

# Diagonal classes and the Bloch–Kato conjecture

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(Communicated by Guido Kings)

*Dedicated to Christopher Deninger on the occasion of his 60th birthday*

**Abstract.** The aim of this note is twofold. Firstly, we prove an explicit reciprocity law for certain diagonal classes in the étale cohomology of the triple product of a modular curve, stated in [8] and used there as a crucial ingredient in the proof of the main results. Secondly, we apply the aforementioned reciprocity law to address the rank-zero case of the equivariant Bloch–Kato conjecture for the self-dual motive of an elliptic newform of weight  $k \geq 2$ . In the special case  $k = 2$ , our result gives a self-contained and simpler proof of the main result of [15].

## 1. INTRODUCTION

Let  $p \geq 5$  be a rational prime and let  $N \geq 1$  be an integer. Fix algebraic closures  $\bar{\mathbf{Q}}$  and  $\bar{\mathbf{Q}}_p$  of  $\mathbf{Q}$  and  $\mathbf{Q}_p$ , respectively, embeddings  $i_\infty: \bar{\mathbf{Q}} \hookrightarrow \mathbf{C}$  and  $i_p: \bar{\mathbf{Q}} \hookrightarrow \bar{\mathbf{Q}}_p$  and a finite extension  $L$  of  $\mathbf{Q}_p(\mu_N)$ . For each positive integers  $n$  and  $u$ , denote by  $M_u(n, \chi)_L$  the space of complex modular forms of weight  $u$ , level  $\Gamma_1(n)$ , character  $\chi: (\mathbf{Z}/n\mathbf{Z})^* \rightarrow L^*$  and Fourier coefficients in  $\bar{\mathbf{Q}} \cap L$ , and by  $S_u(n, \chi)_L$  the subspace of cuspidal modular forms.

In the rest of the introduction, assume that  $p \nmid N$  and consider three (nonzero) cusp forms

$$f \in S_k(N, \chi_f)_L, \quad g \in S_l(N, \chi_g)_L \quad \text{and} \quad h \in S_m(N, \chi_h)_L$$

of weights  $k \geq 2$ ,  $l \geq 1$  and  $m \geq 1$ , respectively, which are eigenvectors for the Hecke operator  $T_\ell$  for each prime  $\ell$  which does not divide  $N$ , and satisfy the *self-duality condition*

$$(1) \quad \chi_f \cdot \chi_g \cdot \chi_h = 1.$$

Denote by  $\mathbf{D}(f)$  the Deligne  $p$ -adic representation of (the primitive form associated with)  $f$ , and by  $V(f)$  the tensor product of  $\mathbf{D}(f)$  with the  $f$ -isotypic component of  $S_k(N, \chi_f)_L$ . If  $G_{\mathbf{Q}} = \text{Gal}(\bar{\mathbf{Q}}/\mathbf{Q})$ , the  $L[G_{\mathbf{Q}}]$ -module  $V(f)$  is then (non-canonically) isomorphic to the direct sum of a finite number of copies

of  $D(f)$ . If  $\xi$  denotes either  $g$  or  $h$ , define similarly  $V(\xi)$ , after replacing  $D(\xi)$  with the Deligne–Serre representation  $DS(\xi)$  if the weight of  $\xi$  is equal to one. Equation (1) implies that  $k + l + m$  is even and that the  $G_{\mathbf{Q}}$ -representation

$$V(f, g, h) = V(f) \otimes_L V(g) \otimes_L V(h) \otimes_{\mathbf{Z}_p} \mathbf{Z}_p((k + l + m - 2)/2)$$

is Kummer self-dual, viz. it is isomorphic to its  $L$ -linear dual representation twisted by  $\mathbf{Z}_p(1)$ .

**1.1. The geometric and balanced case.** Assume in this section that the triple  $(k, l, m)$  is *geometric* and *balanced*, that is,  $l \geq 2, m \geq 2$  and  $k, l$  and  $m$  are the lengths of the sides of a triangle. In this setting [8] associates to  $(f, g, h)$  a *diagonal class*  $\kappa(f, g, h)$  in the Bloch–Kato Selmer group  $\text{Sel}(\mathbf{Q}, V(f, g, h))$  of the  $G_{\mathbf{Q}}$ -representation  $V(f, g, h)$ . (Its construction is recalled in Section 2.) The first aim of this note is to prove Theorem A below, a generalization of the *explicit reciprocity law* for  $\kappa(f, g, h)$  stated as Proposition 3.5 in [8] and used as a crucial ingredient in the proof of the main results of [8] and [9].

We first introduce the relevant notations. Assume that  $p$  does not divide  $N$ , and denote by  $\xi$  one of  $f, g$  and  $h$ . Let  $\alpha_\xi$  and  $\beta_\xi$  be the roots of the Hecke polynomial  $h_{p,\xi}(X) = X^2 - \lambda_p(\xi) \cdot X + \chi_\xi(p)p^{u-1}$ , where  $T_p\xi = \lambda_p(\xi) \cdot \xi$  and  $u$  is the weight of  $\xi$ . Enlarging  $L$  if necessary, assume it contains  $\mathbf{Q}_p(\alpha_\xi, \beta_\xi, \mu_N)$ . Assume in the rest of the paper that

$$\alpha_\xi \neq \beta_\xi.$$

Assume moreover that  $\text{ord}_p(\alpha_\xi) < k - 1$ . Denote by  $V_{\text{dR}}(f, g, h)$  the filtered  $L$ -module  $D_{\text{dR}}(V(f, g, h))$  associated by Fontaine to  $V(f, g, h)$ . The Faltings comparison isomorphism and (a suitably twisted) Poincaré duality identify the Bloch–Kato  $p$ -adic logarithm of (the restriction at  $p$  of)  $\kappa(f, g, h)$  with a linear functional

$$\log_p(\kappa(f, g, h)): \text{Fil}^0 V_{\text{dR}}(f, g, h) \rightarrow L$$

(cp. Section 3.1.2). The  $L$ -module  $\text{Fil}^0 V_{\text{dR}}(f, g, h)$  has dimension four, and contains a distinguished class

$$\eta_f^\alpha \otimes \omega_g \otimes \omega_h \in \text{Fil}^0 V_{\text{dR}}(f, g, h).$$

Here  $\omega_\xi$  is de Rham class in  $V_{\text{dR}}(\xi) = D_{\text{cris}}(V(\xi))$  corresponding to  $\xi$  under the Faltings comparison isomorphism and  $\eta_f^\alpha$  is a natural element in  $V_{\text{dR}}(f)^{\varphi=\alpha f}$  associated with  $f$ , where  $\varphi$  is the crystalline Frobenius. (We refer to Section 3.1.3 for precise definitions.) The explicit reciprocity law relates the value of  $\log_p(\kappa(f, g, h))$  at  $\eta_f^\alpha \otimes \omega_g \otimes \omega_h$  to a *p-adic period*  $I_p(f, g, h)$  which we now define.

Let  $f^w = w_N f$  in  $M_k(N, \bar{\chi}_f)_L$  be the image of  $f$  under the Atkin–Lehner operator  $w = w_N$ . One has  $T_p f^w = \bar{\chi}(p)\lambda_p(f) \cdot f^w$ , so that  $\bar{\chi}(p) \cdot \alpha_f$  and  $\bar{\chi}(p) \cdot \beta_f$  are the roots of the  $p$ -th Hecke polynomial  $h_{p,f^w}(X)$ . Define

$$(2) \quad f_\alpha^w \in S_k(Np, \bar{\chi}_f)_L$$

to be the  $p$ -stabilizations of  $f^w$  satisfying  $U_p f_\alpha^w = \bar{\chi}_f(p) \alpha_f \cdot f_\alpha^w$ . Regard  $g$  and  $h$  as  $p$ -adic modular forms and let

$$\Xi_k(g, h) = d^{(k-l-m)/2} g^{[p]} \times h,$$

where  $g^{[p]}$  and  $d^{(k-l-m)/2} g^{[p]}$  are defined as follows. If  $g$  has  $q$ -expansion  $\sum_{n \geq 0} a_n(g) \cdot q^n$ , then its  $p$ -depletion  $g^{[p]}$  is the weight- $l$   $p$ -adic modular form with  $q$ -expansion  $\sum_{n \not\equiv p} a_n(g) \cdot q^n$  (cp. Equation (15)). Let  $d = q \frac{d}{dq}$  be Serre’s derivative operator on  $L[[q]]$ , which sends (the  $q$ -expansion of) a  $p$ -adic modular form of weight  $u$  to a  $p$ -adic modular form of weight  $u + 2$ . For each integer  $n$  (not necessarily positive), the sequence of  $p$ -adic modular forms  $d^{n+(p-1)p^m} g^{[p]}$ , then converges, for  $m \rightarrow \infty$ , to a  $p$ -adic modular form  $d^n g^{[p]}$  of weight  $l + 2n$ . It follows that  $\Xi_k(g, h)$  defines a  $p$ -adic modular form of weight  $k$ . As proved in Section 4.7 (see in particular Equation (46)) the form  $\Xi_k(g, h)$  belongs to the space  $M_k^{\text{n-o}}(N, L)$  of nearly-overconvergent forms of weight  $k$  defined over  $L$  (cp. Section 3.3 or [41, 14]). Under the additional assumption  $\text{ord}_p(\alpha_f) < k - 1$ , the work of Coleman defines a natural  $f_\alpha^w$ -isotypic projection

$$e_{f_\alpha^w} : M_k^{\text{n-o}}(N, L) \rightarrow S_k(Np, L)_{f_\alpha^w},$$

where  $S_k(N, L)_{f_\alpha^w}$  is the  $f_\alpha^w$ -isotypic component of  $S_k(Np, \chi_f)_L$  (cp. Section 3.3). In this case define

$$I_p(f, g, h) = \frac{(f_\alpha^w, e_{f_\alpha^w} \cdot \Xi_k(g, h))_{Np}}{(f_\alpha^w, f_\alpha^w)_{Np}},$$

where  $(\zeta, \xi)_M = \int_{Y_1(M)} \zeta(z) \bar{\xi}(z) g^{u-2} dx dy$  is the Petersson scalar product on  $S_u(M, \mathbf{C})$ .<sup>1</sup> It is easily seen that the  $p$ -adic period  $I_p(f, g, h)$  is algebraic and belongs to  $L$ .

**Theorem A.** *Assume that  $p \nmid N$  and that  $\text{ord}_p(\alpha_f) < k - 1$ . Then*

$$\log_p(\kappa(f, g, h))(\eta_f^\alpha \otimes \omega_g \otimes \omega_h)$$

is equal to

$$\frac{(-1)^k N^{c-2} (c-k)! \left(1 - \frac{\beta_f}{\alpha_f}\right) \left(1 - \frac{\beta_f}{p\alpha_f}\right)}{\left(1 - \frac{\beta_f \alpha_g \alpha_h}{p^c}\right) \left(1 - \frac{\beta_f \alpha_g \beta_h}{p^c}\right) \left(1 - \frac{\beta_f \beta_g \alpha_h}{p^c}\right) \left(1 - \frac{\beta_f \beta_g \beta_h}{p^c}\right)} \cdot I_p(f, g, h),$$

where  $c = c(k, l, m)$  denotes the positive integer  $(k + l + m - 2)/2$ .

The proof of Theorem A is given in Section 4. It uses the work of Bannai, Bannai–Kings, Besser, Nekovář, Nizioł [1, 2, 10, 11, 31, 35, 36, 33] in an essential way. See also [5, 4, 14, 6, 7, 26] for related results.

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<sup>1</sup>If  $f_\alpha^w$  is ordinary (i.e.,  $\text{ord}_p(\alpha_f) = 0$ ), there is no need to prove that  $\Xi_k(g, h)$  is nearly-overconvergent in order to define  $e_{f_\alpha^w} \cdot \Xi_k(g, h)$  and  $I_p(f, g, h)$ . In this case Hida [22] defines an ordinary projector  $e_{\text{ord}}$  from the space  $\mathbf{M}_k(N, L)$  of weight- $k$   $p$ -adic modular forms over  $L$  to the space  $M_k^{\text{ord}}(Np, L)$  of classical  $p$ -ordinary modular forms. The composition of  $e_{\text{ord}}$  with the natural projection  $M_k^{\text{ord}}(Np, L) \rightarrow S_k(Np, L)_{f_\alpha^w}$  onto the  $f_\alpha^w$ -isotypic component is an extension of the Coleman morphism  $e_{f_\alpha^w}$  to  $\mathbf{M}_k(N, L)$ .

**1.2. Applications to the Bloch–Kato conjecture.** Throughout this section,  $(f, g, h)$  is a triple of *newforms* of weights  $(k, l, m) = (k, 1, 1)$  and conductors  $(N_f, N_g, N_h)$ . The following assumption is in force.

**Assumption 1.3.**

1. The product of  $\chi_f, \chi_g$  and  $\chi_h$  is the trivial character.
2.  $p$  does not divide  $N_f \cdot N_g \cdot N_h$  and  $(N_f, N_g, N_h) = 1$ .
3. For  $\xi = g, h$  the  $p$ -th Hecke polynomial  $X^2 - a_p(\xi) \cdot X + \chi_\xi(p)$  is separable.
4.  $f$  is  $p$ -ordinary (that is its  $p$ -th Fourier coefficient is a  $p$ -adic unit).

Let

$$\text{Sel}(\mathbf{Q}, V(f, g, h)) \hookrightarrow H^1(\mathbf{Q}, V(f, g, h))$$

be the Bloch–Kato Selmer group of the  $G_{\mathbf{Q}}$ -representation  $V(f, g, h)$  and let

$$H_{\text{str}}^1(\mathbf{Q}, V(f, g, h)) = \ker(\text{res}_p: \text{Sel}(\mathbf{Q}, V(f, g, h)) \rightarrow H^1(\mathbf{Q}_p, V(f, g, h)))$$

be its *strict* Selmer subgroup. Write  $L(f \otimes g \otimes h, s)$  for the complex  $L$ -series of the tensor product of the motives of  $f, g$  and  $h$ . Under Assumptions 1.3.1 and 1.3.2, it admits an analytic continuation and satisfies a functional equation with sign  $+1$  at the central critical point  $s = k/2$ . The following theorem (proved in Section 5) is the main result of this note.

