A N D R E A S B R E M G E S

ASSEMBLING THE MICROBIAL DARK MATTER

B I E L E F E L D UN I V E R S I T Y FACULTY OF TECHNOLOGY

Assembling the microbial dark matter

THESIS BY ANDREAS BREMGES

The vast majority of microbial species found in nature has yet to be grown in pure culture, turning metagenomics and – more recently – single cell genomics into indispensable methods to study the microbial dark matter.

I developed, applied, and benchmarked genome assembly protocols for single cell and metagenome sequencing data to access microbial dark matter genomes.

In the first part of my thesis, I propose new algorithms that naturally exploit the complementary nature of single cells and metagenomes to improve the quality of single cell assemblies.

IN THE SECOND PART, I apply advanced metagenome assembly and binning techniques to untangle genomes from metagenomes, eventually reconstructing hundreds of near-complete genomes of process-relevant community members in the biogas microbiome.

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Introduction

In 1837, Charles Darwin sketched a small evolutionary tree in his "B" notebook, *Transmutation of Species*, perfectly encapsulating his big idea that all species descend from a common ancestor (Figure [0](#page-8-0).1).¹ Since then, generations of scientists have been 1 [Darwin,](#page-102-0) [1837](#page-102-0), [1859](#page-102-1) adding nodes (and edges) to this tree of life.

DNA sequencing – in particular targeted sequencing of the small subunit ribosomal RNA gene – greatly expanded our view of the tree, currently incorporating three domains of life: Bacte-ria, Archaea, and Eukarya.² However, depictions of the tree of ² [Woese and Fox,](#page-112-0) [1977](#page-112-0); [Lane](#page-106-0) life have largely focused on eukaryotic diversity.³

A recent study presents a new view of the tree of life by also $\frac{3}{3}$ [Hinchliff et al.,](#page-104-0) [2015](#page-104-0) including 1,011 microorganisms from lineages for which genome sequences were previously unavailable.⁴ Bacteria and $-$ to a 4 [Hug et al.,](#page-104-1) [2016](#page-104-1) lesser extent – archaea occupy most of the tree; all eykaryotes are crowded together on one thin branch (Figure [0](#page-9-0).2). 68 of 123 major lineages lack an isolated (cultured) representative, thus counting towards the *micobial dark matter*.

Metagenomics and single cell genomics are essential, culture-independent, and complementary methods to access the genetic makeup of microbial dark matter.⁶ An estimated $85-99\%$ 6 [Brown et al.,](#page-101-0) [2015](#page-101-0); [Rinke](#page-109-0) of bacteria and archaea cannot be grown in pure culture yet, [et al.,](#page-109-0) [2013](#page-109-0) holding back the search for novel compounds of pharmaceutical or biotechnological relevance, such as new antibiotics or carbohydrate-active enzymes (CAZymes).⁷ *The active enzymes* (CAZymes).⁷

Antimicrobial resistance is a global threat to public health, but the pace of antibiotic discovery has slowed down.⁸ Almost $\frac{\text{8}}{\text{2013}}$ $\frac{\text{8}}{\text{2013}}$ $\frac{\text{8}}{\text{2013}}$

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Figure 0.1: **Darwin's tree of life.** Above it, he scribbled "I think."

[et al.,](#page-106-0) [1985](#page-106-0); [Woese et al.,](#page-112-1) [1990](#page-112-1); [Yarza et al.,](#page-112-2) [2014](#page-112-2)

⁵ ⁵ [Filée et al.,](#page-103-0) [2005](#page-103-0); [Rinke](#page-109-0) [et al.,](#page-109-0) [2013](#page-109-0)

Figure 0.2: **A current view of the tree of life.** The tree – modified from [Hug et al.,](#page-104-1) [2016](#page-104-1) – includes 92 bacterial and 26 archaeal phyla, and all five eukaryotic supergroups. Red dots highlight the 68 lineages lacking an isolated representative.

all of our antibiotics were sourced from marine or soil-derived actinomycetes, which represent only a fraction of the prokaryotic diversity.⁹ Microbial dark matter is therefore perceived as an 9[Demain and Sanchez,](#page-102-2) untapped resource of new antibiotics.¹⁰

Cellulose, a renewable resource for biofuel production, is notoriously difficult to deconstruct using currently available enzyme technology.¹¹ In nature, however, a variety of diges-
¹¹ [Klemm et al.,](#page-105-0) [2005](#page-105-0) tive ecosystems – such as the hindgut of higher termites or the cow rumen – are able to efficiently degrade plant biomass.¹² ¹² [Warnecke et al.,](#page-111-0) [2007](#page-111-0); [Hess](#page-104-2)

[2009](#page-102-2); [Gallagher et al.,](#page-103-1) [2010](#page-103-1); [Manivasagan et al.,](#page-107-1) [2014](#page-107-1) ¹⁰ [Wilson et al.,](#page-112-3) [2014](#page-112-3)

[et al.,](#page-104-2) [2011](#page-104-2)

Culture-independent methods continue to expand the catalog of carbohydrate-active genes and genomes for biofuel production.¹³ ¹³ [Morrison et al.,](#page-108-0) [2009](#page-108-0); [Pope](#page-109-1)

Genome sequencing and assembly of culturable microbes turned from a challenge into a routine, primarily due to the advent of long-read sequencing.¹⁴ Assembling microbial dark ¹⁴ [Wibberg et al.,](#page-111-1) [2014](#page-111-1), [2016](#page-112-4);
matter conomes from single cells or motagenomes, on the other Goodwin et al., 2016 matter genomes from single cells or metagenomes, on the other hand, is an open question – addressed in my thesis.

Thesis structure

First, I disclose limitations and the state of the art in single cell **PART I** assembly. I benchmark three modern single cell assemblers on real data and perform regression testing of one (Chapter 1). Consequently, I propose new algorithms exploiting metagenome sequencing data to improve the quality of single cell assemblies: MECORS¹⁵ is a metagenome-enabled error correction method to ¹⁵ [Bremges et al.,](#page-101-1) [2016](#page-101-1) accurately correct sequencing errors and chimeras in single cell sequencing reads (Chapter 2); $KGREP¹⁶$ identifies metagenomic 16 [Bremges et al., in prep.](#page-101-2) "proxy" reads to assemble instead of the original single cell reads and circumvents most challenges of single cell assembly (Chapter 3). Chapter 4 concludes this first part of my thesis.

In the second part, I focus on biogas metagenomics. After **PA R T I I** briefly reviewing advanced metagenome assembly and binning techniques (Chapter 5), I present the first metagenome assembly of a biogas-producing microbial community from a production-scale biogas plant (Chapter 6).¹⁷ In Chapter 7, I describe how ¹⁷ [Bremges et al.,](#page-101-3) [2015](#page-101-3) deeper sequencing of more samples enabled a more inclusive assembly of the biogas microbiome. Successive binning of assembled contigs recovered hundreds of near-complete genomes of process-relevant community members.¹⁸ Lastly, I gauge at 18 [Stolze et al.,](#page-111-2) [2016](#page-111-2) the value of this genome catalog and advocate the integration of metatranscriptomic, -proteomic, and single cell data (Chapter 8).

I conclude my thesis by motivating the need for systematic **E P I L O G U E** benchmarking of methods in metagenomics, as implemented in the *Critical Assessment of Metagenome Interpretation* challenge.¹⁹ ¹⁹ <http://cami-challenge.org>

[et al.,](#page-109-1) [2010](#page-109-1); [Hess et al.,](#page-104-2) [2011](#page-104-2)

Reproducibility statement

Reproducibility is a main principle of the scientific method, yet analyses in psychology and cancer biology revealed that only 39% and 11%, respectively, of published work is reproducible.²⁰ ²⁰ [Open Science Collabora-](#page-109-2)

To FOSTER REPRODUCIBILITY, all analyses throughout my thesis are performed using free and open-source software (Table [0](#page-12-0).1). All (sequencing) data are publicly available.

[tion,](#page-109-2) [2015](#page-109-2); [Begley and Ellis,](#page-100-0) [2012](#page-100-0)

Table 0.1: **Software.** All tool names, used versions, and their primary references.

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Part I

GENOMES FROM SINGLE CELLS

1 The cutting edge of single cell assembly

Single cell genomics revolutionized our understanding of biology by bringing the study of genomes to the cellular level. The sequencing of single microbial cells from environmental samples grants access to the genetic makeup of as-yet unculturable bacterial phyla and major archaeal groups.¹

In 2007, the first single cell genomes – rare and uncultivated members of the TM7 phylum from the human mouth – were amplified and sequenced.² Since then, single amplified genomes 2° [Marcy et al.,](#page-107-3) [2007](#page-107-3); [Podar](#page-109-7) [et al.,](#page-109-7) [2007](#page-109-7) (SAGs) were generated for more candidate phyla, *e. g.*

- OP11 (from an anoxic spring),³ 3 [Youssef et al.,](#page-112-5) [2011](#page-112-5)
- $SR-1$ (from human oral mucosa), 4×4 [Campbell et al.,](#page-102-5) [2013](#page-102-5)
- TM6 (from biofilm on a hospital sink), $5\,$ 5 [McLean et al.,](#page-108-2) [2013](#page-108-2)
- OP9 (from a hot spring), 6 and 6 [Dodsworth et al.,](#page-102-6) [2013](#page-102-6)
- JS1 (from marine sediment), 7×7 [Nobu et al.,](#page-108-3) [2016](#page-108-3)

shedding light on their phylogeny and physiology. In the largest (microbial) single cell sequencing study to date, Tanja Woyke and colleagues from the Joint Genome Institute generated 201 SAGs of unculturable microorganisms from diverse environments, uncovering biological phenomena, such as an archaeal-type purine synthesis in Bacteria and complete sigma factors in Archaea.⁸ \cdot ⁸ [Rinke et al.,](#page-109-0) [2013](#page-109-0)

THE CURRENT STATE OF THE ART in single cell genomics has been reviewed extensively, highlighting recent (and mostly tech-nical) advancements.⁹ After the physical separation and lysis ⁹ [Lasken,](#page-106-5) [2013](#page-106-5); [Blainey and](#page-100-5) of an individual cell, its DNA needs to be amplified before it

 1 [Ishoey et al.,](#page-105-3) [2008](#page-105-3); [Stepanauskas,](#page-110-1) [2012](#page-110-1); [Clingen](#page-102-4)[peel et al.,](#page-102-4) [2014](#page-102-4)a

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[Quake,](#page-100-5) [2014](#page-100-5); [Eberwine et al.,](#page-103-2) [2014](#page-103-2); [Gawad et al.,](#page-103-3) [2016](#page-103-3)

is sequenced.¹⁰ Almost all studies to date have used multiple $\frac{10}{10}$ [Rinke et al.,](#page-110-2) [2014](#page-110-2)
displacement amplification (MDA).¹¹ $\frac{11}{10}$ Lasken, 2007; Gawad et al., displacement amplification (MDA).¹¹

This amplification is heavily biased and leads to highly un-
 2016 2016 2016 even sequencing depth, including ultra-low coverage regions.¹² ¹² [Chitsaz et al.,](#page-102-7) [2011](#page-102-7) To make things worse, chimera formation occurs roughly once per 10 kbp.¹³ Alternatives to MDA – such as MALBAC^{14} – were ¹³ [Rodrigue et al.,](#page-110-3) [2009](#page-110-3) developed, but their amplification of microbial genomes is even ¹⁴ [Zong et al.,](#page-113-0) [2012](#page-113-0) less reliable than MDA.¹⁵ 15 [Blainey,](#page-100-6) [2013](#page-100-6); [de Bourcy](#page-102-8)

Assembling microbial dark matter genomes from single cells therefore remains a bioinformatics challenge. In this chapter, I benchmark state-of-the-art single cell assemblers on real sequencing data to access genome recovery and error rates. Consequently, I emphasize current limitations and advocate the use of metagenomic sequencing data to improve SAG assembly.

