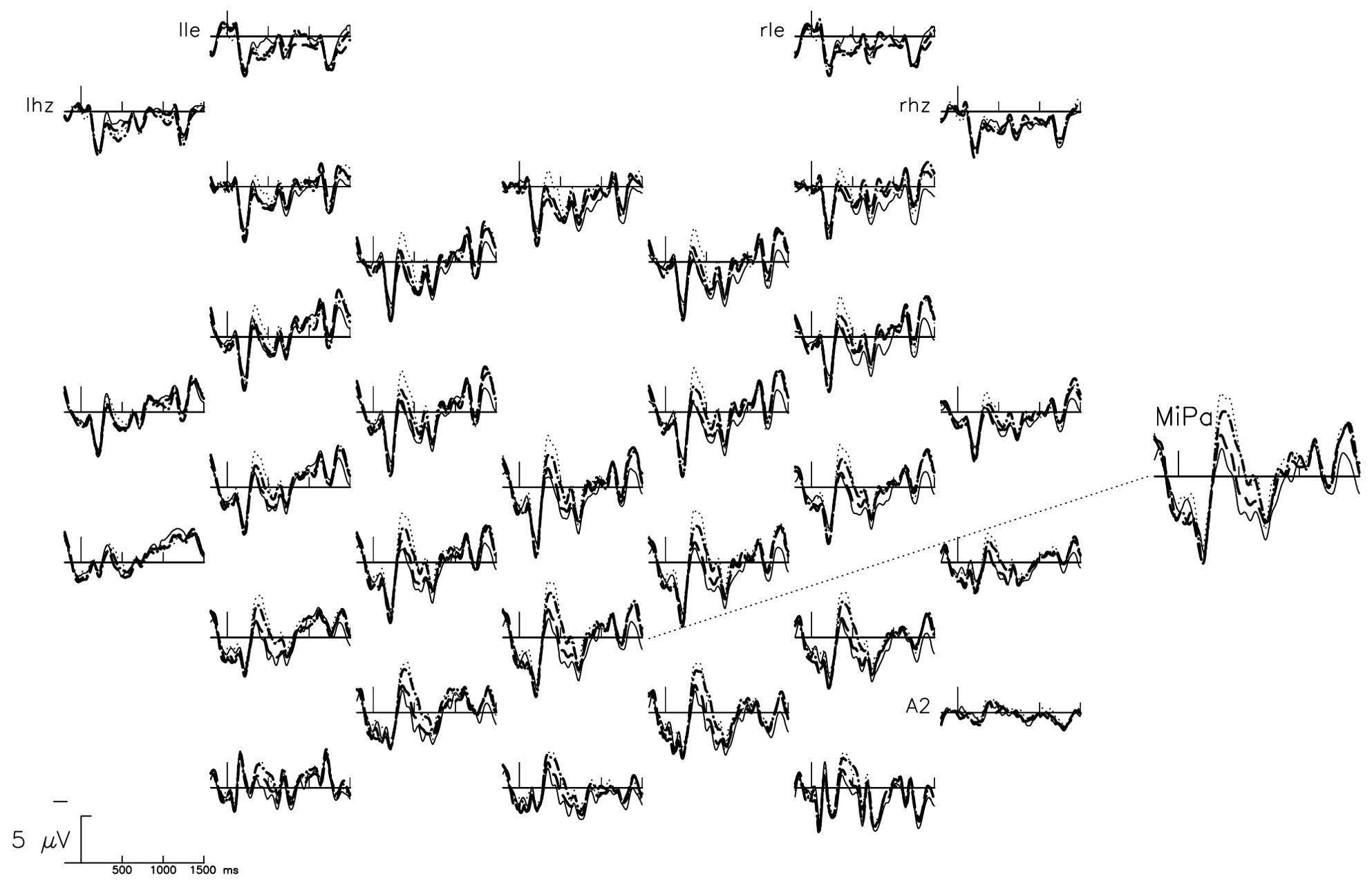
Table 6: ANOVA results for the verb in Experiment 2 (SOA: 300 ms). Analyses for the verb were conducted with a 200 ms baseline prior to the first noun. All other p -values involving the independent variables in these time windows > 0.07 .

Sentence position	Time window	Factors	Overall ANOVA	p-value	Left lateral sites	Left medial sites	Right lateral sites	Right medial sites
Verb	0-100	Role	22.04	0.000***	11.98**	22.19***	20.89***	20.67***
		RL	15.37	0.000***				
		RHA	2.63	0.063?				
		RLA	5.26	0.002**				
	100-300	RL	10.22	0.003**				
		RLA	3.69	0.017*				
	300-500	VAction	17.42	0.000***	4.08?	15.87***	18.54***	24.86***
		RV	12.87	0.001**				
		VH	9.88	0.004**				
		RL	19.03	0.000***				
		VL	29.22	0.000***				
		RVL	10.71	0.003**				
		VHL	4.81	0.036*				
		VHA	3.67	0.028*				
		RLA	4.19	0.007**				
		VLA	2.91	0.040*				
		RVLA	2.96	0.036*				
		VHLA	3.32	0.031*				
		RVHLA	2.97	0.030*				

Table 7: ANOVA results for the second noun in Experiment 2 (SOA: 300 ms). All other p -values involving the independent variables in these time windows > 0.05 .