**Theorem B.** *If  $L(f \otimes g \otimes h, s)$  does not vanish at  $s = k/2$ , then the Selmer group  $\text{Sel}(\mathbf{Q}, V(f, g, h))$  is equal to the strict Selmer group  $H_{\text{str}}^1(\mathbf{Q}, V(f, g, h))$ .*

The Bloch–Kato conjecture predicts that the Selmer group  $\text{Sel}(\mathbf{Q}, V(f, g, h))$  is trivial if (and only if) the  $L$ -series  $L(f \otimes g \otimes h, s)$  does not vanish at the central critical point  $s = k/2$ . As explained below, the methods of this paper fall short of proving this conjecture. Nonetheless, the previous result provides strong evidence in support of it.

When  $k = 2$ , Theorem B gives a significantly simpler proof of the main result proved by Darmon and Rotger in [15] (cp. Section 1.3.1 below) and has important applications to the equivariant Birch and Swinnerton-Dyer conjecture. Let  $A$  be an elliptic curve defined over the rationals and let  $L = L_\varrho$  be the splitting field of the tensor product  $\varrho = \varrho_1 \otimes \varrho_2$  of two irreducible, odd Artin representations satisfying  $\det(\varrho_1) = \det(\varrho_2)^{-1}$ . Then Theorem B and the Serre modularity conjecture prove that the non-vanishing of the  $L$ -series  $L(A, \varrho, s)$  at  $s = 1$  implies the triviality of the  $\varrho$ -isotypic component  $A(L)^\varrho = (A(L) \otimes_{\mathbf{Z}} V_\varrho)^{\text{Gal}(L/\mathbf{Q})}$  of the Mordell–Weil group of  $A$  over  $L$ . Indeed,  $L(A, \varrho, s) = L(f \otimes g \otimes h, s)$ , where  $f, g$  and  $h$  are the cusp forms associated with  $A, \varrho_1$  and  $\varrho_2$  by modularity, and a non-torsion element of  $A(L)^\varrho$  gives rise, via the  $p$ -adic Kummer map, to a class in  $\text{Sel}(\mathbf{Q}, V(f, g, h))$  with nontrivial restriction at  $p$ , id est not in  $H_{\text{str}}^1(\mathbf{Q}, V(f, g, h))$ . One can then apply Theorem B with any (carefully chosen) prime  $p$  for which Assumption 1.3 is satisfied.

More generally, let  $f$  be a newform of weight  $k \geq 2$  and let  $\varrho = \varrho_1 \otimes \varrho_2$  be as above. The representation  $V(f)$  can be realized in the middle cohomology  $\mathcal{V}_k = H_{\text{ét}}^{k-1}(\mathcal{E}^{k-2} \otimes_{\mathbf{Q}} \bar{\mathbf{Q}}, \mathbf{Q}_p)$  of the  $i$ -fold fibre product  $\mathcal{E}^i = \mathcal{E}_1(N)^i$  of the universal elliptic curve  $\mathcal{E}_1(N) \rightarrow Y_1(N)$  over the open modular curve of level

$\Gamma_1(N)$  over  $\mathbf{Q}$ . The  $p$ -adic Abel–Jacobi map and the  $f$ -isotypic projection  $\mathcal{V}_k \rightarrow V(f)$  gives a morphism

$$r_p : \mathrm{CH}^{k/2}(\mathcal{E}_L^{k-2})_0 \rightarrow \mathrm{Sel}(L, V_f),$$

where  $\mathcal{E}_L^i = \mathcal{E}^i \otimes_{\mathbf{Q}} L$ , the  $\mathrm{Gal}(L/\mathbf{Q})$ -module  $\mathrm{CH}^i(\cdot)_0$  is the Chow group of homologically trivial codimension  $i$  cycles on  $\cdot$  modulo rational equivalence and  $V_f$  denotes the  $k/2$ -th Tate twist of  $V(f)$ . If (Assumption 1.3 is satisfied and)  $L(f, \varrho, s) = L(f \otimes g \otimes h, s)$  does not vanish at  $s = k/2$ , Theorem B proves that  $r_p$  maps the  $\varrho$ -component  $\mathrm{CH}^{k/2}(\mathcal{E}_L^{k-2})_0^{\varrho} = H^0(\mathrm{Gal}(L/\mathbf{Q}), \mathrm{CH}^{k/2}(\mathcal{E}_L^{k-2})_0 \otimes_{\mathbf{Z}} V_{\varrho})$  to the restricted Selmer group  $H_{\mathrm{str}}^1(\mathbf{Q}, V(f, g, h))$ . In contrast with the weight two case, when  $k > 2$ , this is far from proving the (conjectural) vanishing of the  $f$ -isotypic component of  $\mathrm{CH}^{k/2}(\mathcal{E}_L^{k-2})_0^{\varrho}$ , as the injectivity of the Abel–Jacobi maps is arguably the deepest aspect of the Beilinson–Bloch–Kato conjectures. Despite this, Theorem B still provides strong evidence in support of the Bloch–Kato conjecture for the  $\varrho$ -twist of the self-dual motive associated with  $f$ .

1.3.1. *Outline of the proof and comparison with [15].* The general strategy underlying the proof of Theorem B dates back to Kato’s work on the cyclotomic main conjecture, as revisited and extended in a series of recent works, including [14, 4, 28, 7, 42, 15, 27]. It can be summarized as follows. (We refer the reader to Section 5 for the actual proof of Theorem B.)

For  $\xi = g, h$ , fix a root  $\alpha_{\xi}$  of the Hecke polynomial  $X^2 - a_p(\xi) \cdot X + \chi_{\xi}(p)$  and write  $\xi_{\alpha}(q) = \xi(q) - (\chi_{\xi}(p)/\alpha) \cdot \xi(q^p)$  for the corresponding  $p$ -stabilization of  $\xi$ . According to a result of Wiles, there exist Hida families  $\mathbf{g} = \mathbf{g}_{\alpha}$  and  $\mathbf{h} = \mathbf{h}_{\alpha}$  specializing, respectively, to  $g_{\alpha}$  and  $h_{\alpha}$  in weight one. For each integer  $u$  in a dense subset of a small  $p$ -adic disc  $U$  centered at one, the constructions outlined in the previous section associate to  $f$  and the weight- $u$  specializations  $\mathbf{g}_u$  and  $\mathbf{h}_u$  an algebraic number  $I_p(f, \mathbf{g}_u, \mathbf{h}_u)$ . A method due to Hida (cp. [23]) shows that these algebraic numbers are  $p$ -adically interpolated by an analytic function  $\mathcal{L}_p(f, \mathbf{g}\mathbf{h})$  on  $U$ . Thanks to the proof by Harris–Kudla of a conjecture of Jacquet, the value of  $\mathcal{L}_p(f, \mathbf{g}\mathbf{h})$  at  $u = 1$  is related to the complex special value  $L(f \otimes g \otimes h, k/2)$ . The key technical step in the proof of Theorem B consists in showing that there exists a class  $\kappa(f, \mathbf{g}\mathbf{h})$ , in a suitable big Selmer group with coefficients in the Tate algebra of analytic functions on  $U$ , such that

$$(3) \quad \mathcal{L}_p(f, \mathbf{g}\mathbf{h}) = \mathcal{L}(\mathrm{res}_p(\kappa(f, \mathbf{g}\mathbf{h}))),$$

where  $\mathcal{L}$  is a branch of the appropriate Perrin–Riou big logarithm map. (We refer to Theorem 5.3 for a precise statement of this result.) Once this is proved, the previous discussion relates  $L(f \otimes g \otimes h, k/2)$  to the value at  $u = 1$  of the right-hand side of Equation (3), which in turn is related by results of Colmez–Perrin–Riou to the Bloch–Kato dual exponential of the specialization  $\kappa(f, g_{\alpha}, h_{\alpha})$  of  $\kappa(f, \mathbf{g}\mathbf{h})$  at  $u = 1$ . Assuming that  $L(f \otimes g \otimes h, s)$  does not vanish at  $s = k/2$ , this produces a *ramified class*  $\kappa(f, g_{\alpha}, h_{\alpha})$  in the relaxed-at- $p$  Selmer group of  $V(f, g, h)$  over  $\mathbf{Q}$ . Under Assumption 1.3.3, one actually

produces *four* ramified classes  $\kappa(f, g_i, h_j)$ , one for each choice of the roots  $i$  and  $j$  of the  $p$ -th Hecke polynomials of  $g$  and  $h$ . The  $p$ -adic residues of these classes are easily seen to be linearly independent, hence Theorem B follows from an application of Poitou–Tate duality.

Theorem 5.3 (or better its proof) shows that Equation (3) can be deduced directly from Theorem A and a simple density argument. More precisely, take a sequence  $u_i$  of integers congruent to 1 modulo  $p - 1$ , which converges to infinity in the ordinary topology and to 1 in the  $p$ -adic topology (e.g., take  $u_i = 1 + (p - 1)p^i$ ). We prove that the *existence* of a class  $\kappa(f, \mathbf{gh})$  satisfying Equation (3) is a direct consequence of the explicit reciprocity law at each crystalline weight- $u_i$  specialization  $(f, \mathbf{g}_{u_i}, \mathbf{h}_{u_i})$  of the triple  $(f, \mathbf{g}, \mathbf{h})$ . For this strategy to work, it is crucial to use the good integrality properties enjoyed by the diagonal classes introduced in [8] (cp. Section 2 and the proof of Theorem 5.3). This simple method applies to the study of the analytic rank-zero case of the equivariant Bloch–Kato conjecture in many other interesting settings (e.g., the one considered in [7]).

In the significant special case  $k = 2$ , Theorem B recasts the main result of [15]. The proof of the latter follows a different pattern. More precisely, *loc. cit.* constructs an explicit class  $\kappa(f, \mathbf{gh})$  satisfying the identity (3) by using delicate geometric arguments. For each positive integer  $s$ , a *twisted diagonal cycle* is defined in the Chow group of codimension two cycles in the triple product of the modular curve  $X_1(Np^s)$  of level  $\Gamma_1(Np^s)$  over  $\mathbf{Q}$ . The  $p$ -adic Abel–Jacobi images of these cycles satisfy certain compatibilities under the natural maps from  $X_1(Np^{s+1})$  to  $X_1(Np^s)$ , from which  $\kappa(f, \mathbf{gh})$  arises as the inverse limit of classes in the ordinary parts of the middle étale cohomology with constant coefficients of the cubes of the curves  $X_1(Np^s)$ . Once  $\kappa(f, \mathbf{gh})$  is constructed, reciprocity laws for its specializations at triples of the form  $(f, \mathbf{g}_{2,\chi}, \mathbf{h}_{2,\chi^{-1}})$  are proved, where  $\mathbf{g}_{2,\chi}$  denotes the *non-crystalline* specialization of  $\mathbf{g}$  at an arithmetic point of weight 2 and character  $\chi$  of conductor divisible by  $p$ . This entails working on varieties with *bad* reduction at  $p$ , which makes it harder to obtain the reciprocity laws directly. In this special setting, Equation (3) follows from these reciprocity laws and the properties of the Perrin-Riou logarithm.

## 2. DIAGONAL CLASSES

This section recalls the definition of the diagonal classes introduced in [8], to which we refer for more details.

Let  $N \geq 3$  be a positive integer and let  $Y_1(N)$  be the affine modular curve of level  $\Gamma_1(N)$  over  $\mathbf{Z}[1/N]$ , classifying isomorphism classes of pairs  $(E, P)$ , where  $E$  is an elliptic curve over a  $\mathbf{Z}[1/N]$ -scheme  $S$  and  $P$  is a section in  $E(S)$  of exact order  $N$ . Let  $R$  be a  $\mathbf{Z}[1/N]$ -algebra, let  $Y = Y_1(N)_R$  be the base change of  $Y_1(N)$  to  $R$  and let  $v: E \rightarrow Y$  be the universal elliptic curve over  $Y$ . There is a natural functor  $\cdot_{\text{ét}}$  from the category of  $p$ -adic representations of  $\text{GL}_2(\mathbf{Z}_p)$  to the category of  $p$ -adic étale sheaves on  $Y$ . If  $\text{St}$  denotes the standard representation of  $\text{GL}_2(\mathbf{Z}_p)$ , then  $\mathcal{S} = \text{St}_{\text{ét}}$  is equal to the relative

étale cohomology  $R^1 v_* \mathbf{Z}_p$  of  $E$  over  $Y$ . In particular, one has  $\det_{\acute{e}t} = \mathbf{Z}_p(-1)$  for the determinant  $\det$  of  $\text{St}$  (see [8, Section 3] and the references therein, in particular, [19, Prop. A I.8] for more details). For each nonnegative integer  $u$ , denote by  $S_u = \text{Sym}_{\mathbf{Z}_p}^u(\text{St})$  the symmetric quotient of the  $u$ -fold tensor power of  $\text{St}$  and by  $\mathcal{S}_u = \text{Sym}_{\mathbf{Z}_p}^u \mathcal{S}$  the étale sheaf corresponding to  $S_u$  under  $\cdot_{\acute{e}t}$ . Write  $H_{\acute{e}t}^i(Y, \mathcal{S}_u)$  for the continuous étale cohomology groups (in the sense of Janssen [24]) of  $Y$  with coefficients in  $\mathcal{S}_u$ .

**Notation.** In this rest of this section  $Y = Y_1(N)_{\mathbf{Q}}$  denotes the modular curve over  $\mathbf{Q}$ . We also fix a rational prime  $p > 3$ .