1.1 Reference single amplified genomes

As a realistic benchmark, I use 24 publicly available SAGs from three bacterial strains: *Escherichia coli* K12-MG1655 (51% *GC*), *Meiothermus ruber* DSM 1279 (63% *GC*), and *Pedobacter heparinus* DSM 2366 (42% *GC*).¹⁶ For each strain, the complete genome ¹⁶ [Clingenpeel et al.,](#page-102-4) [2014](#page-102-4)a sequence is known and eight SAGs were sequenced to a mean coverage of $315\times$.¹⁷

I used Bowtie 2¹⁸ to map all SAG reads on the corresponding **18** [Langmead and Salzberg,](#page-106-2) reference genome and SAMtools¹⁹ to sort the alignment file 2012 2012 2012
¹⁹ [Li et al.,](#page-107-2) [2009](#page-107-2) and calculate mapping statistics (Table [1](#page-24-0).1). I used $Circos^{20}$ to $20 Krzywinski et al., 2009$ $20 Krzywinski et al., 2009$ $20 Krzywinski et al., 2009$ $20 Krzywinski et al., 2009$ generate the circular read coverage plots (Figure [1](#page-23-0).1).

[et al.,](#page-102-8) [2014](#page-102-8)

¹⁷ [Clingenpeel et al.,](#page-102-9) [2014](#page-102-9)b

Figure 1.1: **Read coverage.** Circular coverage tracks, capped at $10\times$, for *E. coli* (Eco), *M. ruber* (Mru), and *P. heparinus* (Phe) SAGs.

Table 1.1: **Sequencing statistics.** Number of reads, bases, and genome fraction with at least $1\times$ coverage for the *E. coli*, *M. ruber*, and *P. heparinus* SAGs.

The MDA-induced coverage bias varies from SAG to SAG and does not follow any obvious pattern. Genome coverage is the most important variable for *de novo* genome assembly; the 24 reference SAGs are therefore realistic benchmarking data.

1.2 Assessment of single cell assemblers

All modern single cell assemblers – IDBA-UD²¹, SPAdes²², and 21 [Peng et al.,](#page-109-5) [2012](#page-109-5) MEGAHIT²³ – use de Bruijn graphs as their underlying data ²² [Bankevich et al.,](#page-100-3) [2012](#page-100-3)

Structures How to apply do Bruijn graphs to genome assembly structures. How to apply de Bruijn graphs to genome assembly is well-known and has been reviewed extensively.²⁴ ²⁴ [Compeau et al.,](#page-102-10) [2011](#page-102-10);
One potable characteristic that all three assemblers share is Nagarajan and Pop, 2013

One notable characteristic that all three assemblers share, is the use of multiple *k*-mer sizes to increase assembly contiguity

while avoiding misassemblies. Iteratively increasing the *k*-mer size from small (*e.g.* $k = 21$) to large (*e.g.* $k = 99$), they try to assemble low-coverage regions (small *k*) as well as resolve genomic repeats (large *k*).

To BENCHMARK single cell assemblers, I assemble the 24 reference SAGs generated from *E. coli* (Eco), *M. ruber* (Mru), and *P. heparinus* (Phe) with IDBA-UD, MEGAHIT, and SPAdes, using the default settings recommended for SAG assembly. I also include "perfect" assemblies – *Gold*(*x*) – for each SAG, generated by extracting all regions of the reference genome with SAG read coverage of at least *x*, as gold standards to compare against.

Figure [1](#page-25-0).2 shows the recovered genome fraction in contigs greater than 500 bp , as determined by QUAST²⁵, for all SAGs ²⁵ [Gurevich et al.,](#page-104-5) [2013](#page-104-5) including gold standard assemblies for $x \in 1, 2, 4, 8, 16$.

As expected, the recovered genome fraction varies a lot, but differences between assemblers are much smaller than differences between SAGs (and organisms) and can be attributed largely to the quality of the data for the respective SAG. IDBA-UD and SPAdes approach genome recovery rates close to *Gold*(2), Figure 1.2: **Genome fraction.** Quality assessment with QUAST. *i. e.* they also assemble ultra-low coverage regions of the single cell. MEGAHIT performs slightly worse in this metric – but surprisingly well given that assembling single cell data was only recently added and is still flagged as an experimental feature.

Genome assemblers use heuristic methods to minimize as-sembly errors while maximizing contiguity.²⁶ Errors are either ²⁶ [Earl et al.,](#page-103-4) [2011](#page-103-4); [Bradnam](#page-101-4) local *(i.e.* mismatches or indels) or of larger scale *(i.e.* maximage et al., 2013 local (*i.e.* mismatches or indels) or of larger scale (*i.e.* rearrange-ments or chimeric contigs).²⁷ 27 [Gurevich et al.,](#page-104-5) [2013](#page-104-5)

Figure [1](#page-26-0).3 shows the total amount of such assembly errors per 100 *kbp* assembly for the three assemblers and the (error-free) perfect assembly, *Gold*(1). SPAdes and IDBA-UD outperform MEGAHIT, and – unsurprisingly – the *M. ruber* (Mru) SAG assemblies contain the most errors.

Assembly contiguity is usually evaluated by the N50 metric. The N50 value is the length-weighted median contig size, *i. e.* half of the total assembly is contained in contigs of length larger than (or equal to) the N50 value.

There are two problems with this metric, as the N_{50} (1) is not comparable between assemblies of different lengths, and (2) does not account for assembly errors, especially misassembly events.

If the reference genome is known, the NGA50 can be used instead; a useful combination of NG50 (normalize by the real genome length instead of assembly size) and NA50 (break contigs at large-scale misassemblies). This metric is also implemented in QUAST and Figure [1](#page-27-0).4 shows that assembly contiguity could theoretically be improved for all assemblers and SAGs.

Figure 1.4: **Assembly contiguity.** The NGA50 values for Eco *Gold*(1) assemblies are literally off the charts. Quality assessment with QUAST.

To CONCLUDE, modern single cell assemblers already do a good job recovering much of the genome – even regions covered only barely –, but there is room for improvement when it comes to error rate and assembly contiguity.

1.3 Evolution of the SPAdes assembler

The SPAdes assembler is one of the most widely used assemblers today, probably thanks to its ease of use combined with favorable rankings in genome assembly benchmarks.²⁸ It has been under ²⁸ [Magoc et al.,](#page-107-4) [2013](#page-107-4); [Jüne](#page-105-4)active development for more than three years, with – according [mann et al.,](#page-105-4) [2014](#page-105-4) to the changelog – significant improvements in terms of genome

recovery, accuracy, and contiguity (not to mention runtime and memory requirements, which also improved).

Inspired by regression testing in software development, I in retrospect assemble the 24 reference SAGs with each (major) version of the SPAdes assembler and compare the results.

The genome fraction different SPAdes versions assemble into contigs greater than 500 *bp* is almost constant (Figure [1](#page-28-0).5).

Figure 1.5: **Genome fraction.** Quality assessment with QUAST.

The assembly accuracy, assessed by the sum of mismatches, indels, and misassemblies per 100 *kbp* assembly, is depicted in Figure [1](#page-29-1).6; contiguity in terms of NGA50 is shown in Figure 1.7. These results suggest that the developers of SPAdes first focused on improving assembly contiguity at the cost of introducing more errors, and then worked on the latter.

1.4 Conclusions

Single cell genome assembly algorithms matured and reconstruct most of the genome represented by SAG reads. Compared to the gold standard, assembly accuracy and contiguity eventually

Figure 1.6: **Assembly errors.** Quality assessment with QUAST.

Figure 1.7: **Assembly contiguity.** Quality assessment with QUAST.

increased for more recent versions of the tool of choice, SPAdes. Also, technical advancements, such as an improved DNA amplification with less bias or the direct sequencing of a cell's DNA, will eventually enable better single cell genome assemblies.

Reframing a problem helps to unlock innovation. In the following two chapters, I therefore propose new bioinformatic methods that take a different perspective to improve upon the state-of-the-art in single cell assembly, leveraging another data type that is often available for SAGs: the shotgun metagenome of the environmental sample that the SAG was generated from.

I conceived and developed MeCorS and kgrep. Both tools incorporate unbiased metagenomic sequence information to increase the accuracy, contiguity, and genome recovery rate for single cell genomes.

2 Metagenome-enabled error correction

Correcting potential errors in sequencing reads prior to assembly usually improves the downstream assembly result.¹ Modern 1 [Laehnemann et al.,](#page-106-8) [2016](#page-106-8) error correction tools typically use algorithms similar to solving the *spectral alignment problem*.² Given a set of trusted *k*-mers, they ² [Pevzner et al.,](#page-109-8) [2001](#page-109-8) try to find a sequence with minimal corrections such that each *k*-mer on the corrected sequence is trusted. When sequencing isolate-grade genomes, a simple *k*-mer coverage threshold can be used to accurately distinguish between trusted and untrusted *k*-mers.³ $\frac{3}{2}$ [Kelley et al.,](#page-105-5) [2010](#page-105-5); [Song](#page-110-4)

However, a single cell's DNA needs to be amplified prior to [et al.,](#page-110-4) [2014](#page-110-4) sequencing, as usually accomplished by multiple displacement amplification (MDA).⁴ This amplification is heavily biased, leads 4 [Lasken,](#page-106-6) [2007](#page-106-6) to uneven sequencing depth throughout the single amplified genome (SAG), and thus revokes the assumption of uniform sequencing depth that most error correction tools make. Only one tool was specifically designed to correct SAG data with uneven sequencing depth: hammer⁵, recently refined to BayesHammer⁶.

I propose MeCorS, a metagenome-enabled error correction strategy for single cell sequencing reads.⁷ Frequently, single cells ⁷ [Bremges et al.,](#page-101-1) [2016](#page-101-1) and shotgun metagenomes are generated from the same environmental sample, and are methodologically combined *e. g.* to validate metagenome bins with single cell reads or to improve the SAG's assembly contiguity.⁸ MECORS takes advantage of $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ $\frac{8 \text{ Hess et al., 2011; Campbell}}{8 \text{ Harvalv. This sed met a component}}$ largely unbiased metagenomic coverage, enabling it to correct positions with too low a coverage for SAG-only error correction, and to correct chimeric SAG reads through non-chimeric metagenome reads.

⁵ [Medvedev et al.,](#page-108-5) [2011](#page-108-5) ⁶ [Nikolenko et al.,](#page-108-1) [2013](#page-108-1)

2.1 Cartoonesque k-mer relationship

If a SAG and a shotgun metagenome were generated from one sample, then the organism represented by the single cell is also a member of the microbial community (otherwise it would have been impossible to capture it). Therefore – assuming sufficient metagenomic sequencing coverage – all true genomic *k*-mers in the SAG data have to occur in the metagenome, too. Any *k*mers without support in the metagenome likely originate from sequencing errors or MDA-induced chimeric junctions in the single cell sequencing reads (Figure [2](#page-33-0).1).

2.2 Error correction algorithm

The correction algorithm of MECORS was inspired by fermi⁹ 9 [Li,](#page-106-9) 2012 and BFC¹⁰, but it does not act on the assumption of uniform se- 10 [Li,](#page-107-5) [2015](#page-107-5) quencing coverage. Instead, it exploits metagenomic sequence information to correct errors resulting from amplification and sequencing, as well as chimeras, even in ultra-low coverage regions of the SAG.