Sentence position	Time window	Factors	Overall ANOVA	p-value	Left lateral sites	Left medial sites	Right lateral sites	Right medial sites
Noun 2	300-500	VAction	7.11	0.012*	0.30	8.82**	6.38*	11.22**
		VH	7.86	0.009**				
		RL	11.51	0.002**				
		VL	20.55	0.000***				
		VHL	9.54	0.004**				
		RLA	4.15	0.005**				
		VLA	2.96	0.030*				
		VHLA	5.42	0.002**				

Figure 1

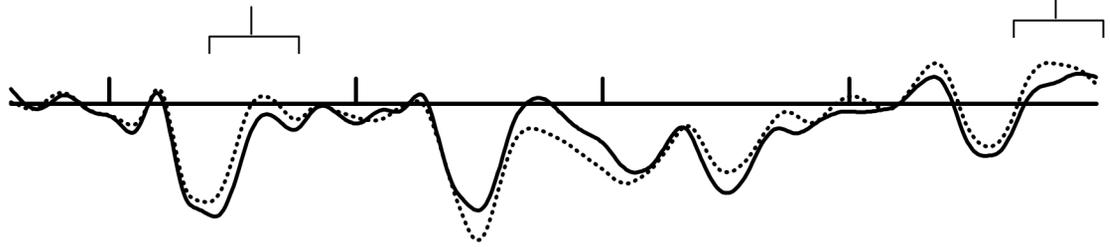


— sentence matches picture fully
..... single action mismatch
- - - single role relations mismatch
- · - · combined mismatch