Let  $(k, l, m)$  be a *balanced* triple in  $(\mathbf{Z}_{\geq 2})^3$  such that  $k + l + m$  is *even*. (Balanced means that  $k, l$  and  $m$  are the lengths of the sides of a triangle.) The Clebsch–Gordan decomposition of classical invariant theory gives a canonical generator  $\text{Det}_{\mathbf{r}}$  of  $H^0(\text{GL}_2(\mathbf{Z}_p), S_{\mathbf{r}} \otimes \det^{-r})$ , where  $\mathbf{r} = (r_1, r_2, r_3)$  is equal to  $(k - 2, l - 2, m - 2)$ ,  $r$  is equal to  $(r_1 + r_2 + r_3)/2$  and  $S_{\mathbf{r}}$  is a shorthand for  $S_{r_1} \otimes_{\mathbf{Z}_p} S_{r_2} \otimes_{\mathbf{Z}_p} S_{r_3}$ . After setting  $\mathcal{S}_{\mathbf{r}} = \mathcal{S}_{r_1} \otimes_{\mathbf{Z}_p} \mathcal{S}_{r_2} \otimes_{\mathbf{Z}_p} \mathcal{S}_{r_3}$ , the invariant  $\text{Det}_{\mathbf{r}}$  corresponds (under  $\cdot_{\acute{e}t}$ ) to a global section

$$\text{Det}_{\mathbf{r}}^{\acute{e}t} = \text{Det}_{N, \mathbf{r}}^{\acute{e}t} \in H_{\acute{e}t}^0(Y, \mathcal{S}_{\mathbf{r}}(r)).$$

Let  $d: Y \hookrightarrow Y^3$  be the diagonal embedding and let

$$\mathcal{S}_{[r]} = \mathcal{S}_{r_1} \boxtimes \mathcal{S}_{r_2} \boxtimes \mathcal{S}_{r_3},$$

so that  $d^* \mathcal{S}_{[r]} = \mathcal{S}_{\mathbf{r}}$ . The push-forward of  $\text{Det}_{\mathbf{r}}^{\acute{e}t}$  along  $d$  gives a class in  $H_{\acute{e}t}^4(Y^3, \mathcal{S}_{[r]}(r + 2))$ , and the Hochschild–Serre spectral sequence yields a natural map  $\text{HS}_{\acute{e}t}$  from  $H_{\acute{e}t}^4(Y^3, \mathcal{S}_{[r]}(r + 2))$  to the global Galois cohomology group  $H^1(\mathbf{Q}, \mathbb{W}_{N, \mathbf{r}})$  of the lattice

$$\mathbb{W}_{N, \mathbf{r}} = H_{\acute{e}t}^3(Y_{\mathbf{Q}}^3, \mathcal{S}_{[r]})(r + 2)$$

in the  $p$ -adic representation  $W_{N, \mathbf{r}} = \mathbb{W}_{N, \mathbf{r}} \otimes_{\mathbf{Z}} \mathbf{Q}$ . The class

$$(4) \quad \kappa_{N, \mathbf{r}} = \text{HS}_{\acute{e}t} \circ d_*(\text{Det}_{\mathbf{r}}^{\acute{e}t}) \in H^1(\mathbf{Q}, \mathbb{W}_{N, \mathbf{r}})$$

is called the *diagonal class* of level  $N$  and weights  $(k, l, m)$ . The results of [33] imply that (after inverting  $p$ )  $\kappa_{N, \mathbf{r}}$  belongs to the Bloch–Kato Selmer group  $\text{Sel}(\mathbf{Q}, W_{N, \mathbf{r}})$  of  $W_{N, \mathbf{r}}$  over  $\mathbf{Q}$  (cp. [8] and Section 4.1 below).

Let  $L$  be a finite extension of  $\mathbf{Q}_p$  and consider a triple of modular forms

$$f \in S_k(N, \chi_f)_L, \quad g \in S_l(N, \chi_g)_L \quad \text{and} \quad h \in S_m(N, \chi_h)_L,$$

where  $(k, l, m)$  is a balanced triple with  $k, l, m \geq 2$  and  $k + l + m$  even. Assume that  $f, g$  and  $h$  are (nonzero) eigenforms for the Hecke operator  $T_{\ell}$  with eigenvalues  $\lambda_{\ell}(f), \lambda_{\ell}(g)$  and  $\lambda_{\ell}(h)$ , for each prime  $\ell$  not dividing  $N$ . As in the introduction, assume in addition that they satisfy the self-duality condition Equation (1), namely, that the product of the characters of  $f, g$  and  $h$  is the trivial character modulo  $N$ . Let

$$\text{pr}_{fgh}: W_{N, \mathbf{r}} \otimes_{\mathbf{Q}_p} L \rightarrow V(f, g, h)$$

be the maximal  $L$ -quotient of  $W_{N,r} \otimes_{\mathbf{Q}_p} L$  on which the Hecke operator  $T_\ell \otimes \text{id} \otimes \text{id}$  (resp.,  $\text{id} \otimes T_\ell \otimes \text{id}$ ,  $\text{id} \otimes \text{id} \otimes T_\ell$ ) acts as multiplication by  $\lambda_\ell(f)$  (resp.,  $\lambda_\ell(g)$ ,  $\lambda_\ell(h)$ ) for each prime  $\ell$  not dividing  $N/p^{\text{ord}_p(N)}$ , and  $\langle d_1 \rangle \otimes \langle d_2 \rangle \otimes \langle d_3 \rangle$  acts as multiplication by  $\chi_f(d_1) \cdot \chi_g(d_2) \cdot \chi_h(d_3)$  for each  $d_i$  in  $(\mathbf{Z}/N\mathbf{Z})^*$ . The  $L[G_{\mathbf{Q}}]$ -module  $V(f, g, h)$  is a direct summand of  $W_{N,r} \otimes_{\mathbf{Q}_p} L$ , isomorphic to the direct sum of a finite number of copies of the  $(r + 2)$ -th Tate twist of the tensor product of the  $L$ -adic Deligne representations of  $f, g$  and  $h$ . Define

$$\kappa(f, g, h) = \text{pr}_{fgh*}(\kappa_{N,r}) \in \text{Sel}(\mathbf{Q}, V(f, g, h))$$

to be the image of  $\kappa_{N,r}$  under the map induced in cohomology by  $\text{pr}_{fgh}$ .

### 3. COHOMOLOGY AND MODULAR FORMS

This section briefly recalls the needed facts on the de Rham and rigid cohomology of modular curves over  $\mathbf{Z}_p$ . We refer to [25, 39, 13, 2, 5] for the details.

**Notation.** In this section  $Y = Y_1(N)_{\mathbf{Q}_p}$  and  $X = X_1(N)_{\mathbf{Q}_p}$  denote the open and compact modular curves of level  $\Gamma_1(N)$  over  $\mathbf{Q}_p$ . Let  $C = X - Y$  and let  $u: E \rightarrow Y$  be the universal elliptic curve. Let  $L$  be a finite extension of  $\mathbf{Q}_p(\zeta_N)$ , where  $\zeta_N = e^{2\pi i/N}$ .

**3.1. De Rham cohomology.** Let  $\omega = u_*\Omega_{E/Y}^1$  and  $\mathcal{S}_{\text{dR}} = \mathbf{R}^1u_*\Omega_{E/Y}^\bullet$  denote, respectively, the line bundle of relative differentials and the first relative de Rham cohomology of  $E/Y$ , extended to vector bundles on  $X$  as in [39, Section 2.3]. For  $i \geq 0$ , set  $\mathcal{S}_{\text{dR},i} = \text{Sym}_{\mathcal{O}_X}^i \mathcal{S}_{\text{dR}}$  and  $\omega^i = \omega^{\otimes i}$ ; one has a natural isomorphism between  $\omega^2$  and  $\Omega_X^1(\log C)$ , called the Kodaira–Spencer isomorphism. For  $0 \leq q \leq i$ , denote by  $\text{Fil}^q \mathcal{S}_{\text{dR},i} = \omega^q \otimes_{\mathcal{O}_X} \mathcal{S}_{\text{dR},i-q}$  the  $q$ -th step in the Hodge filtration and by  $\mathcal{S}_{\text{dR},i} = \mathcal{S}_{\text{dR},i}(X)$  the logarithmic de Rham complex of  $X$ :

$$\mathcal{S}_{\text{dR},i} = [\nabla: \mathcal{S}_{\text{dR},i} \rightarrow \mathcal{S}_{\text{dR},i} \otimes_{\mathcal{O}_X} \Omega_X^1(\log C)]$$

(concentrated in degrees zero and one), where  $\nabla$  is the Gauß–Manin connection. For each open subscheme  $U$  of  $X$ , write  $\mathcal{S}_{\text{dR},i}(U)$  for the restriction of  $\mathcal{S}_{\text{dR},i}$  to  $U$ . Write

$$(5) \quad H_{\text{dR}}(Y, \mathcal{S}_i) = H\Gamma(Y, \mathcal{S}_{\text{dR},i}(Y))$$

for the de Rham cohomology of  $Y$  with values in  $(\mathcal{S}_{\text{dR},i}(Y), \text{Fil}^\bullet, \nabla)$ . According to [16, Cor. II.3.15], this is naturally isomorphic to the de Rham cohomology  $H_{\text{dR}}(X, \mathcal{S}_i) = H_{\text{dR}}(X, \mathcal{S}_{\text{dR},i})$ , viz. to the cohomology groups of the derived complex  $\mathbf{R}\Gamma(X, \mathcal{S}_{\text{dR},i})$ . The Hodge filtration and the Kodaira–Spencer isomorphism then give a natural isomorphism

$$M_{i+2}(N, L) = \text{Fil}^1 H_{\text{dR}}^1(Y, \mathcal{S}_i)_L,$$

where  $M_i(N, L) = \Gamma(X, \omega^i)_L$  is the space of weight- $i$  modular forms of level  $\Gamma_1(N)$  defined over  $L$ .



3.1.1. *Comparison with étale cohomology.* Let  $k \geq 2$  and let  $f$  in  $S_k(N, \chi_f)_L$  be an eigenvector for the Hecke operator  $T_\ell$ , with eigenvalue  $\lambda_\ell(f)$ , for each prime  $\ell$  not dividing  $N_o = N/p^{\text{ord}_p(N)}$ . Denote by

$$V_{\text{dR}}(f) = H^0(\mathbf{Q}_p, B_{\text{dR}} \otimes_{\mathbf{Q}_p} V(f))$$

the de Rham module of the restriction to  $G_{\mathbf{Q}_p}$  of the  $G_{\mathbf{Q}}$ -representation  $V(f)$  defined in the introduction. The comparison isomorphism between étale and de Rham cohomology proved by Faltings–Tsuji [18, 40] yields a natural isomorphism of filtered modules

$$(6) \quad V_{\text{dR}}(f) \cong H_{\text{dR}}^1(Y, \mathcal{S}_{k-2})_f,$$

where the right-hand side is the direct summand of  $H_{\text{dR}}^1(Y, \mathcal{S}_{k-2})_L$  on which the Hecke operator  $T_\ell$  (resp., diamond operator  $\langle d \rangle$ ) acts as multiplication by  $\lambda_\ell(f)$  (resp.,  $\chi_f(d)$ ) for each prime  $\ell$  not dividing  $N_o$  (resp., each unit  $d$  in  $\mathbf{Z}/N\mathbf{Z}$ ). We identify  $V_{\text{dR}}(f)$  with a direct summand of  $H_{\text{dR}}^1(Y, \mathcal{S}_{k-2})_L$  under the previous isomorphism, so that the  $f$ -isotypic component  $S_k(N, L)_f$  of  $M_k(N, L)$  becomes identified with  $\text{Fil}^1 V_{\text{dR}}(f)$ . Define

$$\omega_f \in \text{Fil}^1 V_{\text{dR}}(f)$$

to be the element corresponding to the modular form  $f$  in  $M_k(N, L)_f$  under these identifications.

If  $(f, g, h)$  is a triple of modular forms as in Section 2, the isomorphism (6) and the Künneth decomposition for de Rham cohomology induce a natural isomorphism of filtered modules (considered as an equality)

$$(7) \quad V_{\text{dR}}(f, g, h) \cong H_{\text{dR}}^3(Y^3, \mathcal{S}_{[r]})_{fgh} \otimes_{\mathbf{Q}_p} \mathbf{Q}_p[r + 2].$$

Here  $V_{\text{dR}}(f, g, h) = H^0(\mathbf{Q}_p, V(f, g, h) \otimes_{\mathbf{Q}_p} B_{\text{dR}})$  and  $\mathbf{Q}_p[n] = D_{\text{dR}}(\mathbf{Q}_p(n))$  for each  $n$  in  $\mathbf{Z}$ . The filtered vector bundle with connection  $\mathcal{S}_{[r], \text{dR}}$  on  $Y^3$  is defined by  $\mathcal{S}_{\text{dR}, k-2} \boxtimes \mathcal{S}_{\text{dR}, l-2} \boxtimes \mathcal{S}_{\text{dR}, m-2}$ . Finally, the  $fgh$ -isotypic component  $H_{\text{dR}}^3(Y^3, \mathcal{S}_{[r]})_{fgh}$  of  $H_{\text{dR}}^3(Y^3, \mathcal{S}_{[r]})_L = H_{\text{dR}}^3(Y^3, \mathcal{S}_{\text{dR}, [r]})_L$  is defined as in Section 2.