MeCorS works in three phases:

- 1. MeCorS collects all 31-mers (and their reverse complements) occurring in the SAG reads. It uses this information to initialize a hash table with the 31-mers being valid keys.
- 2. MeCorS scans the accompanying metagenomic reads. For each stored 31-mer, it counts the occurrence of the next (i.e. the 32nd) base in the metagenome and stores the totals in the hash table. This step is largely I/O bound and dominates MeCorS's runtime.
- 3. MeCorS processes each SAG read by using the 31-mer hash table to check if the 32nd base is sufficiently supported in the metagenome. Untrusted 32nd bases are replaced with the most frequent and trusted 32nd bases from the metagenome.

MeCorS considers a 31-mer trusted if it occurs at least twice in the accompanying metagenome. This coverage threshold were determined empirically (as discussed further down) and the *k*-mer size of 31 for error correction was chosen according to the literature.¹¹ Both parameters can be adjusted by the user to 11 [Li,](#page-107-5) [2015](#page-107-5) potentially improve MeCorS's performance for specific data sets.

THE NON-CHIMERIC NATURE of the metagenome reads enables a correction of chimeric SAG reads. Metagenome sequencing is largely unbiased and free of chimeras, while MDA introduces chimeric junctions roughly once per 10 kbp in SAGs.¹² 12 [Lasken and Stockwell,](#page-106-10)

[2007](#page-106-10) Chimeric reads contain DNA sequences originating from two different genome regions, say *A* and *B*, with the first part originating from region *A*, the second part from region *B*. A chimeric junction will (in most cases) result in an untrusted 32nd base (from region *B*) when looking at its 31-mer prefix (from region *A*; phase 3 of MeCorS). MeCorS then tries to correct this position of the SAG read by replacing the untrusted 32nd base (*B*) with the most frequent and trusted 32nd base from the metagenome (*A*). MeCorS therefore performs an implicit and thorough write-through correction of chimeric SAG reads, completely rewriting their second parts.

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.3 Reference SAGs and mock metagenome

As a realistic benchmark, I used the eight previously described *Escherichia coli* K12-MG1655 reference SAGs.¹³ A concomitant *in* ¹³ [Clingenpeel et al.,](#page-102-9) [2014](#page-102-9)b *vitro* mock metagenome consisting of 3 archaeal and 23 bacterial species, including *E. coli* K12-MG1655, was sequenced on the Illumina HiSeq 2000 platform using 2×150 bp paired-end sequencing and generating a total of 355, 875, 608 reads (53 *Gbp*).¹⁴ ¹⁴ [Bowers et al.,](#page-101-5) [2015](#page-101-5)

I mapped these with BWA-MEM¹⁵ simultaneously against all $\frac{15 \text{ Li}}{2013}$ $\frac{15 \text{ Li}}{2013}$ $\frac{15 \text{ Li}}{2013}$ reference genomes, postprocessed the alignment files with SAMtools¹⁶, and calculated the per-base coverage values (Ta- ¹⁶ [Li et al.,](#page-107-2) [2009](#page-107-2) ble [2](#page-35-0).1). The relative abundance of *E. coli* is 0.15%, corresponding to a mean per-base coverage of only 20.7×. The taxonomic profile of the mock community, visualized with Krona¹⁷, is shown in $\frac{17}{7}$ [Ondov et al.,](#page-109-9) [2011](#page-109-9) Figure [2](#page-36-0).2.

Table 2.1: **Mock community members.** 26 microbial species.

2.4 Performance of SAG error correction

I evaluated MECORS along with BayesHammer¹⁸, the state-of-
¹⁸ [Nikolenko et al.,](#page-108-0) [2013](#page-108-0) the-art error correction tool for SAG data. I evaluated the performance of read error correction as described in [Li,](#page-107-0) [2015](#page-107-0), using BWA-MEM¹⁹ and calculating the same read-based metrics:²⁰ ¹⁹ [Li,](#page-106-0) [2013](#page-106-0)

"A read is said to become *better* (or *worse*) if the best alignment of the corrected sequence has more (or fewer) identical bases to the reference genome than the best alignment of the original sequence. The table gives [. . .] the number of reads mapped *perfectly*, number of *chimeric* reads (i.e. reads with parts mapped to different places), number of corrected reads becoming *better* and the number of corrected reads becoming *worse* than the original reads."

²⁰ [Li,](#page-107-0) [2015](#page-107-0)

MeCorS corrects more errors than BayesHammer, producing a significantly higher fraction of better and perfect reads after correction (Table [2](#page-37-0).2; detailed statistics for each SAG, including runtime and memory usage, are given in Table [2](#page-37-1).3).

In contrast to BayesHammer, MeCorS also considerably reduces the amount of chimeric SAG reads, likely due to the non-chimeric nature of the metagenome reads. Despite only implicitely correcting chimeric SAG reads, MeCorS reduces the amount of chimeras by one order of magnitude. Chimeric reads originate from amplification errors during MDA and greatly complicate *de novo* SAG assembly.²¹ Therefore, I look at the effect ²¹ [Nurk et al.,](#page-108-1) [2013](#page-108-1) of the improved error correction on SAG assembly next.

Table 2.2: **Performance of SAG error correction.** Evaluation as described in [Li,](#page-107-0) [2015](#page-107-0).

Table 2.3: **Detailed performance.** Time using 16 threads.

2.5 Effect on SAG assembly

I used IDBA-UD²² and SPAdes²³ to assemble raw and corrected ²² [Peng et al.,](#page-109-0) [2012](#page-109-0) SAG reads, and QUAST²⁴ to evaluate the 48 SAG assemblies.

SPAdes was run with the parameters --careful (to minimize the number of mismatches in the final contigs) and -k 21,33,55,77 (to account for longer SAG sequencing reads; iterating over these four *k*-mer sizes generated assemblies of higher contiguity than the default settings of -k 21,33,55, while maintaining a high accuracy).

MeCorS works well with both single cell assemblers, most notably reducing their misassembly rate by half, while providing high sequence contiguity (Figure [2](#page-38-0).3).

²³ version 3.6.0; [Bankevich](#page-100-0) [et al.,](#page-100-0) [2012](#page-100-0) ²⁴ [Gurevich et al.,](#page-104-0) [2013](#page-104-0)

In particular poorly amplified SAGs benefit from metagenomeenabled error correction, yielding improved assembly accuracy and contiguity (Table [2](#page-39-0).4; Table [2](#page-40-0).5).

While there are subtle differences between the IDBA-UD and SPAdes assemblies, both results demonstrate the large potential of metagenome-enabled error correction.

Figure 2.3: **Effect on SAG assembly.** We corrected the raw reads (R) with BayesHammer (B) or MeCorS (M). Statistical significance ($p < 0.05$; two-tailed Wilcoxon signed-rank test) accentuated.

Table 2.4: **IDBA-UD assembly results**. Quality assessment with QUAST.

Table 2.5: **SPAdes assembly results**. Quality assessment with QUAST.

2.6 Metagenome coverage threshold

By default, MeCorS considers a *k*-mer trusted if it occurs at least twice in the accompanying metagenome. The user can adjust this threshold to potentially improve its performance for specific data sets. Figure [2](#page-41-0).4 shows the effect of a parameter sweep for the *E. coli* SAGs and the *in vitro* mock metagenome.

Figure 2.4: **Effect of different coverage thresholds.** MeCorS considers a *k*-mer trusted if it occurs at least twice in the metagenome.

Increasing the *k*-mer coverage threshold from 1 to 2 is the most beneficial, further increasing this threshold only marginally improves results. Above some coverage threshold error correction performance begins to decline, which seems to be dependent on the taget genome's metagenomic coverage. For the *E. coli* SAGs and the concomitant mock metagenome, this turning point seems to be around 4.

I recommend running MeCorS with default settings; they work sufficiently well for most SAG/metagenome combinations.

2.7 Conclusions

It should be noted that such a hybrid error correction of SAG data may result in miscorrection(s) of rare variants. If the captured cell contains a variant that is rare or absent in the corresponding metagenome, correction will be biased towards the most abundant variant in the metagenome sequence. If strain resolution is desired, I suggest polishing the SAG assembly with *e.g.* SEQuel²⁵ or Pilon²⁶ using the uncorrected raw data as input ²⁵ [Ronen et al.,](#page-110-0) [2012](#page-110-0) reads. In all other cases, SAG assemblies benefit directly from ²⁶ [Walker et al.,](#page-111-0) [2014](#page-111-0) metagenome-enabled error correction via MeCorS.

Uneven genome coverage and chimera formation present the biggest challenges in the downstream processing and analysis of SAG datasets to date. I developed MeCorS for the correction of SAG reads when complementary metagenome datasets are available. Error and chimera correction is essential for improved SAG assembly and demonstrates a powerful application of combined shotgun metagenome and single cell sequencing.

2.8 Software availability

MeCorS is implemented in C and is freely available under the open-source MIT license at:

<https://github.com/abremges/mecors>

3 Metagenomic proxy assemblies

Prior to sequencing of a single cell, its DNA needs to be amplified. This usually is done by multiple displacement amplification (MDA), introducing a tremendous coverage bias.¹ Poorly am-

plified regions result in extremely low sequencing coverage or 2011 ; Nikolenko et al., 2013 plified regions result in extremely low sequencing coverage or physical sequencing gaps.² Those regions of the genome cannot ² [Bankevich et al.,](#page-100-0) [2012](#page-100-0);
he reconstructed in the subsequent accombly stan and conomia Bremges et al., 2016 be reconstructed in the subsequent assembly step and genomic information is lost.³
A complementary approach to single coll generaties is motogeneous peel et al., 2014a

A complementary approach to single cell genomics is metagenomics, *i. e.* the direct sequencing of environmental samples. Frequently, single amplified genomes (SAGs) and shotgun metagenomes are generated from the same environmental sam-ple.⁴ In a metagenome, each genome's coverage is (more or less) 4 [Hedlund et al.,](#page-104-1) [2014](#page-104-1); constant and depends only on its abundance.⁵ [Bremges et al.,](#page-101-0) [2016](#page-101-0)

I PROPOSE KGREP, a fast, *k*-mer based recruitment method to [2015](#page-103-0) identify *metagenomic proxy reads* representing the single cell genome of interest (using the raw single cell sequencing reads as recruitment seeds). By assembling metagenomic proxy reads instead of the single cell reads, I circumvent most challenges of single cell assembly, such as the aforementioned coverage bias and chimeric MDA products.⁶ In a final step, the original single \qquad ⁶ [Lasken and Stockwell,](#page-106-2) [2007](#page-106-2); cell reads are used for quality assessment of the proxy assembly. [Gole et al.,](#page-104-2) [2013](#page-104-2)

A conceptionally similar approach – *mitochondrial baiting and iterative mapping* – has been used to reconstruct complete mito-chondrial genomes from sequencing data.⁷ My implementation, ⁷ [Hahn et al.,](#page-104-3) [2013](#page-104-3) kgrep, is more flexible and *e. g.* allows to ignore *k*-mers originating from known contaminants, and is significantly faster, which is essential to process large metagenomic data sets.