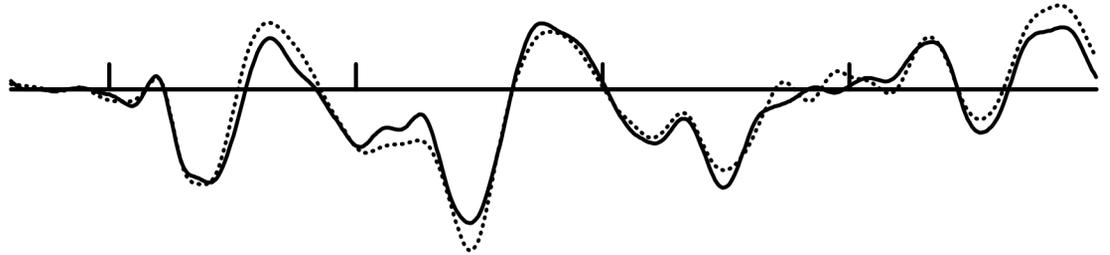
Figure 2



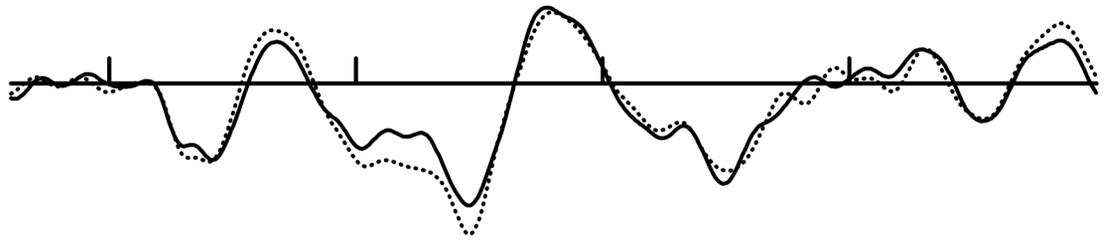
Prefrontal



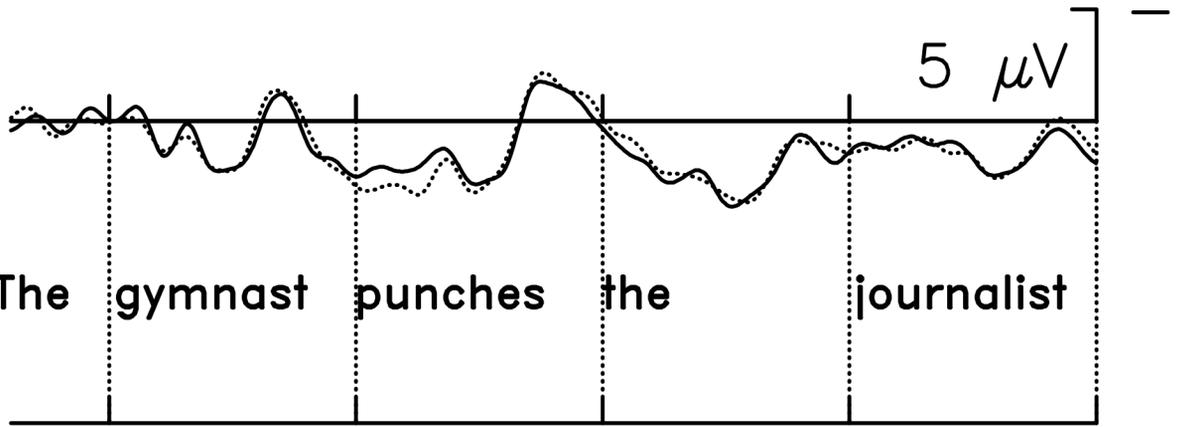
Frontal



Parietal



Occipital



The gymnast punches the journalist

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500

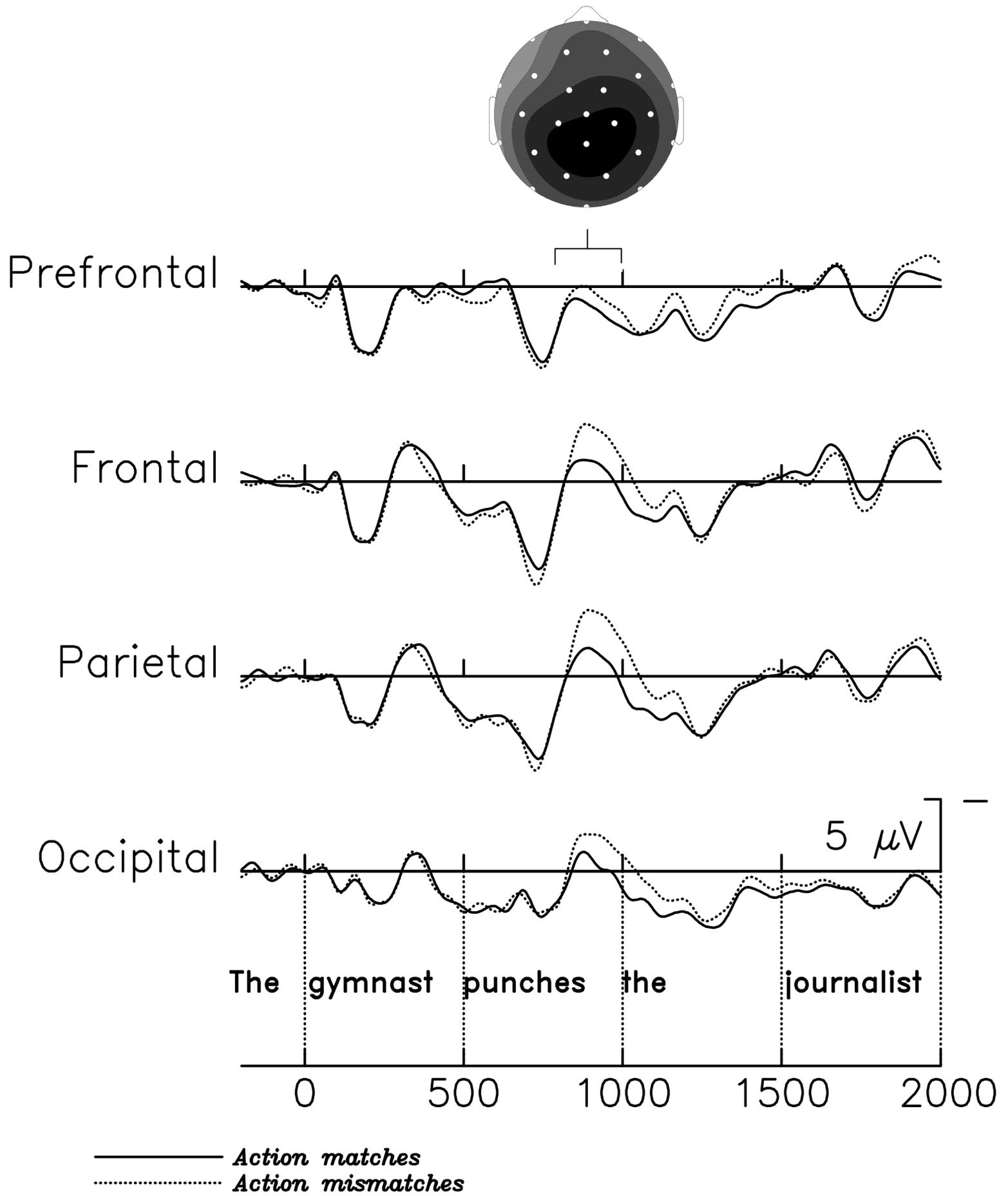
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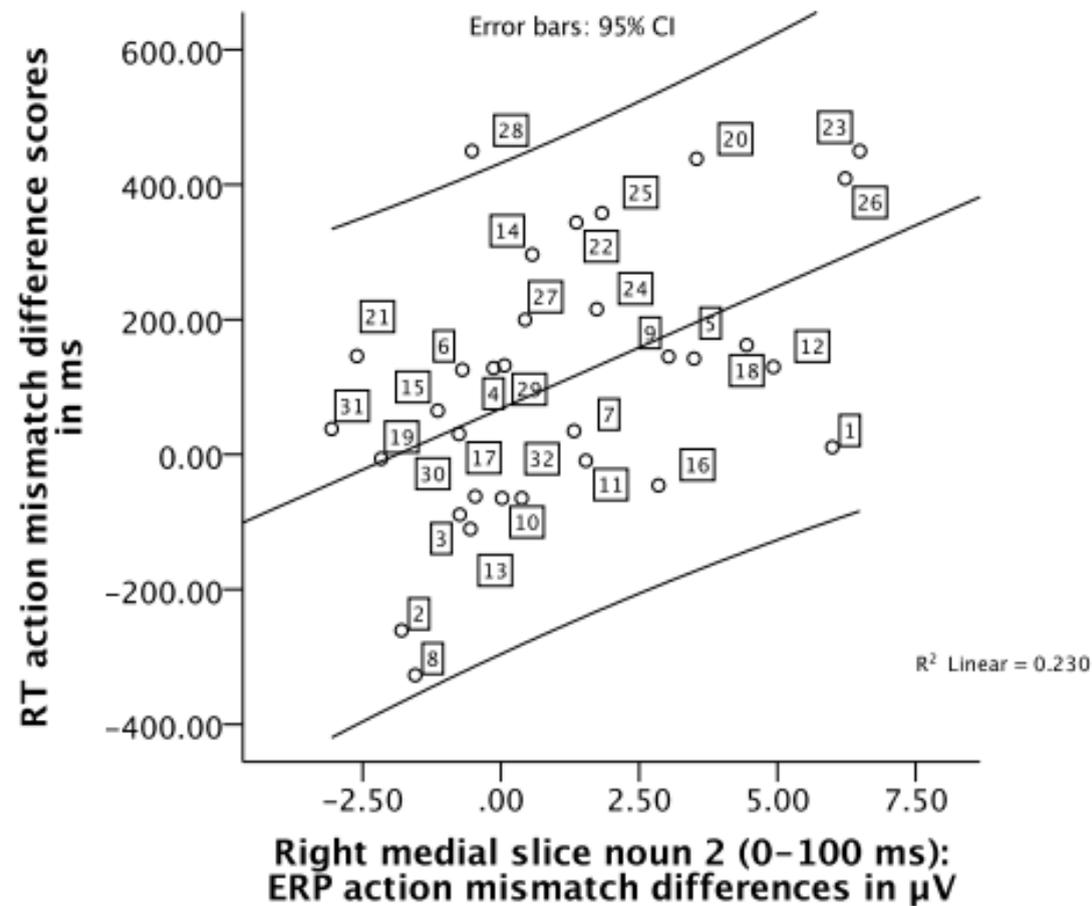
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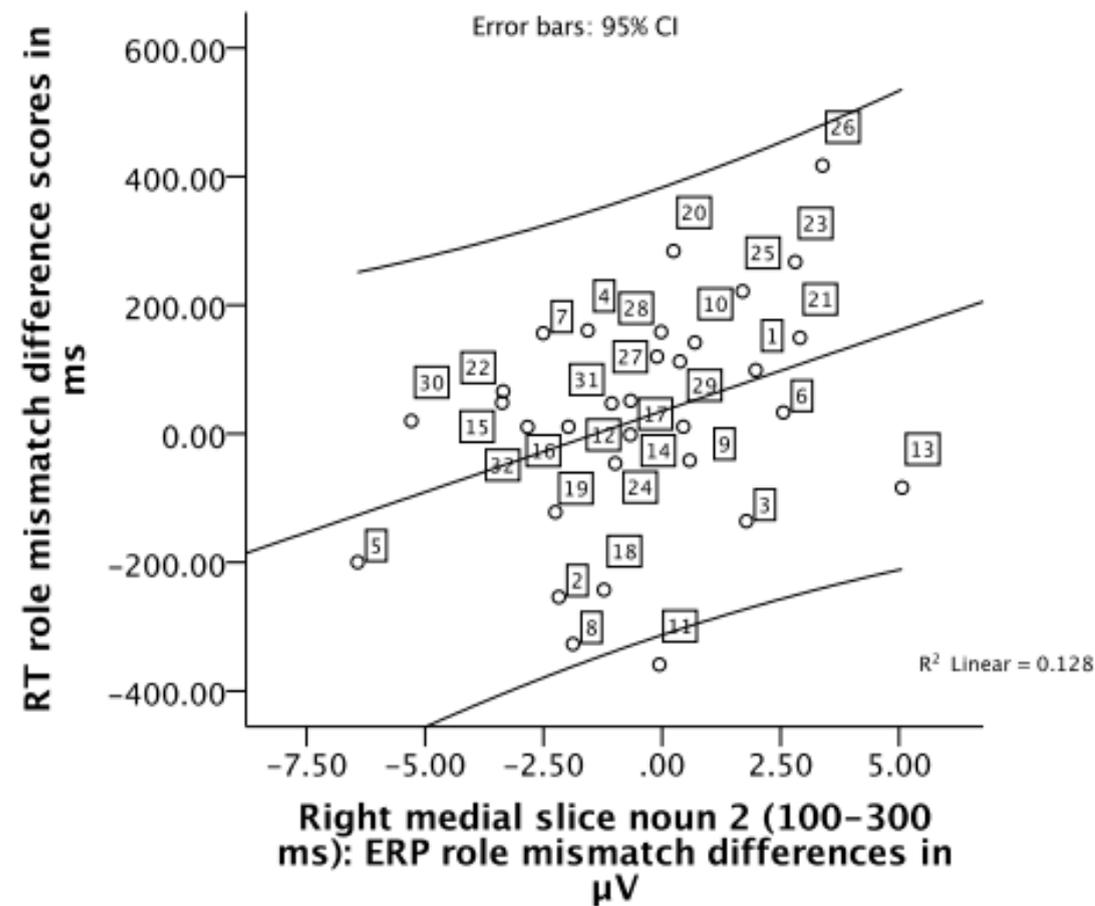
————— *Role matches*
..... *Role mismatches*