3.1.2. *Duality.* Let

$$(\cdot, \cdot): \mathcal{S}_{\text{dR}} \otimes_{\mathcal{O}_Y} \mathcal{S}_{\text{dR}} \rightarrow \mathcal{O}_Y(-1)$$

be the perfect relative Poincaré duality pairing, arising from the dualities  $(\cdot, \cdot)_x: H_{\text{dR}}^1(E_x/k) \otimes_{\mathbf{Q}_p} H_{\text{dR}}^1(E_x/k) \rightarrow k$  on the fibres at  $x: \text{Spec}(k) \rightarrow Y$  (with  $k$  a field extension of  $\mathbf{Q}_p$ ). Here  $\mathcal{O}_Y(n)$  (for  $n$  in  $\mathbf{Z}$ ) denotes the sheaf  $\mathcal{O}_Y$ , equipped with the trivial connection and with the filtration  $\text{Fil}^\bullet \mathcal{O}_Y(n)$ , given by  $\text{Fil}^q \mathbf{Q}_p(n) = \mathcal{O}_Y$  for  $q \leq -n$  and  $\text{Fil}^q \mathcal{O}_Y(n) = 0$  for  $q \geq 1 - n$ . For each  $i \geq 0$ , the pairing  $(\cdot, \cdot)$  induces a duality

$$(8) \quad (\cdot, \cdot)_i: \mathcal{S}_{\text{dR}, i} \otimes_{\mathcal{O}_Y} \mathcal{S}_{\text{dR}, i} \rightarrow \mathcal{O}_Y(-i),$$

whose restriction to the fibre at  $x: \text{Spec}(k) \rightarrow Y$  is given by

$$(9) \quad (\boldsymbol{\alpha}, \boldsymbol{\beta})_{i, x} = \frac{1}{i!} \sum_{\sigma \in S_i} (\alpha_1, \beta_{\sigma(1)})_x \cdots (\alpha_i, \beta_{\sigma(i)})_x$$

for each  $\alpha = \alpha_1 \cdots \alpha_i$  and  $\beta = \beta_1 \cdots \beta_i$  in  $\text{Symm}_k^i H_{\text{dR}}^1(E_x/k)$ . This in turn induces a perfect duality

$$(10) \quad (\cdot, \cdot)_i: H_{\text{dR}}^1(Y, \mathcal{S}_i) \otimes_{\mathbf{Q}_p} H_{\text{dR},c}^1(Y, \mathcal{S}_i) \rightarrow H_{\text{dR},c}^2(Y, \mathcal{O}_Y(-i)) \cong \mathbf{Q}_p[-i-1].$$

Let  $(f, g, h)$  be as in Section 2 and (as in the introduction) set  $\xi^w = w_N \xi$ , for  $\xi$  equal to  $f, g$  and  $h$ . As  $\xi^w$  is cuspidal, the morphism  $H_{\text{dR},c}^1 \rightarrow H_{\text{dR}}^1$  maps the  $\xi^w$ -isotypic component of  $H_{\text{dR},c}^1(Y, \mathcal{S}_i)_L$  isomorphically onto  $V_{\text{dR}}(\xi^w)$  (cp. Equation (6)), and  $(\cdot, \cdot)_{u+2}$  induces a perfect pairing

$$(11) \quad (\cdot, \cdot)_\xi: V_{\text{dR}}(\xi) \otimes_L V_{\text{dR}}(\xi^w) \rightarrow L[1-u],$$

where  $u$  is the weight of  $\xi$ . With a slight abuse of notation, write again

$$w_N: H_{\text{dR},\cdot}^1(Y, \mathcal{S}_i) \rightarrow H_{\text{dR},\cdot}^1(Y, \mathcal{S}_i)$$

for the geometric Atkin–Lehner isomorphism (cp. [8, Section 2.3.1]), which induces an isomorphism  $w_N: V_{\text{dR}}(\xi) \rightarrow V_{\text{dR}}(\xi^w)$ . The composition of  $(\cdot, \cdot)_\xi$  and  $\text{id} \otimes w_N$  then yields a perfect duality

$$\langle \cdot, \cdot \rangle_\xi: V_{\text{dR}}(\xi) \otimes_L V_{\text{dR}}(\xi) \rightarrow L[1-u],$$

under which  $S_u(N, L)_\xi = \text{Fil}^1 V_{\text{dR}}(\xi)$  is the orthogonal complement of itself.

Define the perfect duality

$$(12) \quad \langle \cdot, \cdot \rangle_{fgh}: V_{\text{dR}}(f, g, h) \otimes_L V_{\text{dR}}(f, g, h) \rightarrow L[1]$$

to be the tensor product of the pairings  $\langle \cdot, \cdot \rangle_\xi$  for  $\xi = f, g, h$ . As easily checked, the Bloch–Kato exponential gives an isomorphism  $\exp_p$  between the tangent space  $\text{tg}_{\text{dR}}(f, g, h)$  of  $V_{\text{dR}}(f, g, h)$  and the finite part  $H_{\text{fin}}^1(\mathbf{Q}_p, V^*(f, g, h))$  of the local cohomology group  $H^1(\mathbf{Q}_p, V(f, g, h))$ . After identifying  $\text{tg}_{\text{dR}}(f, g, h)$  with the  $L$ -linear dual of  $\text{Fil}^0 V_{\text{dR}}(f, g, h)$  via the perfect duality  $\langle \cdot, \cdot \rangle_{fgh}$ , the inverse of  $\exp_p$  then gives rise to an  $L$ -linear isomorphism

$$\log_p: H_{\text{fin}}^1(\mathbf{Q}_p, V(f, g, h)) \cong \text{Hom}_L(\text{Fil}^0 V_{\text{dR}}(f, g, h), L).$$

In particular, the image under  $\log_p$  of (the restriction at  $p$  of) the Selmer class  $\kappa(f, g, h)$  yields a functional

$$(13) \quad \log_p(\kappa(f, g, h)): \text{Fil}^0 V_{\text{dR}}(f, g, h) \rightarrow L.$$

3.1.3. *The class  $\eta_f^\alpha$ .* Assume in this section  $\text{ord}_p(N) \leq 1$  and let  $f$  be as in Section 3.1.1. Assume in addition that  $p$  does not divide the conductor of the character of  $f$ . Then  $V(f)$  is a semi-stable representation of  $G_{\mathbf{Q}_p}$ . As a consequence,  $V_{\text{dR}}(f) = H^0(\mathbf{Q}_p, B_{\text{st}} \otimes_{\mathbf{Q}_p} V(f))$  is equipped with a semi-stable Frobenius endomorphism  $\varphi$ . As in the introduction, let  $\alpha_f$  and  $\beta_f$  be the roots of the  $p$ -th Hecke polynomial  $h_{f,p}(X) = X^2 - \lambda_p(f) \cdot X + \chi_f(p)p^{k-1}$  and assume that  $L$  contains  $\mathbf{Q}_p(\alpha_f, \beta_f)$ . Under the assumptions of Section 1.1 the characteristic polynomial of  $\varphi$  is a power of  $h_{f,p}(X)$  and  $V_{\text{dR}}(f)$  is the direct sum of  $\text{Fil}^1 V_{\text{dR}}(f) = S_k(N, L)_f$  and the  $\varphi$ -eigenspace  $V_{\text{dR}}(f)^{\varphi=\alpha_f}$  (cp. [38]). It follows from this and Section 3.1.2 that there exists a unique de Rham class

$$\eta_f^\alpha \in V_{\text{dR}}(f)^{\varphi=\alpha_f}$$

such that, for each  $\xi$  in  $S_k(N, L)_f$ , one has (cp. the introduction)

$$\langle \eta_f^\alpha, \omega_\xi \rangle_f = \frac{(\xi^w, f^w)_N}{(f^w, f^w)_N}.$$

If  $(f, g, h)$  is a triple of modular forms as in Section 2, the Künneth product of  $\eta_f^\alpha, \omega_g$  and  $\omega_h$  defines a class

$$(14) \quad \eta_f^\alpha \otimes \omega_g \otimes \omega_h \in \text{Fil}^0 V_{\text{dR}}(f, g, h).$$

(To show that the class  $\eta_f^\alpha \otimes \omega_g \otimes \omega_h$  indeed belongs to the zeroth step of the Hodge filtration of  $V_{\text{dR}}(f, g, h)$ , note that  $\text{Fil}^1 V_{\text{dR}}(\xi) = \text{Fil}^{u-1} V_{\text{dR}}(\xi)$  for a modular form  $\xi$  of weight  $u$  and recall that the triple  $(k, l, m)$  is *balanced*.)

**3.2.  $p$ -adic modular forms.** Let  $X^{\text{rig}}$  and  $Y^{\text{rig}}$  be the rigid analytic varieties over  $\mathbf{Q}_p$  associated with  $X$  and  $Y$ , respectively, and let  $X^{\text{ord}}$  and  $Y^{\text{ord}}$  be their ordinary loci. Let  $L$  be a finite extension of  $\mathbf{Q}_p(\mu_N)$  and fix a generator  $\zeta_N$  of  $\mu_N(L)$ . For each integer  $s$ , denote by

$$\mathbf{M}_s(N, L) = \Gamma(X^{\text{ord}}, \omega^s)_L$$

the space of Katz  $p$ -adic modular forms of weight  $s$  and level  $\Gamma_1(N)$  defined over  $L$ . Let  $R_N = \mathcal{O}_L[[q]] \otimes_{\mathbf{Z}} \mathbf{Q}$  and let  $\text{Tate}(q) = (\mathbf{G}_m/q^{\mathbf{Z}}, \zeta_N)$  be the Tate generalized elliptic curve with  $\Gamma_1(N)$ -level structure over  $R_N$ . As  $\text{Tate}(q)$  is defined by a global affine equation  $y^2 + xy = x^3 + b(q) \cdot x + c(q)$  over  $\mathbf{Z}[[q]]$ , the invertible sheaf  $\omega|_{\text{Tate}(q)} = i^* \omega$  has a canonical generator  $\omega_{\text{can}} = dx/(2y + x)$  (cp. [25, Section A.1.2]). Given a section  $\omega$  of  $\omega^s$  over a neighborhood of  $\text{Tate}(q)$ , its restriction  $\omega|_{\text{Tate}(q)}$  to  $\text{Tate}(q)$  is then of the form  $f_\omega \cdot \omega_{\text{can}}^s$  for a unique element  $f_\omega$  in  $R_N$ , called the  $q$ -expansion of  $\omega$ . The  $q$ -expansion map indeed gives an *injective* morphism

$$\mathbf{M}_s(N, L) \hookrightarrow R_N,$$

which we consider as an inclusion. If  $f$  in  $R_N$  is the  $q$ -expansion of a  $p$ -adic modular form of weight  $s$ , we write  $\omega_f$  for the corresponding section of  $\omega^{s-2}$  over the ordinary locus (so that  $\omega = \omega_{f_\omega}$ ).

The module  $\mathbf{M}_s(N, L)$  is equipped with the action of the Hecke operator  $U = U_p$  and of the Verschiebung  $V$ , defined on  $q$ -expansions by

$$U\left(\sum_{n \geq 0} a_n \cdot q^n\right) = \sum_{n \geq 0} a_{np} \cdot q^n \quad \text{and} \quad V\left(\sum_{n \geq 0} a_n \cdot q^n\right) = \sum_{n \geq 0} a_n \cdot q^{np},$$

respectively. In particular, for each  $p$ -adic modular form  $f = \sum_{n \geq 0} a_n(f) \cdot q^n$  in  $\mathbf{M}_s(N, L)$ , its  $p$ -depletion

$$(15) \quad f^{[p]} = (1 - VU)f = \sum_{p \nmid n} a_n(f) \cdot q^n$$

is again a  $p$ -adic modular form of weight  $s$ . The derivation  $d = q \frac{d}{dq}$  on  $R_N$  restricts to *Serre’s operator*

$$d: \mathbf{M}_s(N, L) \rightarrow \mathbf{M}_{s+2}(N, L).$$

In addition,  $\mathbf{M}_s(N, L)$  is equipped with the Hecke operators  $T_\ell$  and  $\langle d \rangle$  for primes  $\ell$  not dividing  $Np$  and units  $d$  in  $\mathbf{Z}/N\mathbf{Z}$ , which restrict to the usual Hecke operators on the space  $M_s(N, L)$  of *classical* modular forms if  $s \geq 0$ .

**3.3. Rigid cohomology.** In this section  $p$  does not divide  $N$ , so that  $Y_1(N)_{\mathbf{Z}_p}$  and  $X_1(N)_{\mathbf{Z}_p}$  are smooth models of  $Y$  and  $X$ , respectively, over  $\mathbf{Z}_p$ .

Denote by  $\iota: Y^{\text{rig}} \hookrightarrow X^{\text{rig}}$  and by  $j: X^{\text{ord}} \hookrightarrow X^{\text{rig}}$  the natural inclusions and by  $\iota^\dagger$  and  $j^\dagger$  the corresponding Berthelot functors from the category of abelian sheaves on  $X^{\text{rig}}$  to itself [3]. If  $\mathcal{F}$  is a coherent sheaf on  $X$  and  $\kappa = \iota, j$ , we write  $\kappa^\dagger \mathcal{F}$  for the image of the analytic sheaf  $\mathcal{F}|_{X^{\text{rig}}}$  under  $\kappa^\dagger$ . Set

$$\mathcal{S}_{\text{rig},i}^\cdot = \iota^\dagger \mathcal{S}_{\text{dR},i}^\cdot$$

and denote again by  $\text{Fil}^\cdot$  and  $\nabla$  the filtration and connection on

$$\mathcal{S}_{\text{rig},i} = \mathcal{S}_{\text{rig},i}^0$$

induced by the corresponding structures on  $\mathcal{S}_{\text{dR},i}^\cdot$ . The abelian sheaf  $\mathcal{S}_{\text{rig},i}$  is also equipped with a Frobenius endomorphism  $\varphi$ , such that  $(\mathcal{S}_{\text{rig},i}, \text{Fil}^\cdot, \nabla, \varphi)$  is an overconvergent filtered  $\varphi$ -isocrystal on the special fibre  $Y_{\mathbf{F}_p}$  of  $Y_1(N)_{\mathbf{Z}_p}$  (cp. [2, Appendix A]). According to a result of Dwork [25, Thm. A2.3.6], the restriction of  $\mathcal{S}_{\text{rig}} = \mathcal{S}_{\text{rig},1}$  to the ordinary locus admits a unique  $\varphi$ -equivariant splitting  $\text{spl}^{\text{ur}}: \mathcal{S}_{\text{rig}}|_{Y^{\text{ord}}} \rightarrow \text{Fil}^1 \mathcal{S}_{\text{rig}}|_{Y^{\text{ord}}} = \omega|_{Y^{\text{ord}}}$  of the Hodge filtration such that the Frobenius  $\varphi$  acts invertibly on its kernel. Write again

$$\text{spl}^{\text{ur}}: \mathcal{S}_{\text{rig},i}|_{Y^{\text{ord}}} \rightarrow \omega^i|_{Y^{\text{ord}}}$$

for the map induced on the  $i$ -th symmetric powers, called the *unit root splitting*.