⁵ [Wooley et al.,](#page-112-0) [2010](#page-112-0); [Escobar-Zepeda et al.,](#page-103-0)

3.1 Metagenomic proxy reads

The declared goal is to identify metagenomic sequencing reads that belong to a genome of interest. Let *R* be a metagenomic read of length $|R|$, composed of $|R| - k + 1$ *k*-mers (words of length *k*). If *R* belongs to genome *G*, for which the complete sequence is known, and *R* contains no sequencing errors, then it shares all of its *k*-mers with *G* (Figure [3](#page-45-0).1).

Without loss of generality, I can assume that sequencing errors are randomly distributed and that each error introduces at most *k* erroneous *k*-mers. The latter holds true for base substitutions and indels (insertions or deletions) of length 1, which happen to represent the vast majority of all sequencing errors in Illumina data.⁸ Errors in the first or last *k* − 1 bases of *R* introduce less ⁸ [Minoche et al.,](#page-108-2) [2011](#page-108-2); than *k* erroneous *k*-mers. Thus, the expected number *N* of shared [Goodwin et al.,](#page-104-4) [2016](#page-104-4) *k*-mers between *R* and *G* depends on the read length |*R*| and the sequencing error rate *SER*:

$$
N = |R| - k + 1 - E,
$$

where: $E = \lceil |R| \cdot SER \rceil \cdot k$

The above mimics Ukkonen's *q*-gram lemma for approximate string matching within a certain edit distance.⁹ I estimate the ⁹ [Ukkonen,](#page-111-1) [1992](#page-111-1) expected edit distance between *R* and *G* as the product of read length and error rate. For Illumina data (the most predominant data type), this currently means read lengths of ∼ 150 *bp* and a per-base error rate of $\varepsilon = 0.1$ –0.3%.¹⁰ In other words, I expect ¹⁰ [Goodwin et al.,](#page-104-4) [2016](#page-104-4);
less than one error per 150 *hn* read. Inserting these numbers into less than one error per 150 bp read. Inserting these numbers into the error term's formula gives:

$$
E = \lceil 150 \cdot \varepsilon \rceil \cdot k = k
$$

I therefore allow an edit distance of 1 or *k* erroneous *k*-mers (Figure [3](#page-45-1).2). I call a 150 *bp* metagenomic read a *metagenomic proxy read* if it shares $n \geq N$ *k*-mers with *G*. In theory, metagenomic proxy reads resemble isolate-grade genome data with a Poisson-like coverage distribution and I circumvent most challenges of single cell assembly, such as the aforementioned coverage bias and chimeric MDA products, by assembling the proxy reads instead of the original single cell ones.

Figure 3.1: **Error-free read.** A perfect read (*R*; green) shares all *k*-mers with its source genome (*G*; blue).

Figure 3.2: **Read with error.** A sequencing error introduces at most *k* erroneous *k*-mers.

Figure 3.3: **Starting from SAG reads.** No single cell assembly is needed to recruit metagenomic proxy reads.

This strategy does not require a closed genome sequence *G*, but it works just as good on the raw single cell sequencing reads instead. Single cell assemblies tend to be incomplete and usually do not include 100% of the reads as single cell sequencing reads are only sparsely scattered throughout low-coverage regions, which makes their assembly difficult or impossible.¹¹ Therefore, ¹¹ [Nurk et al.,](#page-108-1) [2013](#page-108-1); [Clingen](#page-102-1)the initial read set contains more information than any SAG assembly and single cell reads should be used as a starting point to recruit metagenomic proxy reads (Figure [3](#page-46-0).3).

Analogous to above: Let *R* be a metagenomic read of length | R |, composed of $|R| - k + 1$ canonical *k*-mers, with the error rate *SER*. If *R* belongs to the genome *G*, for which only an arbitrarly large set of sequencing reads G' is known, then it shares at least $|R| - k + 1 - \lfloor |R| \cdot SER \rfloor \cdot k$ canonical *k*-mers with the read set G'. I use canonical *k*-mers to allow strand-neutral comparisons, *i. e.* we only consider the lexicographically smaller *k*-mer of the forward and reverse complement representation of a *k*-mer.

Each sequencing error (or chimeric junction) in the read set *G* ⁰ adds at most *k* erroneous *k*-mers to the reference (Figure [3](#page-46-0).3). However, because errors are randomly distributed and infrequent, the added noise is insignificant as long as *k* is big enough. [peel et al.,](#page-102-1) [2014](#page-102-1)a

3.2 The choice of k

In nucleotide space, *i. e.* with the well-known DNA alphabet $\Sigma = \{A, C, G, T\}$, there are 4^k possible non-canonical *k*-mers and the probability to observe a given *k*-mer *K* in an *i.i.d.* uniform random (meta)genome sequence *X* of length |*X*| is:

$$
P(K \in X) = 1 - \left(1 - \frac{1}{4^k}\right)^{|X| - k + 1}
$$

I can avoid random hits by choosing a suitable large value for *k*; *k*-mer sizes of 15 and 18 were suggested for bacterial and human genomes, resprectively.¹² For $k = 15$, the probability of ¹² [Kelley et al.,](#page-105-0) [2010](#page-105-0) observing a given *k*-mer in a 5 *Mbp* bacterial genome is 0.46%.

The choice of *k*, *e. g.* for read error correction or *de novo* genome assembly, is usually a tradeoff between sensitivity and specificity.¹³ Longer *^k*-mers are *per se* more specific, but to iden- ¹³ [Li,](#page-107-0) [2015](#page-107-0); [Chikhi and](#page-102-2) tify metagenomic proxy reads a too large value for k is detrimen-
[Medvedev,](#page-102-2) [2014](#page-102-2) tal (because $E = k$). Therefore, an optimal k is small, but large enough to avoid random *k*-mer hits between genomes.

Closely related genomes in the metagenome, *e. g.* multiple strains of one species or similar species of one genus, deserve my closer attention. Starting with single cell reads from only one strain A_1 , K GREP probably recruits reads from strains A_2, A_3, \ldots, A_n present in the metagenome. This might (or might not) pose a challenge for the downstream assembly of metagenomic proxy reads probably representing a strain-mixture.

The average nucleotide identity (ANI) between two genomes is a robust measure of genome relatedness; an ANI value of 95% roughly corresponds to a 70% DNA-DNA reassoci-ation value – a historical definition of bacterial species.¹⁴ 14 [Varghese et al.,](#page-111-2) [2015](#page-111-2);

To determine suitable values for *k* (and to quantify the impact of genome relatedness on KGREP), I analyzed 500 publicly available *Escherichia* genomes for which pairwise ANI values are available.¹⁵ The majority of *Escherichia* genome pairs fall in ¹⁵ [Ondov et al.,](#page-109-1) [2016](#page-109-1) the 96–99% ANI range and constitute of various *Escherichia coli* strains (Figure [3](#page-48-0).4).

[Konstantinidis and Tiedje,](#page-105-1) [2005](#page-105-1)

Figure 3.4: **Average nucleotide identity.** The ANI between 500 *Escherichia* genomes.

Let *X* and *Y* be two genomes. *X* should represent the single cell, *i. e.* the recruitment seed, and *Y* a genome present in the accompanying metagenome. I determine and store all constituent *k*-mers in *X*. Then, I simulate "reads" for *Y* by sliding a window of length 150 *bp* (a typical read length for Illumina data) across the genome sequence. I count how many of these reads KGREP would identify as metagenomic proxy reads within a given (estimated edit) distance $d \in \{0, 1, 2, 3\}$. The number of proxy reads divided by the total number of reads in *Y* is the cross-genome recruitment rate.

I calculated cross-genome recruitment rates for all 250,000 pairwise combinations in the collection of *Eschericia* genomes for $k \in \{11, 12, \ldots, 17\}$ (Figure [3](#page-49-0).5). Increasing the *k*-mer size reduces the cross-recruitment rate rate for $k \leq 15$, further increasing *k* shows no effect. This suggests that kgrep should be run with $k \geq 15$ for $d = 1$ and 150 bp reads. I observed that the practical difference of choosing *e. g.* 15 or 17 as the *k*-mer size is negligibly small and empirically selected $k = 17$ as the default value in my implementation, giving good results for all SAG/metagenome combinations in my hands.

Figure 3.5: **Crossgenome recruitments.** Related to ANI.

3.3 Precision and recall

The first benchmark data are the eight *Escherichia coli* SAGs and their concominant *in vitro* mock metagenome from Chapter 2. [Bowers et al.,](#page-101-1) [2015](#page-101-1) I estimated the relative abundance of *E. coli* to amount to 0.15%, corresponding to a mean per-base coverage of only $20.7\times$ - too little coverage for a *de novo* proxy assembly, but enough reads to calculate kgrep's precision and recall on this data set:

 $Precision = \frac{tp}{tn}$ *tp* + *f p* $=$ % recruited reads that can be mapped

Recall =
$$
\frac{tp}{tp + fn}
$$

= % mappable reads that are recruited

I used Bowtie 2¹⁷ to map all metagenome and the subsets of **1998** [Langmead and Salzberg,](#page-106-4) [2012](#page-106-4) kgrep-recruited proxy reads against the *E. coli* reference genome and report mapping rates, precision, and recall in Table [3](#page-50-0).1.

A total of 810, 015 metagenomic reads can be aligned to the reference – slightly more than previously observed, because I align against one genome instead of all 26 genomes of the mock community –, I count these as condition positive reads. KGREP is very precise. Moderate recall values suggest that kgrep rejects (mappable) reads that contain too many errors.

Table 3.1: **Recruitment benchmark.** A total of 810, 015 metagenomic reads align against the *E. coli* genome.

¹⁶ [Clingenpeel et al.,](#page-102-1) [2014](#page-102-1)a;

3.4 On-the-fly recruitment seed expansion

A greedy extension of my recruitment strategy enables the reconstruction of genomic regions that otherwise would have been lost. Poorly amplified regions of the single cell introduce physical sequencing gaps, *i. e.* regions without SAG read cov-erage (Figure [3](#page-51-0).6).¹⁸ However, the per-genome coverage in a 18 [Bankevich et al.,](#page-100-0) [2012](#page-100-0);
motagonome is (more or loss) constant; the per-base motago-
Bremges et al., 2016 metagenome is (more or less) constant; the per-base metagenomic coverage depends only on the genome's abundance and the metagenomic sequencing depth.¹⁹ Therefore, metagenomic ¹⁹ [Wooley et al.,](#page-112-0) [2010](#page-112-0); reads most likely cover all positions of the target genome, also spanning regions missed by single cell sequencing.

[Escobar-Zepeda et al.,](#page-103-0) [2015](#page-103-0)

Figure 3.6: **Region without SAG read coverage.** Metagenomic proxy reads span regions missed by SAG sequencing.

If *R* is a metagenomic proxy read and contains *m k*-mers not present in *G'*, then I add these *m* novel *k*-mers to the list of known *k*-mers from *G'*. In other words, if a metagenomic proxy read contains unobserved *k*-mers, potentially spanning (or reaching into) uncovered regions of the single cell, then I add these *k*-mers to my reference set (Figure [3](#page-51-1).7).

Afterwards, I continue evaluating the next metagenomic read with the newly extended set of *k*-mers representing *G'* until I have processed all metagenomic reads.

Figure 3.7: **Greedy recruitment seed expansion.** Orange *k*-mers are added.