Figure 3



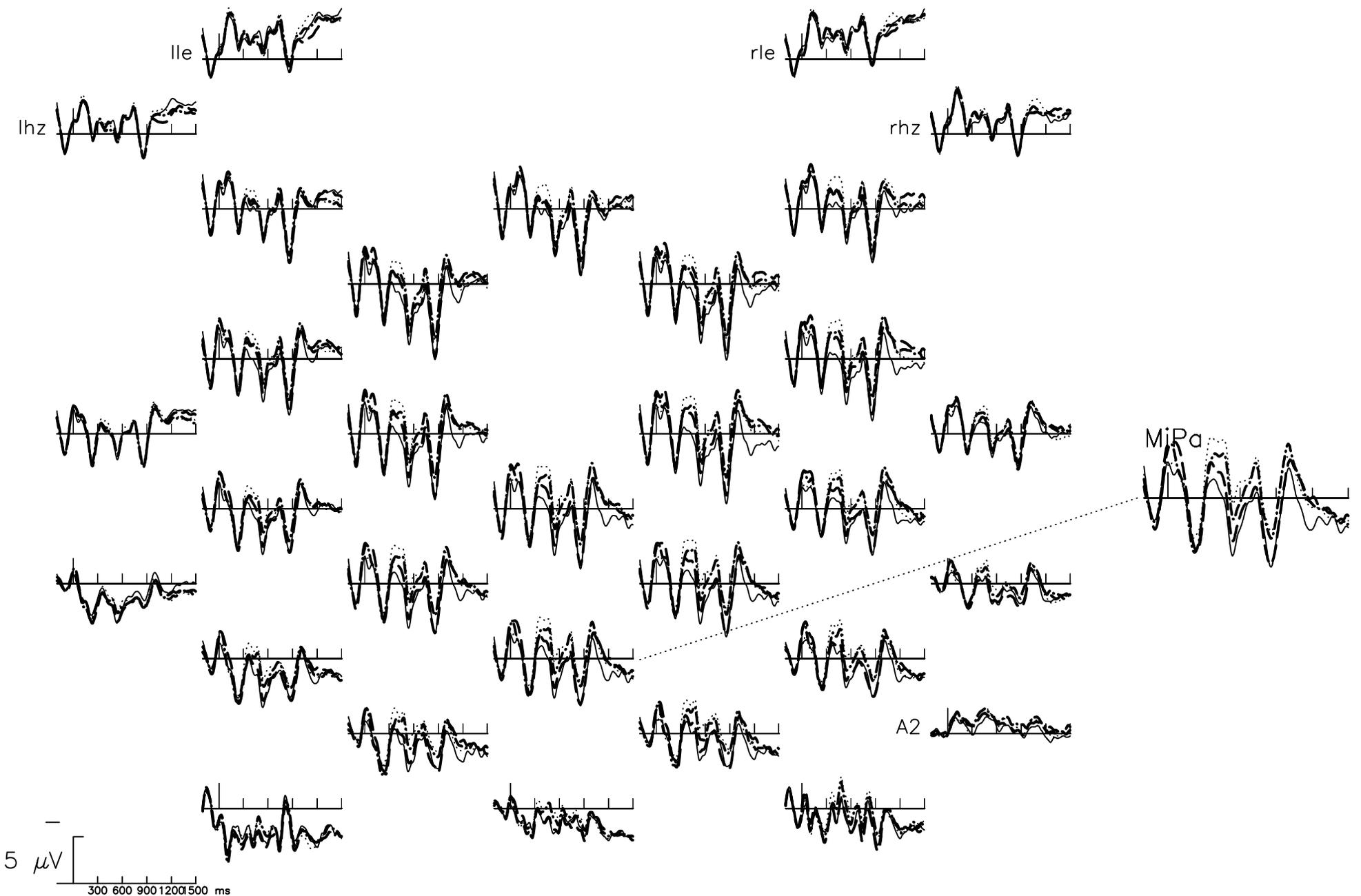


(a)