The cohomology of  $\mathbf{R}\Gamma(X^{\text{rig}}, \iota^\dagger \mathcal{S}_{\text{dR},i}^\cdot)$  and  $\mathbf{R}\Gamma(X^{\text{rig}}, j^\dagger \mathcal{S}_{\text{dR},i}^\cdot)$  compute the rigid cohomology groups

$$H_{\text{rig}}(Y_{\mathbf{F}_p}, \mathcal{S}_i) = H_{\text{rig}}(Y_{\mathbf{F}_p}/\mathbf{Q}_p, \iota^\dagger \mathcal{S}_{\text{dR},i}^\cdot)$$

and

$$H_{\text{rig}}(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_i) = H_{\text{rig}}(Y_{\mathbf{F}_p}^{\text{ord}}/\mathbf{Q}_p, j^\dagger \mathcal{S}_{\text{dR},i}^\cdot),$$

respectively, where  $Y_{\mathbf{F}_p} = Y_1(N)_{\mathbf{F}_p}$  and  $Y_{\mathbf{F}_p}^{\text{ord}}$  is the complement in  $Y_{\mathbf{F}_p}$  of the finitely many  $\mathbf{F}_{p^2}$ -rational supersingular points. Theorem 5.4 of [13] proves that the Hodge filtration induces an isomorphism

$$(16) \quad [\cdot]_{i+2}: \frac{M_{i+2}^\dagger(N, L)}{d^{i+1} M_{-i}^\dagger(N, L)} \cong H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_i)_L.$$

Here  $M_s^\dagger(N, L) = \Gamma(X^{\text{rig}}, j^\dagger \omega^s)_L$  is the space of overconvergent modular forms of level weight  $s \in \mathbf{Z}$  and level  $\Gamma_1(N)$  defined over  $L$ , and  $d^{i+2}$  is the  $(i+2)$ -th iterate of the Serre derivative operator  $d$  (denote by  $\theta$  in *loc. cit.*). The  $L$ -submodule  $M_s^\dagger(N, L)$  of  $\mathbf{M}_s(N, L)$  is invariant under the action of the Hecke operators  $U, T_\ell$  for primes  $\ell$  not dividing  $Np$ ,  $\langle d \rangle$  for units  $d$  in  $(\mathbf{Z}/N\mathbf{Z})^*$ , and under the action of the Verschiebung  $V$ . *Loc. cit.* proves that the isomorphism

$[\cdot]_{i+2}$  intertwines the action of the rigid Frobenius  $\varphi$  on  $H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_i)$  with that of  $p^{i+1}\langle p \rangle V$  on overconvergent modular forms, that is,

$$(17) \quad \varphi \circ [\cdot]_{i+2} = [\cdot]_{i+2} \circ p^{i+1}\langle p \rangle V.$$

(Note that our model  $Y_1(N)$  of the modular curve of level  $\Gamma_1(N)$ , in which Tate( $q$ ) is *not* defined over  $\mathbf{Q}$ , differs from the one used in [13]. This explains the appearance of the diamond operator  $\langle p \rangle$  in the previous equation.)

The restriction of the unit-root splitting to the global sections of  $\mathcal{S}_{\text{rig},i}$  and the Kodaira–Spencer isomorphism induce an injective map

$$\text{spl}^{ur} : \Gamma(X^{\text{rig}}, \mathcal{S}_{\text{rig},i}^1)_L \hookrightarrow \mathbf{M}_{i+2}(N, L).$$

Its image  $M_{i+2}^{\text{n-o}}(N, L)$  is called the space of nearly-overconvergent modular forms. The composition of the inverse of  $[\cdot]_{i+2}$  with the natural map

$$\Gamma(X^{\text{rig}}, \mathcal{S}_{\text{rig},i}^1) \rightarrow H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_i)$$

then yields a morphism

$$(18) \quad e^\dagger : M_{i+2}^{\text{n-o}}(N, L) \rightarrow M_{i+2}^\dagger(N, L)/d^{i+1}M_{-i}^\dagger(N, L).$$

Let  $f$  in  $S_k(N, \chi_f)_L$  be a cusp form of weight  $k \geq 2$ , level  $\Gamma_1(N)$ , character  $\chi_f : (\mathbf{Z}/N\mathbf{Z})^* \rightarrow L^*$  and Fourier coefficients in  $L$ . Assume that  $f$  is an eigenvector of the Hecke operator  $T_\ell$ , with eigenvalue  $a_\ell(f)$ , for each prime  $\ell$  not dividing  $N$ . Let  $\alpha_f, \beta_f$  and  $f_\alpha^w \in S_k(Np, \bar{\chi}_f)_L$  be as in Section 1.1 (see in particular Equation (2)). Define

$$(19) \quad H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{k-2})_L \twoheadrightarrow H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{k-2})_{f_\alpha^w}$$

to be the maximal quotient on which

$$\varphi = \bar{\chi}_f(p) \cdot \beta_f, \quad T_\ell = \bar{\chi}_f(p) \cdot a_\ell(f) \quad \text{and} \quad \langle d \rangle = \bar{\chi}_f(d)$$

for each prime  $\ell$  not dividing  $Np$  and each unit  $d$  in  $\mathbf{Z}/N\mathbf{Z}$ . According to Equation (17), the inclusion  $S_k(Np, L) \hookrightarrow M_k^\dagger(N, L)$  and the Coleman isomorphism  $[\cdot]_k$  defined in Equation (16) induce a morphism

$$[\cdot]_f^\alpha : S_k(Np, L)_{f_\alpha^w} \rightarrow H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{k-2})_{f_\alpha^w},$$

where  $S_k(Np, L)_{f_\alpha^w}$  is the  $f_\alpha^w$ -isotypic quotient of  $S_k(Np, L)$ .

If one further assumes that  $f_\alpha^w$  has *small slope*, viz.  $\text{ord}_p(\alpha_f) < k - 1$ , then  $[\cdot]_f^\alpha$  is an *isomorphism*:

$$(20) \quad [\cdot]_f^\alpha : S_k(Np, L)_{f_\alpha^w} \cong H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{k-2})_{f_\alpha^w}.$$

Indeed, [13, Thm. 6.1 and Lem. 6.3] proves that the natural map

$$S_k(Np, L) \rightarrow M_k^\dagger(N, L)/d^{k-1}M_{2-k}^\dagger(M, L)$$

induces an isomorphism on the  $f_\alpha^w$ -isotypic quotients, provided that  $f_\alpha^w$  has small slope. In this case, define

$$(21) \quad e_{f_\alpha^w} : M_k^{\text{n-o}}(N, L) \rightarrow S_k(Np, L)_{f_\alpha^w}$$

to be the composition of the morphism  $e^\dagger$  defined in Equation (18) with the projection to the  $f_\alpha^w$ -isotypic quotient. The morphism  $e_{f_\alpha^w}$  is the (Coleman)  $f_\alpha^w$ -isotypic projector mentioned in Section 1.1.

**3.4. Explicit formulas (cp. [2, Section 4]).** Let  $\tilde{\mathcal{Y}} \rightarrow Y^{\text{ord}}$  be the affine formal scheme over  $\mathbf{Z}_p$  which classifies trivialized elliptic curves with  $\Gamma_1(N)$ -level structure defined over  $p$ -rings. (We recall that a *trivialization* on an elliptic  $E \rightarrow S$  is an  $S$ -isomorphism between the formal multiplicative group  $\hat{\mathbf{G}}_m$  over  $S$  and the formal completion  $\hat{E}$  of  $E$  along the zero section.) Let  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$  be the coordinate ring of  $\tilde{\mathcal{Y}}$ , the space of *Katz generalized  $p$ -adic modular forms* of level  $\Gamma_1(N)$ . Write  $\tilde{R}_N$  for the  $p$ -adic completion of  $\mathbf{Z}_p[\zeta_N]((q))$ . Evaluation at the Tate curve  $\text{Tate}(q)$  over  $\tilde{R}_N$  gives a  $q$ -expansion map

$$\tilde{\mathbf{M}}(N, \mathbf{Z}_p) \hookrightarrow \tilde{R}_N,$$

which we consider as an inclusion. Then  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$  is invariant under the action on  $\tilde{R}_N$  of the Hecke operator  $U$ , of the Verschiebung  $V$  and of Serre’s derivative operator  $d = q \frac{d}{dq}$ .

Denote by  $\tilde{\omega}$  and  $\tilde{\mathcal{S}}_{\text{rig},i}$  the restrictions of  $\omega$  and  $\mathcal{S}_{\text{rig},i}$ , respectively, to  $\tilde{\mathcal{Y}}$ . These are free  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$ -modules. More precisely, let  $\mathcal{E} \rightarrow \tilde{\mathcal{Y}}$  be the universal elliptic curve with trivialization  $\psi: \hat{\mathbf{G}}_m \cong \hat{\mathcal{E}}$ . The line bundle  $\tilde{\omega}$  is then generated by the global section  $\tilde{\omega}_{\text{can}}$  satisfying  $\psi^* \tilde{\omega}_{\text{can}} = dT/(1+T)$  (with  $\mathbf{G}_m = \text{Spec}(\mathbf{Z}[T, T^{-1}])$ ), which specializes to  $\omega_{\text{can}}$  on  $\text{Tate}(q)$ . Let  $\tilde{\Omega}$  be the module of Kähler differentials of the  $\mathbf{Z}_p$ -algebra  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$  and denote by  $\tilde{\delta}_{\text{can}}$  the differential in  $\tilde{\Omega}$  corresponding to  $\tilde{\omega}_{\text{can}}^2$  under the Kodaira–Spencer isomorphism. The derivation of  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$  corresponding to  $\tilde{\delta}_{\text{can}}$  is Serre’s operator  $d$ . After setting  $\tilde{\eta}_{\text{can}} = \nabla_d(\tilde{\omega}_{\text{can}})$ , one has

$$\tilde{\mathcal{S}}_{\text{rig}} = \tilde{\mathbf{M}}(N, \mathbf{Z}_p) \cdot \tilde{\omega}_{\text{can}} \oplus \tilde{\mathbf{M}}(N, \mathbf{Z}_p) \cdot \tilde{\eta}_{\text{can}},$$

and the action of the Gauß–Manin connection  $\nabla$  is described by the formula

$$(22) \quad \nabla(f \cdot \tilde{\omega}_{\text{can}} + g \cdot \tilde{\eta}_{\text{can}}) = (df \cdot \tilde{\omega}_{\text{can}} + (f + dg) \cdot \tilde{\eta}_{\text{can}}) \otimes \tilde{\delta}_{\text{can}}.$$

The action of the Frobenius  $\varphi$  can also be described explicitly (paying some attention to the fact that  $\text{Tate}(q)$  is not defined over  $\mathbf{Q}$ ). In particular,

$$(23) \quad \varphi \begin{pmatrix} \tilde{\omega}_{\text{can}} \\ \tilde{\eta}_{\text{can}} \end{pmatrix} = \begin{pmatrix} p & \\ & 1 \end{pmatrix} \begin{pmatrix} \tilde{\omega}_{\text{can}} \\ \tilde{\eta}_{\text{can}} \end{pmatrix}.$$

Let  $i$  be an integer, let  $L$  be a finite extension of  $\mathbf{Q}_p[\zeta_N]$  and write  $\tilde{\mathbf{M}}(N, L)$  for the base change of  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$  to  $L$ . Identify  $\Gamma(\tilde{\mathcal{Y}}, \tilde{\omega}^i)$  with  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$  via  $\tilde{\omega}_{\text{can}}$ , and  $\omega^2$  with  $\Omega_X^1(\log C)$  under the Kodaira–Spencer isomorphism. Then restriction to  $\tilde{\mathcal{Y}}$  gives an injective map  $\mathbf{M}_i(N, L) \hookrightarrow \tilde{\mathbf{M}}(N, L)$  compatible with the  $q$ -expansion maps, which we consider as an inclusion. As the pullback of  $\tilde{\delta}_{\text{can}}$  to the Tate curve is equal to  $dq/q$ , one deduces that the restriction to  $\tilde{\mathcal{Y}}$  of a classical modular form  $f$  in  $M_{i+2}(N, L)$  is given by  $f(q) \cdot \tilde{\omega}_{\text{can}}^i \otimes \tilde{\delta}_{\text{can}}$ .

4. PROOF OF THEOREM A

This section proves Theorem A stated in Section 1.1.

**Notation.** Let the notations and assumptions be as in *loc. cit.* In particular,  $N \geq 1$  is a positive integer not divisible by  $p$  and  $(k, l, m)$  is a geometric balanced triple in  $(\mathbf{Z}_{\geq 2})^3$ . Throughout this section one writes  $Y = Y_1(N)_{\mathbf{Z}_p}$  and  $X = X_1(N)_{\mathbf{Z}_p}$  for the open and closed modular curves over  $\mathbf{Z}_p$ , respectively. Moreover, (as in Section 2),  $\mathbf{r} = (r_1, r_2, r_3)$  equals  $(k - 2, l - 2, m - 2)$  and  $r$  denotes the nonnegative integer  $(r_1 + r_2 + r_3)/2$ . To ease notation, in this section only we write  $\mathcal{S} = \mathcal{S}_{\text{ét.}}$  for the  $\mathbf{Q}_p$ -linear extensions of the  $p$ -adic étale sheaves denoted by the same symbol in Section 2. (For example, the étale cohomology groups  $H_{\text{ét}}^i(Y, \mathcal{S}_i) = H_{\text{ét}}^i(Y, \mathcal{S}_{\text{ét.}, i})$  are  $\mathbf{Q}_p$ -vector spaces).

**4.1. Syntomic and finite polynomial cohomology.** This section recalls the needed facts on rigid syntomic and finite polynomial cohomology. We use [12] and [2, Appendix A] as main references.

For each smooth pair  $\mathcal{U} = (U, \bar{U})$  over  $\mathbf{Z}_p$ , write  $S(\mathcal{U})$  for the category of *admissible* filtered overconvergent  $\varphi$ -isocrystals on  $\mathcal{U}$  defined in [2, Def. A.2]. We also call an element of  $S(\mathcal{U})$  a *syntomic sheaf* on  $\mathcal{U}$ . For each syntomic sheaf  $\mathcal{F}$  on  $\mathcal{U}$  and each polynomial  $P(t)$  in  $1 + t \cdot L[t]$ , denote by  $H_P^i(\mathcal{U}, \mathcal{F})$  the Besser rigid finite-polynomial cohomology groups of  $\mathcal{U}$  with values in  $\mathcal{F}$ . In the special case  $P(t) = 1 - t$ , these are the *syntomic* cohomology groups defined in *loc. cit.* and denoted by  $H_{\text{syn}}^i(\mathcal{U}, \mathcal{F})$ . The definition given there readily generalizes to the more general setting considered here (cp. [10, 12]). Moreover, one can define finite polynomial cohomology groups with compact support  $H_{P,c}^i(\mathcal{U}, \mathcal{F})$  as in [12].