3.5 Contaminating or decontaminating?

Reagent and laboratory contamination is ubiquitous in laboratory reagents, *i.e.* commonly used DNA extraction kits.²⁰ Most ²⁰ [Laurence et al.,](#page-106-5) [2014](#page-106-5); [Lusk,](#page-107-1) enzymes are produced by living organisms and therefore include ^{[2014](#page-110-1); [Salter et al.,](#page-110-1) 2014} almost inevitably contaminant DNA from the producer. It has been shown that even commercially available MDA reagents frequently contain contaminant DNA, which then is co-amplified with the target DNA.²¹ This contamination not only reduces ²¹ [Woyke et al.,](#page-112-1) [2011](#page-112-1) the efficiency of sequencing microbial single cells, but also con-founds the analysis of potentially unknown genomes.²² Re-
22 [Woyke et al.,](#page-112-2) [2010](#page-112-2); [Blainey](#page-100-1) cently, automated methods to screen against contamination in [and Quake,](#page-100-1) [2011](#page-100-1) genome assemblies became available, but it is still advised to avoid known sources of contamination whenever possible.²³ 23 [Lux et al.,](#page-107-2) [2015](#page-107-2); [Tennessen](#page-111-3)

METAGENOMIC PROXY READS are immune to contamination exclusively affecting either single cells or the metagenome, *e. g.* contaminant MDA reagents introduce contamination to the SAG, but not the metagenome. Also laboratory contamination during single cell sorting is less of an issue.

However, if metagenomic and single cell DNA is treated with the same reagents, they share contaminant DNA (and therefore contaminant *k*-mers). I solve this problem by allowing to ignore certain *k*-mers known to originate from contaminant DNA to avoid spurious recruitments due to contaminant *k*-mer hits. A curated list of contaminant sequences is bundled with kgrep.

3.6 Assembling metagenomic proxy reads

Metagenomic proxy reads can be treated as if they originated from an isolate-grade genome. The metagenomic coverage of a genome is constant and chimeric reads are rare (because metagenomic DNA is usually not amplified with MDA).

I therefore assemble proxy reads with SPAdes²⁴ in its regular ²⁴ [Bankevich et al.,](#page-100-0) [2012](#page-100-0) (multi-cell) mode. Afterwards, I map the original SAG reads to the assembled proxy contigs with Bowtie 2^{25} and remove the few 2^{5} [Langmead and Salzberg,](#page-106-4) [2012](#page-106-4) (and usually very short) contigs without any SAG hits.

[et al.,](#page-111-3) [2016](#page-111-3)

3.7 Aminacenantes (OP8) single cells from Sakinaw Lake

As a realistic benchmark, I use 24 *Aminacenantes* (OP8) single cells from Sakinaw Lake, for which a reference genome (coassembled from these 24 SAGs and manually decontaminated) is available.²⁶ While this OP8 co-assembly was estimated to 26 [Rinke et al.,](#page-109-2) [2013](#page-109-2) be 100% complete (based on a marker gene analysis), it is by no means a closed reference genome and some regions of the genome might be missing. SAG sequencing reads originating from these regions cannot be aligned to the reference genome and therefore appear contaminant. Consequently, metagenomic proxy reads recruited from these regions are also unmappable, as are the resulting contigs in the metagenomic proxy assembly.

Therefore, I first map all SAG reads to the available reference genome with Bowtie 2^{27} and keep all reads that align. Table [3](#page-54-0).2 gives the alignment rates for all 24 single cells. I use this curated ^{[2012](#page-106-4)} set of SAG sequencing reads to further benchmark KGREP and metagenomic proxy assemblies.

Sakinaw Lake in British Columbia, Canada, is a meromictic lake, *i. e.* it has layers of water that do not intermix, which became famous among microbiologists for its richness in candidate phyla.²⁸ Samples from 120*m* depth were used to generate ²⁸ [Gies et al.,](#page-104-5) [2014](#page-104-5); [Nobu](#page-108-3) SAGs and a corresponding deeply sequenced metagenome; the ^{[et al.,](#page-108-3) [2016](#page-108-3)} latter was sequenced on the Illumina HiSeq 2000 platform using 2 × 150 *bp* paired-end sequencing and generating a total of 386, 581, 812 reads (58 *Gbp*).²⁹ ²⁹ [Rinke et al.,](#page-109-2) [2013](#page-109-2)

Based on metagenome read mapping with Bowtie 2³⁰, I estimate the relative abundance of *Aminacenantes* (OP8) to account to ^{[2012](#page-106-4)} 3.3%, corresponding to a mean per-base coverage of $656.5\times$.

3.8 Aminacenantes (OP8) metagenomic proxy assemblies

I use the filtered OP8 single cell sequencing reads as recruitment seeds to recruit metagenomic proxy reads with KGREP. In the following, *Proxy* denotes the proxy assembly of **KGREP-recruited** reads without the recruitment seed expansion; *Proxy** means the recruitment seed expansion was enabled. It makes sense to

²⁷ [Langmead and Salzberg,](#page-106-4)

³⁰ [Langmead and Salzberg,](#page-106-4)

Table 3.2: **SAG read preprocessing.** OP8 single cell sequencing reads mapped against the manually decontaminated co-assembly of 24 SAGs.

iteratively recruit proxy reads with on-the-fly seed expansion enabled; *Proxy*** therefore denotes a two-pass recruitment and *Proxy**** a three-pass recruitment and successive assembly.

I assemble all metagenomic proxy reads into metagenomic proxy assemblies with SPAdes³¹ in its regular (multi-cell) mode ³¹ [Bankevich et al.,](#page-100-0) [2012](#page-100-0) and the filtered SAG reads with SPAdes in its single-cell mode.

Metagenomic proxy assemblies in all flavours recover more of the genome (Figure [3](#page-55-0).8), contain less errors (Figure [3](#page-55-1).9), and are of higher contiguity (Figure 3.[10](#page-56-0)) than SAG-only assemblies.

Figure 3.8: **Genome fraction.** Quality assessment with QUAST.

Figure 3.9: **Assembly errors.** Quality assessment with QUAST.

Figure 3.11: **Unaligned length.** Quality assessment with QUAST.

Iteratively extending the recruitment seed seems to further improve assembly results, while the percentage of unaligned contigs – possibly originating from cross-genome recruitments – remains negligibly small (Figure 3.[11](#page-56-1)).

I CONCLUDE that metagenomic proxy assemblies are the better single cell assemblies for these 24 SAGs.

3.9 Conclusions

De novo SAG assemblies greatly suffer from uneven genome coverage and are therefore limited in their contiguity and accuracy. I propose to exploit shotgun metagenomic data to improve the quality of single cell genome assemblies and developed KGREP, a fast, *k*-mer based recruitment method to identify metagenomic proxy reads representing the single cell genome of interest.

I circumvent most challenges of single cell assembly by assembling proxy reads instead of the single cell reads. Effectively, the assembly of metagenomic proxy reads enables me to span (or walk into) physical sequencing gaps of the single cell and to reconstruct a more complete representation of the genome. Metagenomic proxy assemblies therefore demonstrate yet another powerful combination of shotgun metagenome and single cell sequencing.

3.10 Implementation details

kgrep requires 2 bits per *k*-mer: one bit to encode the *k*-mer presence (or absence) in the SAG data; a second bit to flag known contaminant *k*-mers to ignore during recruitment. It stores all possible canonical *k*-mers in a bitset of 4*k*−¹ byte length (Table [3](#page-58-0).3).

Storing the *k*-mer occurences in a bitset (instead of *e. g.* a more space-efficient hash table) enables kgrep to process $\sim 250,000$ reads per second per core! To put this into perspective, KGREP identifies metagenomic proxy reads in the complete 58 *Gbp* Sakinaw Lake metagenome in half an hour.

Table 3.3: **Memory** requirements. **KGREP** stores a *k*-mer in 2 bits.

WHEN PAIRED-END sequencing data is available, requiring *k*-mer hits in both mates obviously further reduces the crossgenome recruitment rate (and is enabled by default).

3.11 Software availability

 k kgrep is implemented in C and is freely available under the $\,$ open-source MIT license at:

<https://github.com/abremges/kgrep>

4 An integrated assembly pipeline

I presented two approaches that exploit shotgun metagenome data to improve the quality of single cell assemblies: MECORS¹ and KGREP.² However, it is not obvious from the start which ² [Bremges et al., in prep.](#page-101-2) strategy works best for new SAG/metagenome combinations. This has been shown to apply for genome assembly in general.³ $\frac{3}{2}$ [Earl et al.,](#page-103-1) [2011](#page-103-1); [Salzberg](#page-110-2)

For *e. g.* the biogas microbiome – my research focus in Part II of this thesis – we already have shotgun metagenome data available and wait for 96 single cells to be generated eventually. I cannot predict which approach produces the best results for these – and, in fact, performance might vary by SAG – and therefore suggest to try all.

To facilitate the assembly of a large number of SAGs and their accompanying metagenomes, I implemented YinYang, an integrated and automated assembly pipeline for single cell genomes. Given a list of SAG and metagenome FASTQ files, YinYang produces an array of assemblies for each single cell using SPAdes⁴ : (1) a SAG-only assembly, (2) a MeCors-corrected ⁴ [Bankevich et al.,](#page-100-0) [2012](#page-100-0) SAG assembly, and (3) metagenomic proxy assemblies via KGREP (Figure [4](#page-61-0).1).

To estimate the inclusivity of each assembly, YinYang maps the SAG reads to the assembled contigs with Bowtie 2 and SAMtools⁶ and reports the overall mapping rate. Option-
^{[2012](#page-106-4)} 6 [Li et al.,](#page-107-4) [2009](#page-107-4) ally, YINYANG also runs QUAST7 to determine basic assembly $\frac{1}{7}$ [Gurevich et al.,](#page-104-0) [2013](#page-104-0) statistics (*e.g.* the N50 value) and Check M^8 to estimate genome $\frac{8 \text{ Parks et al.} \times 2015}{8 \text{ Parks et al.}}$ $\frac{8 \text{ Parks et al.} \times 2015}{8 \text{ Parks et al.}}$ $\frac{8 \text{ Parks et al.} \times 2015}{8 \text{ Parks et al.}}$ completeness and possible contamination. All reports are collected in one place for the user to decide which assembly to pick.

¹ [Bremges et al.,](#page-101-0) [2016](#page-101-0)

-
- [et al.,](#page-110-2) [2012](#page-110-2); [Magoc et al.,](#page-107-3) [2013](#page-107-3); [Bradnam et al.,](#page-101-3) [2013](#page-101-3)

⁵ [Langmead and Salzberg,](#page-106-4)
2012

Figure 4.1: **Integrated assembly pipeline.** YinYang is my pipeline to assemble single cell genomes.

On my wish list for YinYang in future versions is the inclusion of a fully automated tool for the decontamination of genome assemblies. ACDC⁹ and ProDeGe¹⁰ are the most 9 [Lux et al.,](#page-107-2) [2015](#page-107-2) promising candidates that I will investigate. The messen et al., [2016](#page-111-3)

Software availability

YinYang is implemented in Perl and is freely available under the open-source MIT license at:

<https://github.com/abremges/yinyang>

Part II

GENOMES FROM METAGENOMES

5 Metagenome assembly and binning techniques

If single cell genomics is *Yin*, then metagenomics is *Yang* – they are complementary (rather than opposing) approaches to study the microbial dark matter.¹ In Part II of my thesis, I focus on ¹ [Ohsawa,](#page-109-4) [1931](#page-109-4) computational metagenomics.

SHOTGUN METAGENOMICS is a method of choice to analyze the coding potential of whole microbial communities.² Untangling ² [Sharon and Banfield,](#page-110-3) [2013](#page-110-3) individual genomes from metagenomes requires (1) the assembly of metagenome sequencing reads into contigs and (2) the successive grouping of these contigs into genome bins (Figure [5](#page-66-0).1).