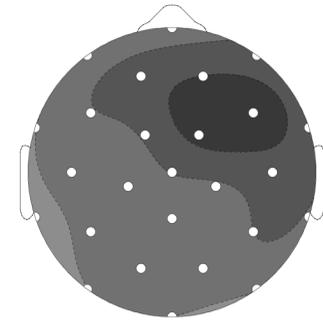
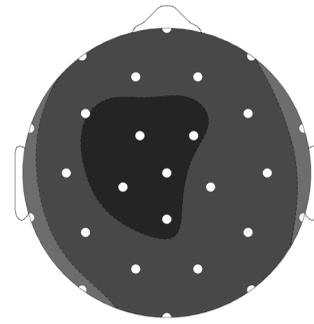
(b)

Figure 5

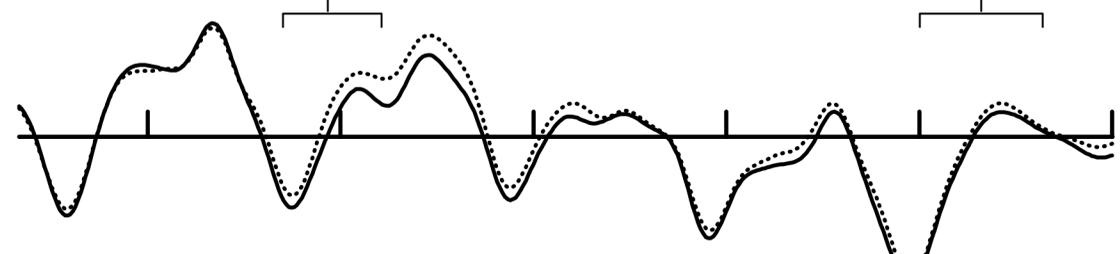


— sentence matches picture fully
..... single action mismatch
- - - single role relations mismatch
- . - . combined mismatch