**4.1.1. Syntomic sheaves I: the case  $\mathcal{U} = \mathbf{Z}_p$ .** Write  $\mathbf{Z}_p$  for the smooth pair  $(\text{Spec}(\mathbf{Z}_p), \text{Spec}(\mathbf{Z}_p))$  and let  $P(t) = \prod_i (1 - \alpha_i t)$  and  $Q(t) = \prod_j (1 - \beta_j t)$  be polynomials in  $1 + t \cdot L[t]$  (with  $\alpha_i, \beta_j$  in  $\bar{\mathbf{Q}}_p$ ).

The category  $S(\mathbf{Z}_p)$  of syntomic sheaves on  $\mathbf{Z}_p$  is simply the one of filtered  $\varphi$ -modules over  $\mathbf{Q}_p$ . For  $F$  in  $S(\mathbf{Z}_p)$  consider on  $F_L = F \otimes_{\mathbf{Q}_p} L$  the (induced filtration and the)  $L$ -linear endomorphism  $\varphi = \varphi \otimes_{\mathbf{Q}_p} L$ . Then the finite polynomial cohomology group  $H_P^i(\mathbf{Z}_p, F)$  vanishes when  $i \neq 0, 1$  and one has

$$H_P^0(\mathbf{Z}_p, F) = F_L^{P(\varphi)=0} \cap \text{Fil}^0 F_L \quad \text{and} \quad H_P^1(\mathbf{Z}_p, F) = F_L / P(\varphi) \cdot \text{Fil}^0 F_L$$

(where  $F_L^{P(\varphi)=0}$  denotes the kernel of  $P(\varphi)$ .) Let  $P \star Q(t) = \prod_{i,j} (1 - \alpha_i \beta_j t)$  and let  $a(x, y)$  and  $b(x, y)$  be any pair of two-variable polynomials satisfying

$$P \star Q(xy) = a(x, y) \cdot P(x) + b(x, y) \cdot Q(y).$$

Let  $F, G$  and  $H$  be filtered  $\varphi$ -modules, let  $\gamma: F \otimes_{\mathbf{Q}_p} G \rightarrow H$  be a morphism of filtered  $\varphi$ -modules and let  $i, j$  be nonnegative integers which sum to one. Define the cup-product pairing

$$\cup_{\text{fp}}: H_P^i(\mathbf{Z}_p, F) \otimes_L H_Q^j(\mathbf{Z}_p, G) \rightarrow H_{P \star Q}^1(\mathbf{Z}_p, H)$$

by  $cl(f) \cup_{\text{fp}} g = cl(\gamma(a(x, y) \cdot f \otimes g))$  when  $i = 1$ , respectively,  $f \cup_{\text{fp}} cl(g) = cl(\gamma(b(x, y) \cdot f \otimes g))$  when  $j = 1$ , for each  $f$  in  $F$  and  $g$  in  $G$ , where the variables  $x$  and  $y$  act on  $F \otimes_{\mathbf{Q}_p} G$  as  $\varphi \otimes \text{id}$  and  $\text{id} \otimes \varphi$ , respectively.

4.1.2. *Syntomic sheaves II: the general case.* Let  $\mathcal{U}$  be a smooth pair over  $\mathbf{Z}_p$ . A syntomic sheaf  $\mathcal{F}$  in  $S(\mathcal{U})$  admits (and is characterized by) de Rham and rigid realisations  $\mathcal{F}_{\text{dR}}$  and  $\mathcal{F}_{\text{rig}}$ . The de Rham realization  $\mathcal{F}_{\text{dR}}$  is a filtered coherent  $\mathcal{O}_{\bar{U}_{\mathbf{Q}_p}}$ -module equipped with an integrable connection with logarithmic singularities along  $\bar{U} - U$ . Write  $H_{\text{dR}}(U_{\mathbf{Q}_p}, \mathcal{F})$  for the de Rham cohomology groups  $H_{\text{dR}}(U_{\mathbf{Q}_p}, \mathcal{F}_{\text{dR}}) \cong H_{\text{dR}}(\bar{U}_{\mathbf{Q}_p}, \mathcal{F}_{\text{dR}})$  (cp. [2, Def. A.2] and the discussion surrounding Equation (5)). The rigid realization  $\mathcal{F}_{\text{rig}}$  is an overconvergent filtered  $\varphi$ -isocrystal (in the sense of Berthelot) on the special fibre  $U_{\mathbf{F}_p}$  of  $U$ . (If  $j: \mathcal{U}_{\mathbf{Q}_p} \hookrightarrow \bar{\mathcal{U}}_{\mathbf{Q}_p}$  is the natural inclusion of the Raynaud generic fibre of the  $p$ -adic completion of  $U$  into that of  $\bar{U}$ , then  $\mathcal{F}_{\text{rig}} = j^\dagger(\mathcal{F}_{\text{dR}}|_{U^{\text{rig}}})$  as a coherent  $j^\dagger \mathcal{O}_{U^{\text{rig}}}$ -module with connection, where  $U^{\text{rig}}$  is the rigid space over  $\mathbf{Q}_p$  associated with  $U_{\mathbf{Q}_p}$ . See *loc. cit.* for more details.) Denote by  $H_{\text{rig}}(U_{\mathbf{F}_p}, \mathcal{F})$  the Berthelot rigid cohomology groups  $H_{\text{rig}}(U_{\mathbf{F}_p}/\mathbf{Q}_p, \mathcal{F}_{\text{rig}})$ . By the admissibility of  $\mathcal{F}$ , the natural map from de Rham to rigid cohomology gives an isomorphism

$$H_{\text{dR}}(U_{\mathbf{Q}_p}, \mathcal{F}) \cong H_{\text{rig}}(U_{\mathbf{F}_p}, \mathcal{F}),$$

which allows us to view  $H_{\text{rig}}(U_{\mathbf{F}_p}, \mathcal{F})$  as a filtered  $\varphi$ -module, i.e., an element of  $S(\mathbf{Z}_p)$ . Indeed,  $H_{\text{rig}}^i(U_{\mathbf{F}_p}, \mathcal{F})$  is the  $i$ -th direct image  $R^i \pi_* \mathcal{F}$  of  $\mathcal{F}$  under the structural morphism  $\pi: \mathcal{U} \rightarrow \mathbf{Z}_p$ , and the Leray spectral sequence

$${}^{\text{syn}} E_2^{p,q} = H_{\text{syn}}^p(\mathbf{Z}_p, H_{\text{rig}}^q(U_{\mathbf{F}_p}, \mathcal{F})) \implies H_{\text{syn}}^i(\mathcal{U}, \mathcal{F})$$

degenerates into the short exact sequences

$$(24) \quad 0 \rightarrow H_{\text{syn}}^1(\mathbf{Z}_p, H_{\text{rig}}^{i-1}(U_{\mathbf{F}_p}, \mathcal{F})) \xrightarrow{\mathbf{i}_{\text{syn}}} H_{\text{syn}}^i(\mathcal{U}, \mathcal{F}) \xrightarrow{\mathbf{p}_{\text{syn}}} H_{\text{syn}}^0(\mathbf{Z}_p, H_{\text{rig}}^i(U_{\mathbf{F}_p}, \mathcal{F})) \rightarrow 0.$$

More generally, for any polynomial  $P(t)$  in  $1 + t \cdot L[t]$  one has short exact sequences

$$(25) \quad 0 \rightarrow H_P^1(\mathbf{Z}_p, H_{\text{rig}, \cdot}^{i-1}(U_{\mathbf{F}_p}, \mathcal{F})_L) \xrightarrow{\mathbf{i}_P} H_{P, \cdot}^i(\mathcal{U}, \mathcal{F}) \xrightarrow{\mathbf{p}_P} H_P^0(\mathbf{Z}_p, H_{\text{rig}, \cdot}^i(U_{\mathbf{F}_p}, \mathcal{F})_L) \rightarrow 0,$$

(where  $\mathbf{\Delta}_L = \mathbf{\Delta} \otimes_{\mathbf{Q}_p} L$  and “ $\cdot, \cdot$ ” =  $\emptyset$ , “ $c$ ”). If  $P$  is clear from the context, we simply write  $\mathbf{i} = \mathbf{i}_P$  and  $\mathbf{p} = \mathbf{p}_P$ .

Let  $P$  and  $Q$  be polynomials in  $1 + t \cdot L[t]$  and let  $\mathcal{F}, \mathcal{G}$  and  $\mathcal{H}$  be syntomic sheaves on  $\mathcal{U}$ . To a morphism  $\mathcal{F} \otimes \mathcal{G} \rightarrow \mathcal{H}$  in  $S(\mathcal{U})$ , one associates as in [12, Section 2] finite polynomial cup product pairings

$$\cup_{\text{fp}}: H_P^i(\mathcal{U}, \mathcal{F}) \otimes_L H_{Q,c}^j(\mathcal{U}, \mathcal{G}) \rightarrow H_{P \star Q,c}^{i+j}(\mathcal{U}, \mathcal{F} \otimes \mathcal{G}).$$



These are compatible with the Leray spectral sequence, viz. the diagram

$$(26) \quad \begin{array}{ccccc} H_P^1(\mathbf{Z}_p, H_{\text{rig}}^{i-1}(U_{\mathbf{F}_p}, \mathcal{F})) \otimes_L H_Q^0(\mathbf{Z}_p, H_{\text{rig},c}^j(U_{\mathbf{F}_p}, \mathcal{G})) & \xrightarrow{\cup_{\text{fp}}} & H_{P \star Q}^1(\mathbf{Z}_p, H_{\text{rig},c}^{i+j-1}(U_{\mathbf{F}_p}, \mathcal{H})) \\ \mathbf{i}_P \downarrow & & \downarrow \mathbf{i}_{P \star Q} \\ H_P^i(\mathcal{U}, \mathcal{F}) \otimes_L H_{Q,c}^j(\mathcal{U}, \mathcal{G}) & \xrightarrow{\cup_{\text{fp}}} & H_{P \star Q,c}^{i+j}(\mathcal{U}, \mathcal{H}) \\ \mathbf{P}P \downarrow & & \uparrow \mathbf{i}_{P \star Q} \\ H_P^0(\mathbf{Z}_p, H_{\text{rig}}^i(U_{\mathbf{F}_p}, \mathcal{F})) \otimes_L H_Q^1(\mathbf{Z}_p, H_{\text{rig},c}^{j-1}(U_{\mathbf{F}_p}, \mathcal{G})) & \xrightarrow{\cup_{\text{fp}}} & H_{P \star Q}^1(\mathbf{Z}_p, H_{\text{rig},c}^{i+j-1}(U_{\mathbf{F}_p}, \mathcal{H})) \end{array}$$

commutes, where the top and bottom cup-products  $\cup_{\text{fp}}$  are the ones associated in Section 4.1.1 with

$$\cup_{\text{rig}} : H_{\text{rig}}^{i-1}(U_{\mathbf{F}_p}, \mathcal{F}) \otimes_{\mathbf{Q}_p} H_{\text{rig},c}^j(U_{\mathbf{F}_p}, \mathcal{G}) \rightarrow H_{\text{rig},c}^{i+j-1}(U_{\mathbf{F}_p}, \mathcal{H}).$$

For each integer  $n$ , denote by  $\mathbf{Q}_p(n)$  the  $n$ -th Tate object in  $S(\mathcal{U})$ . The de Rham realization of  $\mathbf{Q}_p(n)$  is the free rank-one  $\mathcal{O}_{\bar{U}}$ -module  $\mathcal{O}_{\bar{U}} \cdot t_n$ , with trivial connection and decreasing filtration given by  $\text{Fil}^{1-n} \mathbf{Q}_p(n) = 0$  and  $\text{Fil}^{-n} \mathbf{Q}_p(n) = \mathbf{Q}_p(n)$ , and the Frobenius on  $\mathbf{Q}_p(n)_{\text{rig}}$  is defined by  $\varphi(t_n) = p^{-n} \cdot t_n$ . (When  $\mathcal{U} = \mathbf{Z}_p$  the filtered  $\varphi$ -module  $\mathbf{Q}_p(1)$  is then equal to  $D_{\text{dR}}(\mathbf{Q}_p(1))$ .) If  $U$  is geometrically connected of relative dimension  $d$  over  $\mathbf{Z}_p$ , the trace  $\text{tr}_{\text{rig}}$  in rigid cohomology gives an isomorphism between  $H_{\text{rig},c}^{2d}(U_{\mathbf{F}_p}, \mathbf{Q}_p(d+1))$  and  $\mathbf{Q}_p(1)$  and  $\mathbf{i}_P$  is an isomorphism between  $H_{\text{rig},c}^{2d}(U_{\mathbf{F}_p}, \mathbf{Q}_p(d+1))_L$  and  $H_{P,c}^{2d+1}(\mathcal{U}, \mathbf{Q}_p(d+1))$ . Assuming that  $P(t)$  does not vanish at  $t = p^{-1}$ , define the (normalized) trace isomorphism

$$\text{tr}_P = P(p^{-1})^{-1} \cdot \text{tr}_{\text{rig}} \circ \mathbf{i}_P^{-1} : H_{P,c}^{2d+1}(\mathcal{U}, \mathbf{Q}_p(d+1)) \cong L(1).$$

Given a morphism  $\mathcal{F} \otimes_{\mathbf{Q}_p} \mathcal{G} \rightarrow \mathbf{Q}_p(d+1)$  in  $S(\mathcal{U})$  and polynomials  $P$  and  $Q$  in  $1 + t \cdot L[t]$  such that  $P \star Q(t)$  does not vanish at  $t = p^{-1}$ , the composition of  $\cup_{\text{fp}}$  and  $\text{tr}_{P \star Q}$  then yields cup-product pairings

$$\langle \cdot, \cdot \rangle_{\mathcal{U}} : H_P^i(\mathcal{U}, \mathcal{F}) \otimes_L H_{Q,c}^{2d+1-i}(\mathcal{U}, \mathcal{G}) \rightarrow L(1).$$

4.1.3. *Syntomic sheaves III: modular curves.* We are mainly interested in the smooth pairs

$$\mathcal{Y} = (Y, X) \quad \text{and} \quad \mathcal{Y}^{\text{ord}} = (Y^{\text{ord}}, X),$$

where  $Y^{\text{ord}} = Y_1(N)_{\mathbf{Z}_p}^{\text{ord}}$  is the open subscheme of  $Y$  on which the Hasse invariant  $E_{p-1}$  is invertible. For  $i \geq 0$ , the sheaves  $\mathcal{S}_{\text{dR},i}$  and  $\mathcal{S}_{\text{rig},i}$  arise as the de Rham and rigid realisations of a syntomic sheaf  $\mathcal{S}_{\text{syn},i}$  on  $\mathcal{Y}$  (cp. [2]). More precisely, let  $\mathcal{E}^i$  denote the smooth pair  $(\mathcal{E}^i, \bar{\mathcal{E}}^i)$  over  $\mathbf{Z}_p$ , where  $\mathcal{E}^i$  is the  $i$ -fold fibre product of the universal elliptic curve  $\mathcal{E} \rightarrow Y$  and  $\bar{\mathcal{E}}^i$  is the corresponding Kuga–Sato variety (viz. Deligne’s canonical desingularization of the  $i$ -fold fibre product of the universal generalized elliptic curve  $\bar{\mathcal{E}} \rightarrow X$ ). Then

$$\mathcal{S}_{\text{syn},i} = R^1(\mathcal{E}^i \rightarrow \mathcal{Y})_* \mathbf{Q}_p$$

is the first higher direct image of the trivial syntomic sheaf on  $\mathcal{E}^i$  under the smooth proper morphism  $\mathcal{E}^i \rightarrow \mathcal{Y}$  attached to the structural map  $\bar{\mathcal{E}}^i \rightarrow X$ . We denote by the same symbol  $\mathcal{S}_{\text{syn},i}$  its restriction to  $\mathcal{Y}^{\text{ord}}$ .