METAGENOME ASSEMBLY is computational challenging because (1) metagenomic datasets are huge and approach terabytes in size, (2) read coverage of different organisms in the environmental sample is non-uniform, and (3) cross-genome repeats (*e. g.* rRNA genes) are longer than typical read lengths and therefore impossible to fully resolve.³ 3 [Kunin et al.,](#page-106-6) [2008](#page-106-6); [Hess](#page-104-6)

Figure 5.1: **Assembly and binning.** Key challenges in computational metagenomics.

[et al.,](#page-104-6) [2011](#page-104-6); [Nagarajan and](#page-108-4) [Pop,](#page-108-4) [2013](#page-108-4)

Metagenome assemblers address these challenges by *e. g.* massively distributing computation⁴, using Bloom filters or $4Boisvert et al., 2012$ $4Boisvert et al., 2012$ $4Boisvert et al., 2012$ $4Boisvert et al., 2012$ succint de Bruijn graphs (to reduce the memory footprint)⁵, or adopting ideas proven useful in the assembly of single cells and ^{[et al.,](#page-106-7) [2015](#page-106-7)} highly polymorphic diploid genomes.⁶ entitled in the state of Priibelski et al., [2014](#page-109-5);

Metagenome binning is the post-assembly taxonomic assignment of contigs into genome bins that enables the study of individual organisms (and their interactions), directly from deeply sequenced metagenomes. Therefore, the task of a binning tool is to assign an identifier to every assembled contig, with each identifier ideally representing a single genome.⁷ 7[McHardy and Rigoutsos,](#page-108-5)

Taxonomic binning tools, such as Megan⁸ or CARMA⁹, act as $\frac{2007}{300}$ $\frac{2007}{300}$ $\frac{2007}{300}$ classifiers and label contigs with taxa from an existing taxonomy, such as the NCBI Taxonomy database.¹⁰

Unsupervised and reference-free binning tools traditionally **Federhen**, [2012](#page-103-3) use nucleotide composition (in particular tetranucleotide frequencies) to group contigs with similar usage, thus effectively differentiating between contigs of different species.¹¹ Today, ¹¹ [Teeling et al.,](#page-111-4) [2004](#page-111-4); [Dick](#page-102-4) binning tools increasingly leverage additional information to [et al.,](#page-102-4) [2009](#page-102-4) improve genome recovery – even in the presence of multiple genomes from individual species in a sample –, such as pairedend read linkage¹², mean contig coverage¹³, per-sample (differ- 12 [Iverson et al.,](#page-105-2) [2012](#page-105-2) ential) coverage¹⁴, or combinations thereof.¹⁵ μ ¹³ [Wu et al.,](#page-112-3) [2014](#page-112-3)

Near-complete genome bins can often be recovered and subsequently mined for their metabolic potential.¹⁶ Nevertheless, all assembly and binning results – even if the presumably "best" tool was chosen – should be inspected carefully by *e. g.* looking at taxonomic assignments of individual contigs, visualizing the underlying differential coverage information, or using an automated method for assessing the quality of metagenome-derived microbial genomes.¹⁷ 17 [Albertsen et al.,](#page-100-3) [2013](#page-100-3);

⁵ [Chikhi and Rizk,](#page-102-3) [2012](#page-102-3); [Li](#page-106-7)

[Safonova et al.,](#page-110-4) [2015](#page-110-4); [Nurk](#page-109-6) [et al.,](#page-109-6) [2016](#page-109-6)

⁸ [Huson et al.,](#page-104-7) [2007](#page-104-7) ⁹ [Krause et al.,](#page-106-8) [2008](#page-106-8); [Gerlach](#page-103-2) [et al.,](#page-103-2) [2009](#page-103-2)

¹⁴ [Albertsen et al.,](#page-100-3) [2013](#page-100-3); [Imelfort et al.,](#page-105-3) [2014](#page-105-3) ¹⁵ [Alneberg et al.,](#page-100-4) [2014](#page-100-4); [Kang](#page-105-4) [et al.,](#page-105-4) [2015](#page-105-4) ¹⁶ [Campanaro et al.,](#page-101-4) [2016](#page-101-4); [Stolze et al.,](#page-111-5) [2016](#page-111-5)

[Parks et al.,](#page-109-3) [2015](#page-109-3); [Eren et al.,](#page-103-4) [2015](#page-103-4)

6 Assembling a biogas-producing community

Biogas is regarded a clean, renewable, and environmentally compatible energy source.¹ Moreover, the generation of energy from $\frac{1}{2}$ [Weiland,](#page-111-6) [2010](#page-111-6) biogas relies on a balanced carbon dioxide cycle. In Germany, there are close to 9,000 biogas plants (BGPs) with a combined electric capacity of over 4,000 MW and a gross electricity production of over 30 TWh per year. They can supply more than nine million households with biogas-based electricity.² \cdot ² German Biogas Associa-

THE PROCESS OF BIOGAS PRODUCTION takes place under anaerobic conditions and involves microbial decomposition of organic matter, yielding methane as the main final product of the fermentation process (Figure [6](#page-69-0).1). Complex consortia of microorganisms are responsible for biomass decomposition and biogas production.³ The majority of the participating microbes $\frac{3 \text{ Schüter et al.}, 2008; \text{Maus}}{2 \text{ Schüter et al.}}$ $\frac{3 \text{ Schüter et al.}, 2008; \text{Maus}}{2 \text{ Schüter et al.}}$ $\frac{3 \text{ Schüter et al.}, 2008; \text{Maus}}{2 \text{ Schüter et al.}}$ are still unknown, as is their influence on reactor performance.⁴ 4 [Wirth et al.,](#page-112-4) [2012](#page-112-4)
Since most of the organisms within biogas communities are noncultivable by today's conventional microbiological techniques, shotgun metagenome sequencing currently is the method of choice to obtain unbiased insights into community composition and the metabolic potential of key community members.

HERE, I DESCRIBE the first deeply sequenced metagenome and metatranscriptome of an agricultural production-scale biogas plant on the Illumina platform.⁵ I assembled the metagenome ⁵ [Bremges et al.,](#page-101-5) [2015](#page-101-5) and *e. g.* reconstructed most genes involved in the methane metabolism, a key pathway involving methanogenesis performed by methanogenic Archaea.

tion;<http://www.biogas.org>

[et al.,](#page-108-6) [2016](#page-108-6)b

70 assembling the microbial dark matter

6.1 Digester management and process characterization

The biogas plant, located in North Rhine Westphalia, Germany, features a mesophilic continuous wet fermentation technol-ogy characterized recently.⁶ It was designed for a capacity of stolze et al., [2015](#page-111-7) 537 *kWel* combined heat and power (CHP) generation. The process comprises three digesters: a primary and secondary digester, where the main proportion of biogas is produced, and a storage tank, where the digestate is fermented thereafter.

THE PRIMARY DIGESTER is fed hourly with a mixture of 72% maize silage and 28% liquid pig manure. The biogas and methane yields at the time of sampling were at 810.5 and 417.8 liters per kg organic dry matter (*l*/*kg oDM*), respectively. After a theoretical retention time of 55 days, the digestate is stored in the closed, non-heated final storage tank. Further metadata are summarized in Table [6](#page-70-0).1.

Figure 6.1: **Biogas production.** Overview of the biogas system (anaerobic digestions). Figure courtesy of Renewable Energies Agency, Germany.

Table 6.1: **Characteristics of the BGP.** Primary digester, sampled on Nov 15, 2010.

6.2 Sampling and library construction

Samples from the primary digester of the aforementioned biogas plant were taken in November 2010. Prior to the sampling process, approximately 15 *l* of the fermenter substrate were discarded before aliquots of 1 *l* were transferred into clean gastight sampling vessels and transported directly to the laboratory.

FOR THE METAGENOME, aliquots of 20 *of the fermentation* sample were used for total community DNA preparation as described previously.⁷ $\frac{7 \text{ Schlitter et al. } 2008}{7 \text{ Schlitter et al. } 2008}$ $\frac{7 \text{ Schlitter et al. } 2008}{7 \text{ Schlitter et al. } 2008}$ $\frac{7 \text{ Schlitter et al. } 2008}{7 \text{ Schlitter et al. } 2008}$

For the metatranscriptome, a random-primed cDNA library was prepared. Total RNA was first treated with 5'-P dependent Terminator exonuclease to enrich for full-length mRNA carrying 5' CAP or triphosphate structures. Then, first-strand cDNA was synthesized using a N6 random primer and M-MLV-RNase H reverse transcriptase, and second-strand cDNA synthesis was performed according to the Gubler-Hoffman protocol.⁸ \cdot ⁸ [Gubler and Hoffman,](#page-104-8) [1983](#page-104-8)

6.3 Metagenomic and metatranscriptomic sequencing

We sequenced one metatranscriptome and two metagenome shotgun libraries on Illumina's Genome Analyzer IIx system, applying the Paired-End DNA Sample Preparation Kit (Illumina Inc.) as described by the manufacturer and generating 2×161 *bp* paired-end reads. On Illumina's MiSeq system, we sequenced three further metagenome shotgun libraries, applying the Nextera DNA Sample Preparation Kit (Illumina Inc.) as described by the manufacturer and generating 2×155 bp paired-end reads. Our sequencing efforts, yielding 35 *Gbp* in total, are summarized in Table [6](#page-71-0).2.

¹Insert sizes determined with Picard tools. ²Partial runs. ³This Nextera library was sequenced twice.

6.4 Read quality control

Prior to assembly, I used Trimmomatic [\(Bolger et al.,](#page-100-5) [2014](#page-100-5)) for adapter removal and moderate quality trimming. After adapter clipping, using Trimmomatic's *Truseq2-PE* and *Nextera-PE* templates, I removed leading and trailing ambiguous or low quality bases (below Phred quality scores of 3). Table 6.[3](#page-71-1) summarizes the effect on sequencing depth, more than 25 *Gbp* of sequence data passed quality control.

Table 6.2: **Sequencing statistics.** Overview of the different sequencing libraries.

Table 6.3: **Quality control.** Adapter removal and quality trimming.
6.5 Metagenome assembly and annotation

I assembled the metagenome with IDBA-UD⁹, MEGAHIT¹⁰, and Ray Meta¹¹, trying a range of *k*-mer sizes from 21 to 61 in ¹⁰ [Li et al.,](#page-106-0) [2015](#page-106-0) steps of 10 for the latter. To estimate the inclusivity of the set ¹¹ [Boisvert et al.,](#page-100-0) [2012](#page-100-0) of assemblies, I aligned the post-QC sequencing reads to the assembled contigs with Bowtie 2^{12} and used $SAMtools^{13}$ to 12^{12} [Langmead and Salzberg,](#page-106-1) convert SAM to BAM, sort the alignment file, and calculate the mapping statistics.

Based on total assembly size, contiguity, and the percentage of mapped back metagenomic reads, we selected the Ray Meta assembly produced with a *k*-mer size of 31. Here, we assembled approximately 228 *Mbp* in 54, 489 contigs greater than 1, 000 *bp*, with an N50 value of 9,796 *bp*.¹⁴ 77% (79%) of metagenomic ¹⁴ It's over 9000! (metatranscriptomic) reads mapped back to this assembly.