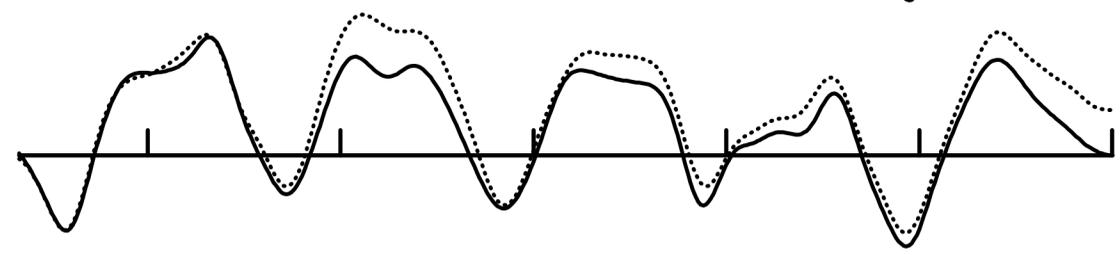
Figure 6



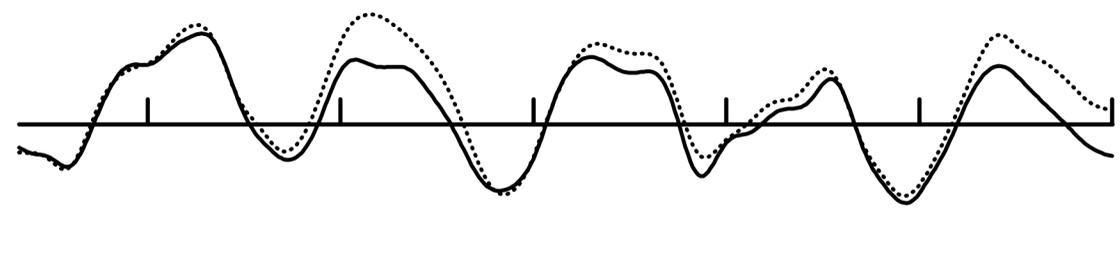
Prefrontal



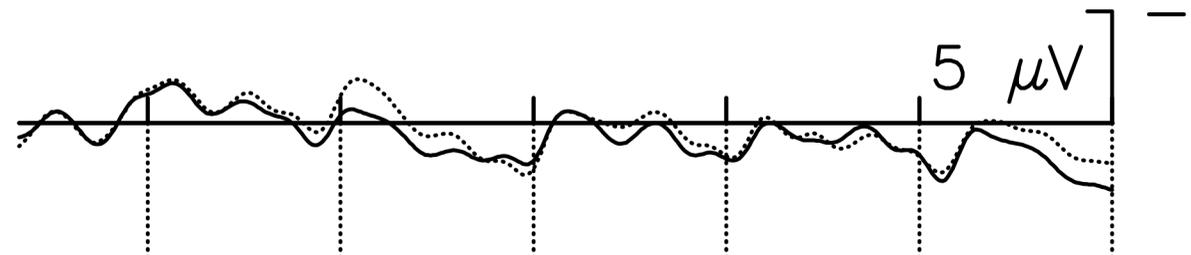
Frontal



Parietal



Occipital



The gymnast punches the journalist