Define the syntomic sheaves  $\mathcal{S}_{\text{syn}, \mathbf{r}}$  and  $\mathcal{S}_{\text{syn}, [r]}$  on  $\mathcal{Y}$  and  $\mathcal{Y}^3 = (Y^3, X^3)$ , respectively, as in Section 2. Set  $\mathcal{E}^{\mathbf{r}} = \mathcal{E}^{r_1} \times_{\mathbf{Z}_p} \mathcal{E}^{r_2} \times_{\mathbf{Z}_p} \mathcal{E}^{r_3}$ . The Leray spectral sequences associated with  $\mathcal{E}^{2r} \rightarrow \mathcal{Y}$  and  $\mathcal{E}^{\mathbf{r}} \rightarrow \mathcal{Y}^3$  induce, respectively, natural isomorphisms (“Lieberman’s trick”, cp. the proof of [17, Lem. 5.3])

$$(27) \quad H_{\text{syn}}^i(\mathcal{Y}, \mathcal{S}_{\mathbf{r}}(j)) = H_{\text{syn}}^{i+2r}(\mathcal{E}^{2r}, \mathbf{Q}_p(j))(\varepsilon_{\mathbf{r}})$$

and

$$H_{\text{syn}}^i(\mathcal{Y}^3, \mathcal{S}_{[r]}(j)) = H_{\text{syn}}^{i+2r}(\mathcal{E}^{\mathbf{r}}, \mathbf{Q}_p(j))(\varepsilon_{\mathbf{r}}),$$

where  $\cdot(\varepsilon_{\mathbf{r}})$  are defined as follows. Let  $S_i$  denote the symmetric group on  $i$  letters. The semi-direct product  $\mathfrak{S}_i = S_i \rtimes \mu_2^i$  acts naturally as a group of automorphisms of  $\mathcal{E}^i$  (the nontrivial element of the  $i$ -th factor of  $\mu_2$  acting as multiplication by  $-1$  on the  $i$ -th factor  $\mathcal{E}$  of  $\mathcal{E}^i$ ). As a consequence, the subgroup  $\mathfrak{S}_{\mathbf{r}} = \mathfrak{S}_{r_1} \times \mathfrak{S}_{r_2} \times \mathfrak{S}_{r_3}$  of  $\mathfrak{S}_{2r}$  acts by automorphisms on both  $\mathcal{E}^{2r}$  and  $\mathcal{E}^{\mathbf{r}}$ . For any  $\mathbf{Q}[\mathfrak{S}_{\mathbf{r}}]$ -module  $\cdot$ , one defines  $\cdot(\varepsilon_{\mathbf{r}})$  to be the submodule of elements of  $\cdot$  on which  $\mathfrak{S}_{\mathbf{r}}$  acts via the character  $\varepsilon_{\mathbf{r}} = \varepsilon_{r_1} \times \varepsilon_{r_2} \times \varepsilon_{r_3}$ , where  $\varepsilon_i: \mathfrak{S}_i \rightarrow \mu_2$  maps  $\sigma \rtimes (s_1, \dots, s_i)$  to  $\text{sign}(\sigma) \cdot s_1 \cdots s_i$ . Similarly, in  $p$ -adic étale cohomology there are natural isomorphisms

$$(28) \quad H_{\text{ét}}^i(Y_{\mathbf{Q}_p}, \mathcal{S}_{\mathbf{r}}(j)) = H_{\text{ét}}^{i+2r}(\mathcal{E}_{\mathbf{Q}_p}^{2r}, \mathbf{Q}_p(j))(\varepsilon_{\mathbf{r}})$$

and

$$H_{\text{ét}}^i(Y_{\mathbf{Q}_p}, \mathcal{S}_{[r]}(j)) = H_{\text{ét}}^{i+2r}(\mathcal{E}_{\mathbf{Q}_p}^{\mathbf{r}}, \mathbf{Q}_p(j))(\varepsilon_{\mathbf{r}}).$$

One has analogues of the isomorphisms (27) and (28) after replacing  $(\mathcal{Y}, \mathcal{E}^{\cdot})$  with  $(\mathcal{X}, \bar{\mathcal{E}}^{\cdot})$ , where  $\mathcal{X}$  and  $\bar{\mathcal{E}}$  denote the proper smooth pairs  $(X, X)$  and  $(\bar{E}, \bar{E})$  over  $\mathbf{Z}_p$ , respectively.

The Hecke correspondences on  $X$  and  $\bar{E}$  equip the syntomic and finite polynomial cohomology groups which appear in this section with the action of Hecke operators away from  $Np$ , which make the exact sequences (24)–(25) and the isomorphisms (27) Hecke equivariant.

4.1.4. *Comparison with étale cohomology.* Let  $\mathcal{U} = (U, \bar{U})$  be a smooth pair over  $\mathbf{Z}_p$ . The work of Nekovář and Nizol [35, 36, 31, 33] gives *comparison morphisms*

$$\varrho_{\text{syn}}: H_{\text{syn}}^i(\mathcal{U}, \mathbf{Q}_p(n)) \rightarrow H_{\text{ét}}^i(U_{\mathbf{Q}_p}, \mathbf{Q}_p(n)),$$

satisfying the following properties. (See [11, Section 9] and the references quoted there for more details):

- The maps  $\varrho_{\text{syn}}$  are compatible with pullbacks and proper pushforwards.
- If  $U$  is proper over  $\mathbf{Z}_p$ , then the following diagram commutes.

$$(29) \quad \begin{array}{ccc} & H_{\text{syn}}^1(\mathbf{Z}_p, H_{\text{rig}}^{i-1}(U_{\mathbf{F}_p}, \mathbf{Q}_p(n))) & \xrightarrow{i_{\text{syn}}} F^1 H_{\text{syn}}^i(\mathcal{U}, \mathbf{Q}_p(n)) \\ \swarrow^{1-\varphi} & & \downarrow \varrho_{\text{syn}} \\ \text{tg}(H_{\text{dR}}^{i-1}(U_{\mathbf{Q}_p}, \mathbf{Q}_p(n))) & & F^1 H_{\text{ét}}^i(U_{\mathbf{Q}_p}, \mathbf{Q}_p(n)) \\ \searrow^{\text{exp}_p} & H^1(\mathbf{Q}_p, H_{\text{ét}}^{i-1}(U_{\mathbf{Q}_p}, \mathbf{Q}_p(n))) & \xleftarrow{\text{HS}_{\text{ét}}} \end{array}$$

Here  $F^1 H_{\text{ét}}^i(U_{\mathbf{Q}_p}, \cdot)$  is the kernel of  $H_{\text{ét}}^i(U_{\mathbf{Q}_p}, \cdot) \rightarrow H_{\text{ét}}^i(U_{\overline{\mathbf{Q}}_p}, \cdot)$  and  $F^1 H_{\text{syn}}^i(\mathcal{U}, \cdot)$  is the kernel of  $\mathbf{p}_{\text{syn}}$  (that is the image of  $\mathbf{i}_{\text{syn}}$ , cp. Equation (24)). Moreover,  $\exp_p$  denotes the composition

$$\text{tg}(H_{\text{dR}}^{i-1}(U_{\mathbf{Q}_p}, \cdot)) \rightarrow D_{\text{dR}}(H_{\text{ét}}^{i-1}(U_{\mathbf{Q}_p}, \cdot))/\text{Fil}^0 \rightarrow H^1(\mathbf{Q}_p, H_{\text{ét}}^{i-1}(U_{\overline{\mathbf{Q}}_p}, \cdot))$$

of Faltings’ comparison isomorphism and the Bloch–Kato exponential.

In light of Equations (27)–(28) and the first property above, the maps  $\varrho_{\text{syn}}$  for  $\mathcal{U} = \mathcal{E}^r$  and  $\mathcal{U} = \mathcal{E}^r$  induce, respectively, Hecke equivariant comparison morphisms (denoted again by the same symbol)

$$(30) \quad \varrho_{\text{syn}} : H_{\text{syn}}^i(\mathcal{Y}, \mathcal{S}_r) \rightarrow H_{\text{ét}}^i(Y_{\mathbf{Q}_p}, \mathcal{S}_r)$$

and

$$\varrho_{\text{syn}} : H_{\text{syn}}^i(\mathcal{Y}^3, \mathcal{S}_{[r]}) \rightarrow H_{\text{ét}}^i(Y_{\mathbf{Q}_p}^3, \mathcal{S}_{[r]}),$$

which are compatible with the pullback  $d^*$  and pushforward  $d_*$  along the diagonal  $d : \mathcal{Y} \rightarrow \mathcal{Y}^3$ . (There are similar comparison morphisms for  $\mathcal{X}$  and  $\mathcal{X}^3$  in place of  $\mathcal{Y}$  and  $\mathcal{Y}^3$ , induced, respectively, by the maps  $\varrho_{\text{syn}}$  for  $\mathcal{U} = \mathcal{E}^r$  and  $\mathcal{U} = \mathcal{E}^r$ , cp. Section 4.1.3.) In particular,

$$\varrho_{\text{syn}} : H_{\text{syn}}^0(\mathcal{Y}, \mathcal{S}_r(r)) \rightarrow H_{\text{ét}}^0(Y_{\mathbf{Q}_p}, \mathcal{S}_r(r))$$

is an isomorphism, given by the composition of the canonical isomorphisms

$$\begin{aligned} H_{\text{syn}}^0(\mathcal{Y}, \mathcal{S}_r(r)) &= \text{Fil}^0 H_{\text{rig}}^0(Y_{\mathbf{F}_p}, \mathcal{S}_r(r))^{\varphi=1} \\ &= \text{Fil}^0 D_{\text{cris}}(H_{\text{ét}}^0(Y_{\overline{\mathbf{Q}}_p}, \mathcal{S}_r(r)))^{\varphi=1} \\ &= H^0(\mathbf{Q}_p, H_{\text{ét}}^0(Y_{\overline{\mathbf{Q}}_p}, \mathcal{S}_r(r))) \\ &= H_{\text{ét}}^0(Y_{\mathbf{Q}_p}, \mathcal{S}_r(r)), \end{aligned}$$

where the first equality arises from  $\mathbf{p}_{\text{syn}}$ , the second is the comparison isomorphism, the third follows from the well-known equality  $\text{Fil}^0 B_{\text{cris}} \cap B_{\text{cris}}^{\varphi=1} = \mathbf{Q}_p$  and the fourth is defined by the inverse of the base change along the morphism  $\text{Spec}(\overline{\mathbf{Q}}_p) \rightarrow \text{Spec}(\mathbf{Q}_p)$  (i.e., by the Hochschild–Serre spectral sequence). Let

$$(31) \quad \text{Det}_r^{\text{syn}} \in H_{\text{syn}}^0(\mathcal{Y}, \mathcal{S}_r(r)) \quad \text{and} \quad \text{Det}_r^{\text{rig}} \in \text{Fil}^0 H_{\text{rig}}^0(\mathcal{Y}, \mathcal{S}_r(r))^{\varphi=1}$$

be defined by the identities  $\varrho_{\text{syn}}(\text{Det}_r^{\text{syn}}) = \text{Det}_r^{\text{ét}}$  and  $\mathbf{p}_{\text{syn}}(\text{Det}_r^{\text{syn}}) = \text{Det}_r^{\text{rig}}$ , respectively. (Here we write again  $\text{Det}_r^{\text{ét}}$  in  $H_{\text{ét}}^0(Y_{\mathbf{Q}_p}, \mathcal{S}_r(r))$  for the  $\mathbf{Q}_p$ -base change of the Clebsch–Gordan invariant  $\text{Det}_r^{\text{ét}}$  in  $H_{\text{ét}}^0(Y_1(N)_{\mathbf{Q}}, \mathcal{S}_r(r))$ .)