I USED METAPRODIGAL¹⁵ to predict 250, 596 protein-coding ¹⁵ [Hyatt et al.,](#page-104-0) [2012](#page-104-0) genes on the assembled contigs. I blasted the protein sequences of all predicted genes against the KEGG database¹⁶, release ¹⁶ [Kanehisa et al.,](#page-105-0) [2014](#page-105-0), [2016](#page-105-1)a 72.0, using Protein-Protein BLAST.¹⁷ Of the 250, 596 predicted ¹⁷ [Camacho et al.,](#page-101-0) [2009](#page-101-0) genes, 191, 766 (76.5%) had a match in the KEGG database, using an Evalue cutoff of 10−⁶ . I determined the KEGG Orthology (KO) for each gene by mapping the top-scoring BLAST hit to its orthologous gene in KEGG, resulting in 109, 501 genes with an assigned KEGG Orthology. Table [6](#page-72-0).4 summarizes these results.

⁹ [Peng et al.,](#page-109-0) [2012](#page-109-0)

[2012](#page-106-1) ¹³ [Li et al.,](#page-107-0) [2009](#page-107-0)

Table 6.4: **Assembly and annotation results.** Minimum contig size of 1, 000 *bp*.

6.6 Relating the metagenome and the metatranscriptome

To illustrate potential use cases, I first counted the number of reads within genes using BEDTools [\(Quinlan and Hall,](#page-109-1) [2010](#page-109-1)) and highlighted metagenomic and metatranscriptomic coverage of the methane metabolism pathway in Figure [6](#page-73-0).2. The assembly therefore containes the majority of genes involved in the methane metabolism from our metagenomic data, with accompanying metatranscriptomic data suggesting active gene expression for many.

Figure 6.2: **Methane metabolism pathway.** Genes involved in the methane metabolism highlighted: Genes with only metagenomic support in yellow, genes with also metatranscriptomic support in orange.

FOR A SECOND EXAMPLE, I calculated the reads per kilobase per million mapped reads (RPKM) for each gene as a crude measure for abundance (metagenome) or expression (metatranscriptome). Figure [6](#page-74-0).3 relates the two; I accentuated all genes assigned to either of the three known types of methanogenic pathways: $CO₂$ to methane (96 genes), methanol to methane (5 genes), and acetate to methane (209 genes). 80 common genes are shared between pathway types.

Hydrogenotrophic methanogenesis, *i. e.* the reduction of CO₂ with hydrogen, appears to be highly expressed in the reactor analyzed, which is in agreement with results obtained via metatranscriptome sequencing.¹⁸ 18 [Zakrzewski et al.,](#page-113-0) [2012](#page-113-0)

Figure 6.3: **Relating the metagenome and metatranscriptome.** Highlighted are genes involved in methanogenesis; in the background a two-dimensional density estimation for all 250, 596 genes.

Figure 6.4: **Binning of the metagenome.** One hundred genome bins were generated, but most are either incomplete or contaminated (or both).

6.7 In retrospect: Metagenome binning

Extending [Bremges et al.,](#page-101-1) [2015](#page-101-1), I tried to recover genome bins from our assembly using MetaBAT.¹⁹ MetaBAT is an unsuper- ¹⁹ [Kang et al.,](#page-105-2) [2015](#page-105-2) vised binning tool that always leverages tetranucleotide frequencies and paired-end linkage to group contigs into genome bins. If multiple samples are available, it additionally uses persample (differential) coverage information. If only one sample is available – as it is the case here –, it resorts to the mean contig coverage instead.

ONE HUNDRED GENOME BINS were generated and I assessed their quality with CheckM.²⁰ CheckM estimates genome com- ²⁰ [Parks et al.,](#page-109-2) [2015](#page-109-2) pleteness, contamination, and strain heterogeneity.

First, CheckM places the genome bin onto a fixed phylogenetic reference tree with pplacer²¹) to determine the most likely 21 [Matsen et al.,](#page-107-1) [2010](#page-107-1) clade it originates from. Then, it used profile hidden Markov models²² to search for the clade-specific marker genes and ²² [Eddy,](#page-103-0) [1998](#page-103-0), [2008](#page-103-1) counts their presence (or absence) in the genome bin.

If there are *e.g.* 100 marker genes for a certain clade and the genome bin contains 69 of them, then its estimated genome completeness is 69%. Furthermore, if 42 of the marker genes occur more than once, the bin's estimated contamination is 42%. Pairs of multi-copy marker genes with an average amino acid identity $AAI \geq 90\%$ count towards strain heterogeneity (assuming that genes from different strains are very similar). In other words, if a genome bin appears highly contaminated but has a strain heterogeneity value of 100%, then the entire contamination can be explained by having multiple strain of the same species in one genome bin.

I present each genome bin's completeness, contamination, and strain heterogeneity as a Cleveland dot plot in Figure [6](#page-75-0).4. As a rule of thumb, we aim for $\geq 90\%$ completeness and $\leq 10\%$ contamination.²³ This leaves us with only ten genome bins – we ²³ [Parks et al.,](#page-109-2) [2015](#page-109-2); [Eren](#page-103-2) surely can do better (as shown in Chapter 7). Genome binning [et al.,](#page-103-2) [2015](#page-103-2) works more reliable when multiple (related) samples are available that contribute valuable differential coverage information.²⁴ 24 [Turaev and Rattei,](#page-111-0) [2016](#page-111-0)

6.8 Conclusions

At the time of publication, the sequencing depth was unprecedented for any microbial community from a production-scale biogas plant. We sequenced the metagenome $27\times$ and $19\times$ deeper, respectively, than previous studies applying 454 or SOLiD sequencing (and primarily focusing on community com-position).²⁵ Metatranscriptomic sequencing of total community ²⁵ [Jaenicke et al.,](#page-105-3) [2011](#page-105-3); [Wirth](#page-112-0)
 P_{N} λ 220 \times deeper than previously reported complemented our et al., 2012 RNA, $230\times$ deeper than previously reported, complemented our metagenome.²⁶ We therefore anticipated that the data were of $\frac{26}{2}$ [Zakrzewski et al.,](#page-113-0) [2012](#page-113-0) great interest to the biogas research community in general and microbiologists working on biogas-producing microbial communities in particular – even without genome bins.

The metagenome assembly has since been used in one applied study to improve the characterization of a metaproteome generated from biogas plant fermentation samples and to investigate the metabolic activity of the microbial community.²⁷ $\frac{27}{27}$ [Kohrs et al.,](#page-105-4) [2015](#page-105-4)

(a) *GigaScience* **blog entry.** July 30, 2015, Scott Edmunds.

(b) *EurekAlert!* **press release.** July 30, 2015, *GigaScience*.

(c) **Article in** *BiofuelsDigest***.** July 30, 2015, Jim Lane.

> Figure 6.5: **Reactions.** Making our analyses reproducible paid off.

WHAT TOOK ME BY SURPRISE was the press and social media coverage that [Bremges et al.,](#page-101-1) [2015](#page-101-1) received, largely triggered by the fact that we dockerized all data and analyses, and therefore made our research more accessible and reproducible. The Docker container accompanying our manuscript is available at:

<https://registry.hub.docker.com/u/metagenomics/2015-biogas-cebitec>

7 A genome catalog of the biogas microbiome

Most members of the biogas microbiome belong to microbial dark matter, *i. e.* they are non-cultivable by today's conventional microbiological techniques. In a pilot study, we sequenced and assembled the metagenome of a biogas-producing microbial community from a production-scale biogas plant, but eventually failed to generate (more than a few) high-quality genome bins.¹ ¹ [Bremges et al.,](#page-101-1) [2015](#page-101-1)

UNTANGLING DOZENS of near-complete genomes from biogas metagenomes was my dream, turned into reality in the context of our Community Science Program "Biogas-producing microbial communities" at the DOE Joint Genome Institute.² ² FY 2013; PI: Alex Sczyrba

Extensive metagenome sequencing of four production-scale biogas plants, greatly surpassing our previous efforts, enabled a more inclusive assembly of the biogas microbiome. Successive binning of assembled contigs recovered hundreds of nearcomplete genomes for process-relevant community members, also comprising the prevalent distinctive phyla Cloacimonetes, Spirochaetes, Fusobacteria, and Thermotogae.³ 3 [Stolze et al.,](#page-111-1) [2016](#page-111-1)

YVONNE STOLZE AND I contributed equally and we both wish to include this joint project in our doctoral theses. Therefore – and to avoid too many overlaps with regards to content –, I primarily describe the bioinformatics part: metagenome assembly and binning.

For laboratory details and the binning-enabled insights into the biology of distinct abundant taxa, please refer to Yvonne's forthcoming thesis or our co-first-authored manuscript in the journal *Biotechnology for Biofuels*.

7.1 Metagenome sampling and sequencing

The production of biogas happens usually at mesophilic (34–40 ◦*C*) or thermophilic (55–60 ◦*C*) conditions.⁴ Differences in the biogas ⁴ [Weiland,](#page-111-2) [2010](#page-111-2) microbiome have been observed depending on the process conditions, *e.g.* temperature.⁵ $\frac{1}{2}$ [Ritari et al.,](#page-110-0) [2012](#page-110-0);

Accounting for availability and accessibility, we selected three mesophilic and one thermophilic industrial biogas plants (BGPs) for metagenomic sequencing. For each BGP, total community DNA was extracted and sequenced in replicates at the DOE Joint Genome Institute. Table [7](#page-79-0).1 lists our sequencing efforts, a total of 2.3 billion reads (347.5 *Gbp*) were sequenced.

Ziembińska-Buczyńska [et al.,](#page-113-1) [2014](#page-113-1)

7.2 Community structure and similarity

Metagenome binning techniques are most effective when mutliple samples are available, *e. g.* a time-series or employing different DNA extraction methods, for which a combined metagenome assembly makes sense.⁶ [Albertsen et al.,](#page-100-1) [2013](#page-100-1);

I used Mash⁷ to quantify the pairwise similarity of the biogas metagenomes prior to assembly. Mash reduces large sequence $\frac{1}{7}$ [Ondov et al.,](#page-109-3) [2016](#page-109-3) sets to compressed sketches using the MinHash algorithm.⁸ $8 \text{ Broder}, 1997$ $8 \text{ Broder}, 1997$ Using these sketches, Mash rapidly estimates pairwise distances between two sets. Table [7](#page-80-0).2 gives the pairwise Mash distances.

Table 7.1: **Sequencing statistics.** We sequenced the metagenomes from four BGPs in replicates.

[Alneberg et al.,](#page-100-2) [2014](#page-100-2); [Turaev](#page-111-0) [and Rattei,](#page-111-0) [2016](#page-111-0)

Table 7.2: **Mash distances.** Pairwise comparisons of 4×2 metagenome read sets using Mash with a *k*-mer size of 21 and a sketch size of 1,000,000.

PAIRWISE MASH DISTANCES are small, indicating a high fraction of similar genomes across samples. Replicates are most similar, closely followed by a high similarity between the metagenome samples of BGP2 and BGP3. BGP4 is the outlier, which I expected because it is the only thermophilic BGP we sampled. Nevertheless, the Mash results support the combined assembly of all samples to facilitate the reconstruction of low-abundance community members and downstream genome binning.

7.3 Combined metagenome assembly

I used Ray Meta⁹ to co-assemble all metagenome reads, using a state 9 [Boisvert et al.,](#page-100-0) [2012](#page-100-0) *k*-mer size of 31. The gene prediction tool Prodigal¹⁰ was used to ¹⁰ [Hyatt et al.,](#page-104-0) [2012](#page-104-0) predict genes on assembled contigs (Table [7](#page-80-1).3).

I aligned all metagenome reads to the assembled contigs with Bowtie 2 [\(Langmead and Salzberg,](#page-106-1) [2012](#page-106-1)) and calculated mapping statistics with SAMtools [\(Li et al.,](#page-107-0) [2009](#page-107-0); Table [7](#page-80-2).4). Figure [7](#page-81-0).1 and [7](#page-82-0).2 visualize differential contig coverages between BGPs.