0 300 600 900 1200 1500 ms

————— *Role matches*
..... *Role mismatches*

Figure 7

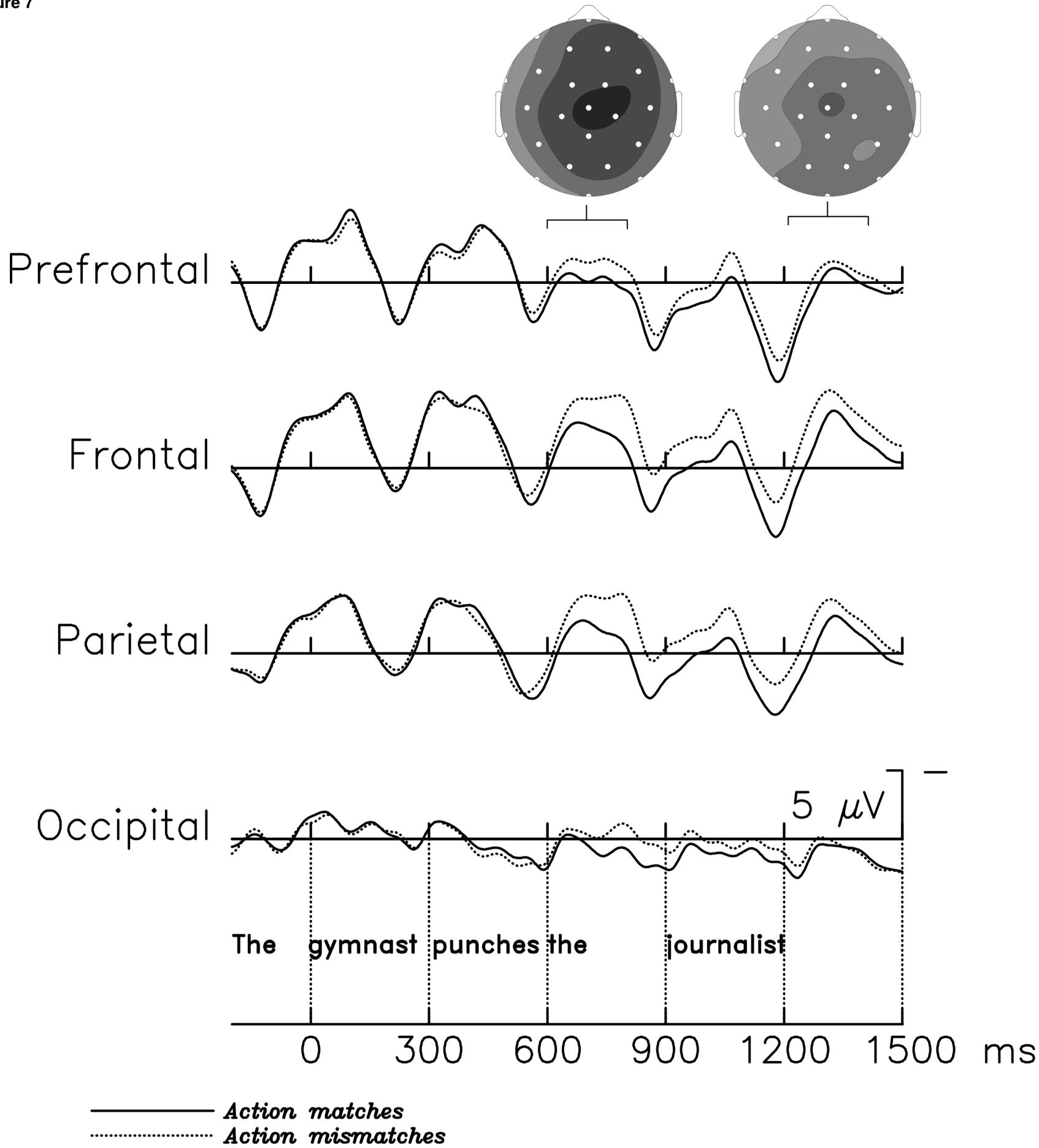
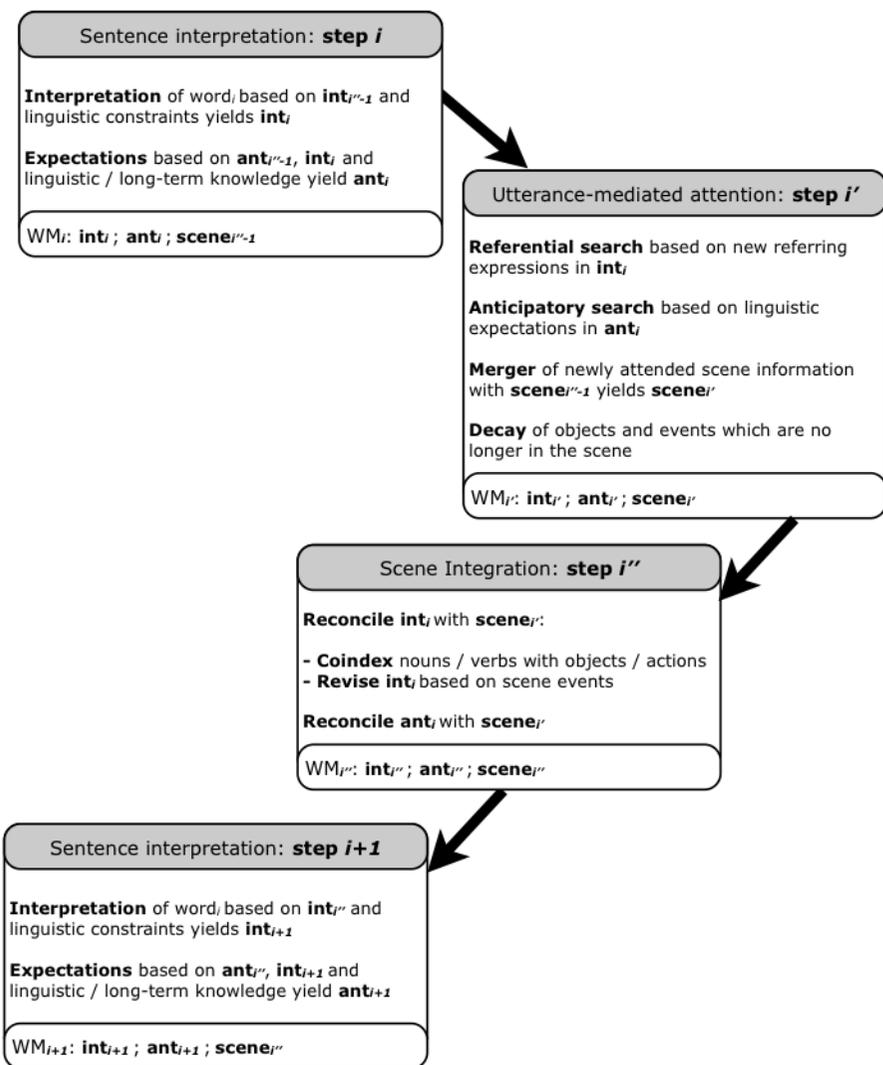
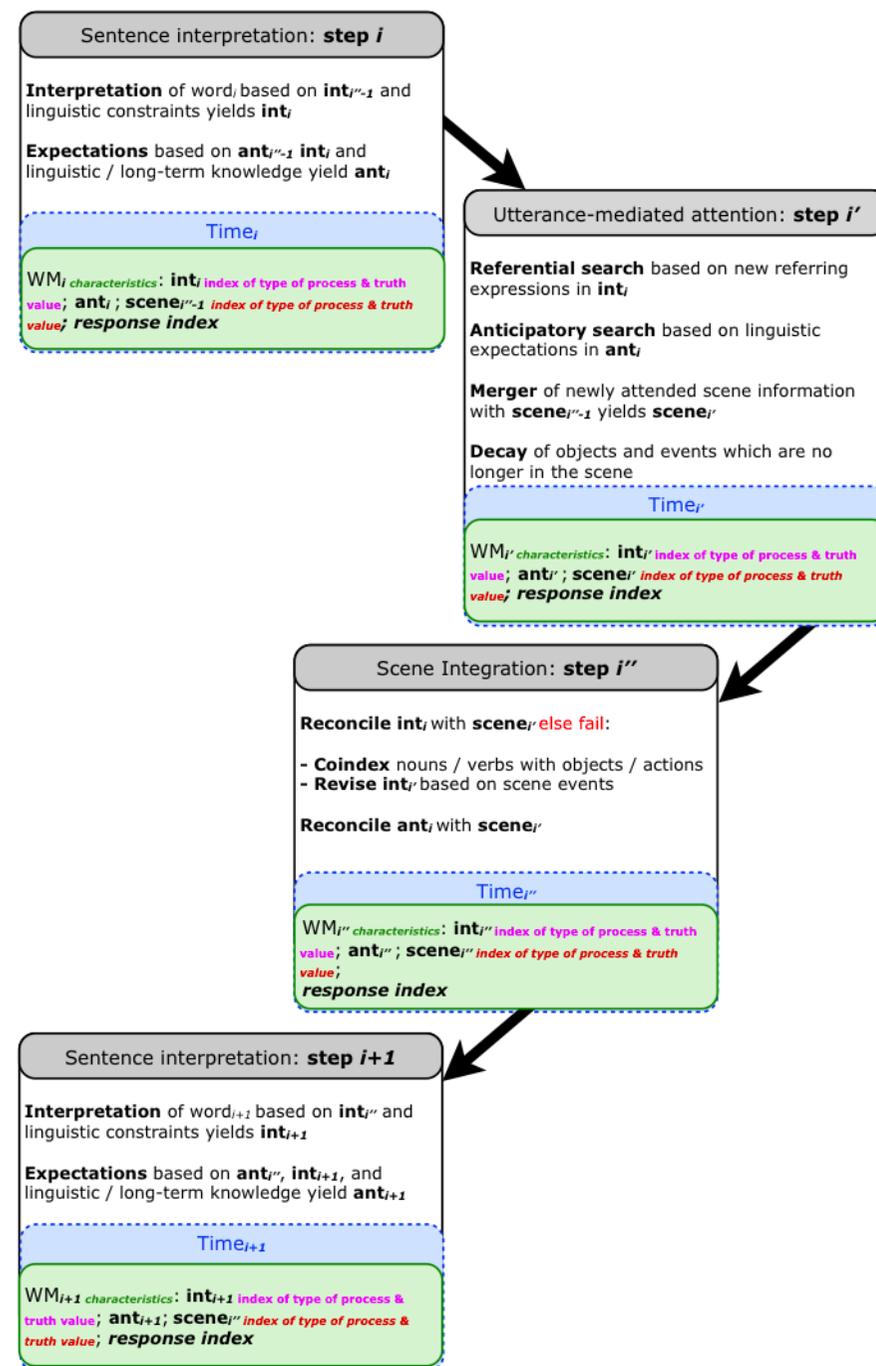