Table 7.3: **Assembly results.** Minimum contig size of 1, 000 *bp*.

Table 7.4: **Mapping results.** 80.3% of all reads are included.

Contig coverage

Figure 7.1: **Contig coverage.** Each point is one contig, colored by its GC content.

Figure 7.2: **Contig coverage.** Lengthweighted 2D density estimation.

7.4 Metagenome binning

At the time of analyses, three unsupervised binning tools that leverage differential coverage information became available: Groop M^{11} , CONCOCT¹², and MetaBat.¹³ I ran all tools on our 11 [Imelfort et al.,](#page-105-5) [2014](#page-105-5) data:

- GroopM failed to generate any genome bins on our data. I suspect that either our experimental design – metagenomes originating from four different samples – violates (and breaks) GroopM's statistical model, or that it simply was not tested on such large datasets;
- CONCOCT¹⁴ grouped 316, 848 contigs (95.7% of all contigs) ¹⁴ I used an early version of into 283 genome bins; and
- MetaBAT in its very specific mode grouped 72, 891 contigs (22% of all contigs) into 532 genome bins.
-
- ¹² [Alneberg et al.,](#page-100-2) [2014](#page-100-2)
- ¹³ [Kang et al.,](#page-105-2) [2015](#page-105-2)

CONCOCT, recent versions might behave differently.

I visually and interactively explored the binning results by generating separate contig coverage plots for each CONCOCT and MetaBAT genome bin. For CONCOCT, I observed many cases for which the contigs's coverages did not align well (Figure 7.[3](#page-83-0)). I did not observe the same effect for any MetaBAT bin.

Following our intuition, we picked the MetaBAT binning results. Even though only 22% of all contigs are binned, the 532 bins contain 62.6% (932 *Mbp*) of the total assembly.

Eventually, our decision was confirmed by a "real" quality assessment with a (then) new tool – Check M^{15} – and I estimated 15 [Parks et al.,](#page-109-2) [2015](#page-109-2) each bin's genome completeness and contamination (Figure [7](#page-86-0).5).

Figure 7.3: **Contig coverages for three CONCOCT bins.** This doesn't look right. . .

7.5 Abundant distinct taxa

16S-based community profiling uncovered four distinct abundant phyla: Thermotogae in BGP4 (the thermophilic BGP); Fusobacteria in BGP3; Spirochaetes in BGP2 and BGP3; and Cloacimonetes in BGP2 and BGP3 (Figure [7](#page-84-0).4).¹⁶ 16 [Stolze et al.,](#page-111-1) [2016](#page-111-1)

I IDENTIFIED GENOME BINS matching these taxa by (A) counting the taxonomic assignments on gene level (which were generated by comparing predicted protein sequences to NCBI's database using the BLASTP mode of $DIAMOND¹⁷$ and then loading the 17 [Buchfink et al.,](#page-101-3) [2015](#page-101-3) resulting output file into MEGAN5¹⁸ for taxonomic classifi-
¹⁸ [Huson et al.,](#page-104-1) [2007](#page-104-1) cation), and (B) running taxator-tk's BLASTN-based binning workflow¹⁹ to additionally assign a taxon label on contig level. ¹⁹ [Dröge et al.,](#page-102-0) [2015](#page-102-0) These two approaches were largely in agreement, identifying high-confident genome bins for the taxa of interest (Table [7](#page-87-0).5).

Figure 7.4: **16S-based profile.** Madis Rumming analyzed the 16S amplicon data.

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Figure 7.5: **Top 200 genome bins.** A genome catalog of the biogas microbiome.

THE GENOME BINS representing the abundant distinct taxa are (with one exception) $\geq 90\%$ complete and $\leq 10\%$ contaminated. Members of the phylum Cloacimonetes occur in BGP2 and BGP3; I recovered one genome bin from each BGP. The presumably high contamination value for the 120 Cloacimonetes bin is due to strain heterogeneity, *i. e.* different strains of the same species got sorted into this bin.

Of special interest is the 206_Thermotogae bin because it matches *Defluviitoga tunisiensis* L3, a strain for which the com-plete reference genome is known.²⁰ Comparing the reference ²⁰ [Maus et al.,](#page-107-2) [2016](#page-107-2)a genome with our genome bin, we observed that *e. g.* for the known sugar utilization pathways, bin 206_Thermotogae contains all but 13 modules of the closed reference genome (Figure 7.[6](#page-88-0)). This finding further confirmed the applicability and reliability of our binning approach.

7.6 Conclusions

Applying state-of-the-art metagenome assembly and binning techniques, I compiled a genome catalog of the biogas microbiome containing hundreds of near-complete genomes.

Table 7.5: **Genome bins of abundant distinct taxa.** Assembly statistics and quality assessment.

Figure 7.6: **Sugar utilization pathways in the genome bin matching** *Defluviitoga tunisiensis* **L3.** Metageome binning recovered the nearcomplete genome sequence containing all but 13 modules. Figure courtesy of Irena Maus, modified from [Maus et al.,](#page-107-2) [2016](#page-107-2)a.

8 Setting the stage for future biogas research

Research of microbial communities residing in industrial biogas plants has long been a focus at the CeBiTec, Bielefeld University.¹ ¹ [Schlüter et al.,](#page-110-1) [2008](#page-110-1); [Kröber](#page-106-2) We heralded a new era of assembly-based metagenomics and eventually compiled an exhaustive genome catalog of the biogas microbiome.² Metagenome assembly and binning therefore $2 B$ [Bremges et al.,](#page-101-1) [2015](#page-101-1); [Stolze](#page-111-1)
complements the cultivation and sequencing of key players in B ^{et al., 2016} complements the cultivation and sequencing of key players in the biogas-producing microbial community.³ Combined, these ³ [Maus et al.,](#page-107-3) [2015](#page-107-3), [2016](#page-107-2)a results enable the return to genome-centric analyses and will shape future biogas research at the CeBiTec – and beyond.

8.1 Binning-enabled metatranscriptomics

Relating the metagenome and metatranscriptome can identify active members in a microbial community. In our pilot biogas metagenome study, we focused only on distinct abundant taxa in the four biogas plants and identified four of them (represented by five near-complete genome bins).⁴ In a follow-up study, we 4 [Stolze et al.,](#page-111-1) [2016](#page-111-1) plan to incorporate the corresponding metatranscriptomes to depict the metabolic activity of those genome bins. Metatranscriptomic sequencing was done at the Joint Genome Institute, too; Table [8](#page-92-0).1 summarizes these sequencing efforts.

To GET A FIRST IMPRESSION, I aligned all metatranscriptome reads to the metagenome assembly $-$ using Bowtie2 5 – and plot each bins's genomic abundance against its expression. Figure 8.1 ^{[2012](#page-106-1)} illustrates this relationship for the Top 200 genome bins, highlighting the ones we focus on and indicating that these are both, abundant and active (part of Yvonne Stolze's PhD project).⁶ 6 Andreas Schlüter's group,

[et al.,](#page-106-2) [2009](#page-106-2); [Jaenicke et al.,](#page-105-3) [2011](#page-105-3); [Zakrzewski et al.,](#page-113-0) [2012](#page-113-0); [Eikmeyer et al.,](#page-103-3) [2013](#page-103-3)

⁵ [Langmead and Salzberg,](#page-106-1)

CeBiTec, Bielefeld University

Figure 8.1: **Relating the metagenome and metatranscriptome.** Each circle represents one bin, scaled by its

genome size. Distinct abundant taxa from [Stolze et al.,](#page-111-1) [2016](#page-111-1) in color (see Figure [7](#page-84-0).4).

RNA coverage RNA coverage

Table 8.1: **Metatranscriptome sequencing.** 1, 795, 819, 472 reads (269.4 *Gbp*) were sequenced at the JGI.

8.2 Integration of other 'omics data

Due to circumstances beyond our control, we do not yet have single cell sequencing data for biogas-producing community members available. When SAGs are generated eventually, we will (1) assemble them using *e.g.* MECORS or KGREP and thus add dozens of SAG-derived genomes to our catalog; and (2) use SAG reads to validate existing metagenome-derived genomes.⁷ 7 [Hess et al.,](#page-104-2) [2011](#page-104-2)

Integrated metagenome and -proteome analyses will further elucidate the metabolic activity of biogas-producing microbial communities. We have shown that *e. g.* metagenomics complements metaproteomics by significantly improving protein classification rates, but – so far – only scratched the surface.⁸ 8 [Kohrs et al.,](#page-105-4) [2015](#page-105-4); [Ortseifen](#page-109-4)

8.3 A focus on Archaea

The methane metabolism is a key pathway involving methanogenesis performed by methanogenic Archaea, a group for which only a few reference genomes are available.⁹ Browsing our bio- ⁹ [Maus et al.,](#page-107-4) [2012](#page-107-4), [2016](#page-108-0)^c gas genome catalog, I immediately spotted eight near-complete archaeal genome bins. I preliminarily annotated the genome bins with Prokka¹⁰ and BlastKOALA¹¹ to gauge if methane ¹⁰ [Seemann,](#page-110-2) [2014](#page-110-2) metabolism pathways are present (they largely are; Figure [8](#page-94-0).2). ¹¹ [Kanehisa et al.,](#page-105-6) [2016](#page-105-6)b

Genome-scale metabolic reconstruction of the archaeal subcommunity is (part of) Julia Hassa's PhD project.¹² The foun-

¹² Andreas Schlüter's group, dation for her work is my newly established genome catalog of CeBiTec, Bielefeld University the biogas microbiome.

[et al.,](#page-109-4) [2016](#page-109-4)

(a) 42_Euryarchaeota; 95.4%

(b) 185_Euryarchaeota; 90.4%

Figure 8.2: **Methane metabolism pathway analyses for eight archaeal genome bins.** Subcaptions give each bin's ID and estimated genome completeness.

(c) 193_Euryarchaeota; 99.2%

(d) 216_Euryarchaeota; 97.4%

(e) 239_Euryarchaeota; 93.6%

(f) 257_Euryarchaeota; 98.4%

Figure 8.2: **Methane metabolism pathway analyses for eight archaeal genome bins.** Subcaptions give each bin's ID and estimated genome completeness.

(g) 266_Euryarchaeota; 98.3%

(h) 289_Euryarchaeota; 92.2%

Epilogue: The CAMI initiative

Metagenome assembly and successive genome binning is one promising approach to access microbial dark matter genomes.¹ 1 [Turaev and Rattei,](#page-111-0) [2016](#page-111-0) Computational tool development for metagenome assembly and binning is a very active research area and tremendous progress has been achieved during the last years.² However, a systematic $\frac{2 \text{ Marx}}{2 \text{ Marx}}$ benchmarking of tools in metagenomics is lacking. The Critical Assessment of Metagenomic Information initiative will continuously benchmark tools for metagenome assembly, binning, and profiling. Reproducibility is fostered by using bioboxes, *i. e.* standardised containers for interchangeable bioinformatics software.³ In the future, researchers will be able ³ [Belmann et al.,](#page-100-3) [2015](#page-100-3) to select the most suitable tool for their metagenomic analysis task based on always up-to-date CAMI evaluation results. WE STARTED CAMI in 2014 – spearheaded by Alexander Sczyrba, Thomas Rattei, and Alice C. McHardy – and the first results of our evaluations are available at:

<https://data.cami-challenge.org>

THANK YOU.

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