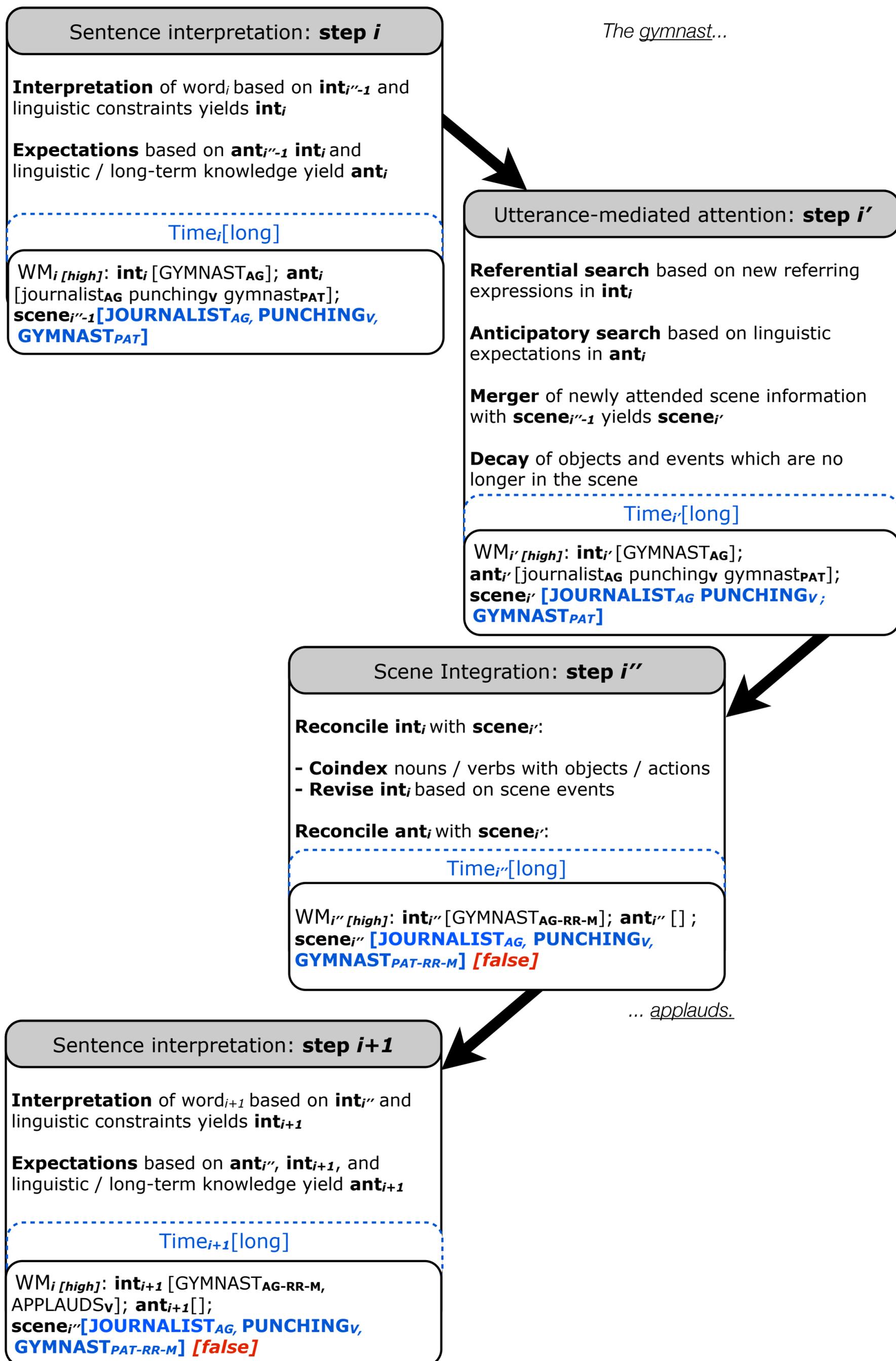
Figure 8

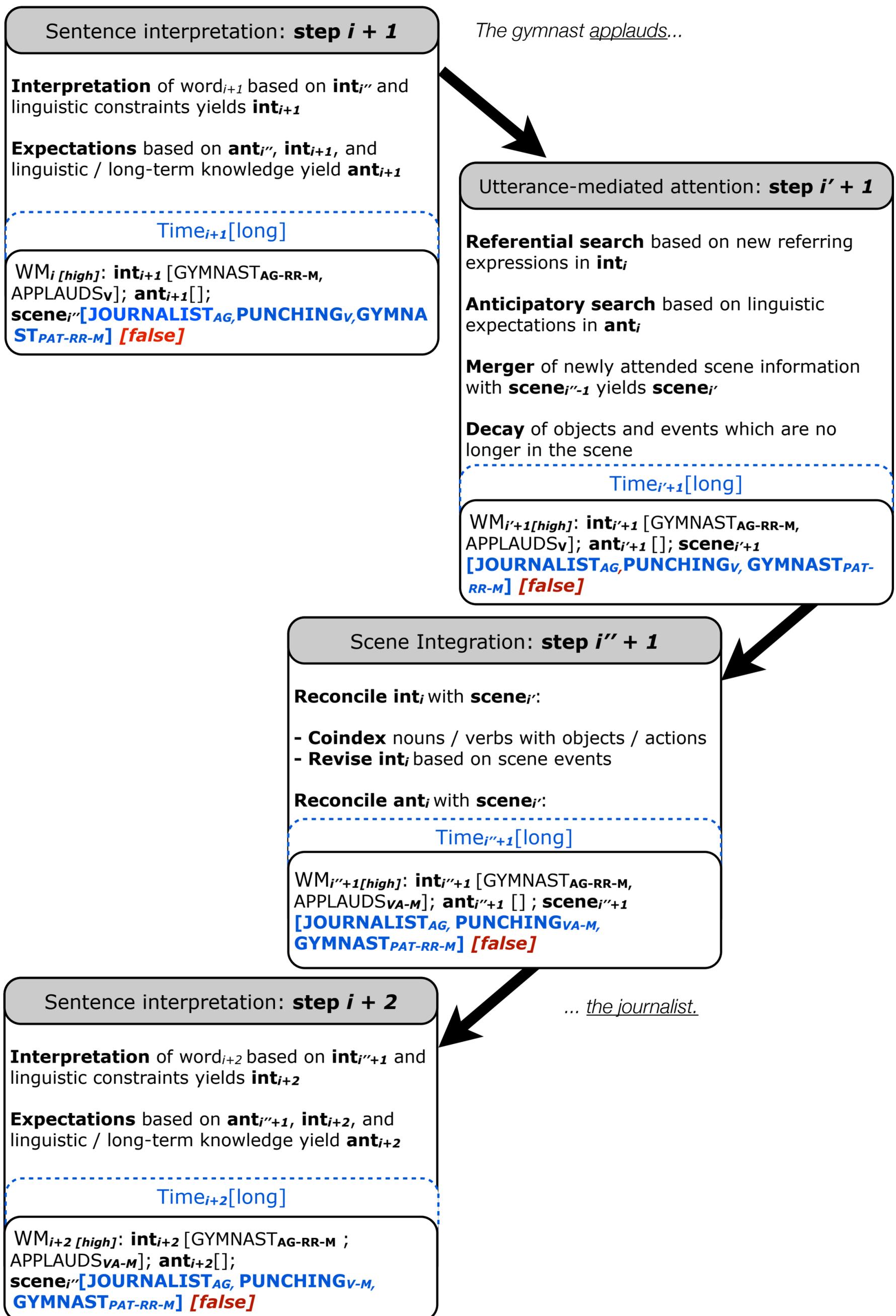


A



B





Supplementary Files

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