**4.2. The syntomic Abel–Jacobi map.** Because  $Y_{\mathbf{Q}_p}^3$  is a smooth affine threefold, the de Rham cohomology group  $H_{\text{dR}}^4(Y_{\mathbf{Q}_p}^3, \mathcal{S}_{[r]}(r+2))$  vanishes. As a consequence the inverse of  $\mathbf{i}_{\text{syn}}$  gives an isomorphism

$$\text{HS}_{\text{syn}} : H_{\text{syn}}^4(\mathcal{Y}^3, \mathcal{S}_{[r]}(r+2)) \cong H_{\text{syn}}^1(\mathbf{Z}_p, H_{\text{rig}}^3(Y_{\mathbf{F}_p}^3, \mathcal{S}_{[r]}(r+2))_L).$$

After setting  $V_{\text{dR}}^*(f, g, h) = V_{\text{dR}}(f^w, g^w, h^w)$ , composing  $\text{HS}_{\text{syn}}$  with the map induced by the natural projection

$$\text{pr}_{f^w g^w h^w} : H_{\text{rig}}^3(Y_{\mathbf{F}_p}^3, \mathcal{S}_{[r]}(r+2))_L \rightarrow V_{\text{dR}}^*(f, g, h)$$

(arising from the comparison isomorphism between rigid and de Rham cohomology) gives a surjective map

$$H^4_{\text{syn}}(\mathcal{Y}^3, \mathcal{S}_{[r]}(r+2)) \rightarrow H^1_{\text{syn}}(\mathbf{Z}_p, V_{\text{dR}}^*(f, g, h)) = \frac{V_{\text{dR}}^*(f, g, h)}{(1-\varphi) \cdot \text{Fil}^0 V_{\text{dR}}^*(f, g, h)},$$

which we denote by  $\text{HS}_{\text{syn}}^{fgh}$ . As  $p \nmid N$ , the Ramanujan–Pettersson conjecture implies that  $1 - \varphi$  is an automorphism of  $V_{\text{dR}}^*(f, g, h)$ . Denote by  $\text{tg}_{\text{dR}}^*(f, g, h)$  the tangent space of  $V_{\text{dR}}^*(f, g, h)$  and define the *symtomic Abel–Jacobi map*

$$\text{AJ}_{\text{syn}}^{fgh} : H^4_{\text{syn}}(\mathcal{Y}^3, \mathcal{S}_{[r]}(r+2)) \rightarrow \text{tg}_{\text{dR}}^*(f, g, h)$$

to be the composition of  $\text{HS}_{\text{syn}}^{fgh}$  with the inverse of  $1 - \varphi$ . Then the following diagram commutes:

$$(32) \quad \begin{array}{ccc} H^4_{\text{syn}}(\mathcal{Y}^3, \mathcal{S}_{[r]}(r+2)) & \xrightarrow{\text{AJ}_{\text{syn}}^{fgh}} & \text{tg}_{\text{dR}}^*(f, g, h) \\ \varrho_{\text{syn}} \downarrow & & \downarrow \text{exp}_p \\ H^4_{\text{ét}}(Y_{\mathbf{Q}_p}^3, \mathcal{S}_{[r]}(r+2)) & \xrightarrow{\text{AJ}_{\text{ét}}^{fgh}} & H^1(\mathbf{Q}_p, V^*(f, g, h)), \end{array}$$

where  $\text{AJ}_{\text{ét}}^{fgh} = \text{pr}_{f^w, g^w, h^w} \circ \text{HS}_{\text{ét}}$  (cp. Section 2),  $V^*(f, g, h) = V(f^w, g^w, h^w)$  and  $\text{exp}_p$  is the composition of the Faltings comparison isomorphism

$$\text{tg}_{\text{dR}}^*(f, g, h) \cong D_{\text{dR}}(V^*(f, g, h))/\text{Fil}^0$$

with the Bloch–Kato exponential. This is a consequence of Equation (29) for  $i = 4$  and  $\mathcal{U} = \bar{\mathcal{E}}^r$  (so that  $U = \bar{\mathcal{E}}^r$  is smooth and proper over  $\mathbf{Z}_p$ ). Indeed, by construction, the map  $\text{AJ}_{\text{syn}}^{fgh}$  (resp.,  $\text{AJ}_{\text{ét}}^{fgh}$ ) factors through the  $(f^w, g^w, h^w)$ -isotypic component of  $H^4_{\text{syn}}(\mathcal{Y}^3, \cdot)$  (resp.,  $H^4_{\text{ét}}(Y_{\mathbf{Q}_p}^3, \cdot)$ ), which is naturally isomorphic to that of  $H^4_{\text{syn}}(\mathcal{X}^3, \cdot)$  (resp.,  $H^4_{\text{ét}}(X_{\mathbf{Q}_p}^3, \cdot)$ ), since  $f, g$  and  $h$  are cuspidal forms. Similarly,  $V^*(f, g, h)$  and  $V_{\text{dR}}^*(f, g, h)$  can be realized, respectively, in the étale and de Rham cohomology of the Kuga–Sato variety  $\bar{\mathcal{E}}^r$  (via Equation (28) and its analog for the de Rham cohomology). By the definition of the maps  $\varrho_{\text{syn}}$  (cp. Equation (30)), the previous diagram can then be rewritten in terms of cohomology groups of  $\bar{\mathcal{E}}^r$ , and once this is done its commutativity is a direct consequence of Equation (29) and the definitions.

The commutative diagram (32) and the compatibility of  $\varrho_{\text{syn}}$  with  $d_*$  (cp. Equation (30)) yield the equality

$$(33) \quad \log_p(\kappa(f, g, h)) = N^r \cdot \text{AJ}_{\text{syn}}^{fgh}(d_*(\text{Det}_r^{\text{syn}}))$$

of  $L$ -valued linear forms on  $\text{Fil}^0 V_{\text{dR}}(f, g, h)$ , cp. Equations (13) and (31). More precisely, we remind that the left-hand side of the previous equation is identified with an  $L$ -linear form on  $\text{Fil}^0 V_{\text{dR}}(f, g, h)$  via the *twisted* Poincaré duality  $\langle \cdot, \cdot \rangle_{fgh}$  introduced in Equation (12). On the other hand, we identify the right-hand side of the previous equation with a linear functional on  $\text{Fil}^0 V_{\text{dR}}(f, g, h)$  via the perfect duality

$$(\cdot, \cdot)_{fgh} : V_{\text{dR}}^*(f, g, h) \otimes_L V_{\text{dR}}(f, g, h) \rightarrow L(1)$$

induced by the pairings  $(\cdot, \cdot)_i$  defined in Equation (10). Equation (33) then follows from Equations (32), because (as easily checked)

$$N^r \cdot \kappa(f^w, g^w, h^w) = \text{AJ}_{\text{ét}}^{fgh}(d_*(\text{Det}_r^{\text{ét}})) \in H^1(\mathbf{Q}, V^*(f, g, h))$$

is the image of the diagonal class

$$\kappa(f, g, h) \in H^1(\mathbf{Q}, V(f, g, h))$$

under the map induced in cohomology by the  $G_{\mathbf{Q}}$ -equivariant isomorphism

$$w_N^{\otimes 3} : V(f, g, h) \cong V^*(f, g, h).$$

Here  $w_N^{\otimes 3}$  arises from the Künneth decomposition and the product of the geometric Atkin–Lehner automorphisms  $w_N$  of  $H_{\text{ét}}^1(Y_1(N)_{\mathbf{Q}}, \mathcal{S}_i)$ , for  $i + 2$  equal to  $k, l$  and  $m$ . (Recall that  $\chi_f \cdot \chi_g \cdot \chi_h$  is equal to the trivial character.)

Because  $H_{\text{rig},c}^2(Y_{\mathbf{F}_p}^3, \mathcal{S}_{[r]}(r + 2)) = 0$ , each class

$$\omega \in \text{Fil}^0 V_{\text{dR}}(f, g, h) \subset \text{Fil}^0 H_{\text{dR},c}^3(Y_{\mathbf{Q}_p}^3, \mathcal{S}_{[r]}(r + 2)),$$

which is killed by a polynomial  $P_{\omega}(T) \in 1 + T \cdot L[T]$  has a unique lift  $\tilde{\omega}$  in the  $(f, g, h)$ -isotypic component of the finite-polynomial cohomology group  $H_{P_{\omega},c}^3(\mathcal{Y}^3, \mathcal{S}_{[r]}(r + 2))$ . Assuming that  $P_{\omega}(p^{-1})$  is nonzero (so that the trace on  $H_{P_{\omega},c}^7(\mathcal{Y}^3, \mathbf{Q}_p(4))$  is defined), the compatibility of the finite polynomial cup-product with the Leray spectral sequence, viz. Equation (26), gives the following identity of functionals on  $H_{\text{syn}}^4(\mathcal{Y}^3, \mathcal{S}_{[r]}(r + 2))$ :

$$(34) \quad \text{AJ}_{\text{syn}}^{fgh}(\cdot)(\omega) = \langle \cdot, \tilde{\omega} \rangle_{\mathcal{Y}^3}.$$

Here the finite polynomial cup product pairing

$$\begin{aligned} \langle \cdot, \cdot \rangle_{\mathcal{Y}^3} : H_{\text{syn}}^4(\mathcal{Y}^3, \mathcal{S}_{[r]}(r + 2)) \otimes_L H_{P_{\omega},c}^3(\mathcal{Y}^3, \mathcal{S}_{[r]}(r + 2)) \\ \rightarrow H_{P_{\omega},c}^7(\mathcal{Y}^3, \mathbf{Q}_p(4)) \cong L(1) \end{aligned}$$

is the one arising from the perfect relative Poincaré dualities of syntomic sheaves (cp. Equations (8))

$$(\cdot, \cdot)_i : \mathcal{S}_{\text{syn},i} \otimes_{\mathbf{Q}_p} \mathcal{S}_{\text{syn},i} \rightarrow \mathbf{Q}_p(-i).$$

(Unless otherwise stated, all the cup-product pairings which appear below arise from the dualities  $(\cdot, \cdot)_i$ .) Since the pullback  $d^* = d_{\text{syn}}^*$  and push-forward  $d_* = d_{\text{syn},*}$ , associated with the diagonal embedding  $d$  in finite polynomial cohomology, satisfy the projection formula, Equations (33) and (34) yield

$$(35) \quad \log_p(\kappa(f, g, h))(\omega) = N^r \cdot \langle \text{Det}_r^{\text{syn}}, d^*(\tilde{\omega}) \rangle_{\mathcal{Y}}.$$

Take  $\omega$  equal to the class  $\eta_f^{\alpha} \otimes \omega_g \otimes \omega_h$  defined in Equation (14) and  $P_{\omega}$  equal to

$$P_{fgh}(T) = \left(1 - \frac{p^{r+2}T}{\alpha_f \alpha_g \alpha_h}\right) \left(1 - \frac{p^{r+2}T}{\alpha_f \alpha_g \beta_h}\right) \left(1 - \frac{p^{r+2}T}{\alpha_f \beta_g \alpha_h}\right) \left(1 - \frac{p^{r+2}T}{\alpha_f \beta_g \beta_h}\right).$$

As by assumption  $\chi_f \chi_g \chi_h$  is the trivial character, a direct computation shows that  $P_{fgh}(p^{-1})$  equals

$$\mathcal{E}(f, g, h) = \left(1 - \frac{\beta_f \alpha_g \alpha_h}{p^{r+2}}\right) \left(1 - \frac{\beta_f \alpha_g \beta_h}{p^{r+2}}\right) \left(1 - \frac{\beta_f \beta_g \alpha_h}{p^{r+2}}\right) \left(1 - \frac{\beta_f \beta_g \beta_h}{p^{r+2}}\right),$$

which is nonzero by the Ramanujan–Petersson conjecture under the current hypothesis  $p \nmid N$ .

Let  $\xi$  denote either  $g$  or  $h$  and set

$$P_f(T) = 1 - \frac{p^{r_1-r} T}{\alpha_f} \quad \text{and} \quad P_\xi(T) = \left(1 - \frac{p^{u+1} T}{\alpha_\xi}\right) \left(1 - \frac{p^{u+1} T}{\beta_\xi}\right),$$

so that  $P_{fgh} = P_f \star P_g \star P_h$ . Let

$$(36) \quad \tilde{\eta}_f^\alpha \in H_{P_f, c}^1(\mathcal{Y}, \mathcal{S}_{r_1}(r_1 - r)), \quad \text{resp.} \quad \tilde{\omega}_\xi \in H_{P_\xi}^1(\mathcal{Y}, \mathcal{S}_u(u + 1))$$

(with  $u + 2$  the weight of  $\xi$ ), denote the unique lift of

$$\eta_f^\alpha \in \text{Fil}^0 H_{\text{dR}, c}^1(Y_{\mathbf{Q}_p}, \mathcal{S}_{r_1}(r_1 - r))^{P_f(\varphi)=0},$$

resp. a lift of

$$\omega_\xi \in \text{Fil}^0 H_{\text{dR}}^1(Y_{\mathbf{Q}_p}, \mathcal{S}_u(u + 1))^{P_\xi(\varphi)=0},$$

under  $\mathfrak{p}$ . Equation (35) can then be rewritten as

$$(37) \quad \log_p(\kappa(f, g, h))(\eta_f^\alpha \otimes \omega_g \otimes \omega_h) = N^r \cdot \langle \tilde{\eta}_f^\alpha, \text{Det}_r^{\text{syn}} \cup \tilde{\omega}_g \cup \tilde{\omega}_h \rangle_{\mathcal{Y}}.$$

Write  $\mathcal{S}_{gh} = \mathcal{S}_{\text{syn}, r_2} \otimes \mathcal{S}_{\text{syn}, r_3}(r_2 + r_3 + 2)$  and  $P_{gh} = P_g \star P_h$ . After noting that  $H_{\text{dR}}^2(Y_{\mathbf{Q}_p}, \mathcal{S}_{gh})$  vanishes, let

$$\Phi \in H_{P_{gh}}^1(\mathbf{Z}_p, H_{\text{rig}}^1(Y_{\mathbf{F}_p}, \mathcal{S}_{gh}))$$

be the class defined by the identity

$$\mathbf{i}(\Phi) = \tilde{\omega}_g \cup \tilde{\omega}_h.$$

Equation (37) and a direct computation using Equation (26) then prove the following (cp. Equation (31)).

**Proposition 4.3.** *One has*

$$\log_p(\kappa(f, g, h))(\eta_f^\alpha \otimes \omega_g \otimes \omega_h) = \frac{N^r}{\mathcal{E}(f, g, h)} \cdot \langle \eta_f^\alpha(r_1 - r), \text{Det}_r^{\text{rig}} \cup \Phi \rangle_{Y, \text{rig}}.$$

**4.4. Restriction to the ordinary locus.** Given a  $\mathbf{Q}_p$ -vector space  $V$ , a  $\mathbf{Q}_p$ -linear endomorphism  $e$  of  $V$  and a nonzero element  $a$  of  $L$ , denote by  $V_{e=a}$  (resp.,  $V^{e=a}$ ) the maximal  $L$ -quotient (resp.,  $L$ -submodule) of  $V \otimes_{\mathbf{Q}_p} L$  on which  $e$  acts as multiplication by  $a$ . As explained in the proof of Proposition III.1.4 of [34], the restriction map  $\cdot_{\text{ord}}: H_{\text{rig}}^1(Y_{\mathbf{F}_p}, \mathcal{S}_{r_1}) \rightarrow H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})$  induces an isomorphism

$$H_{\text{rig}}^1(Y_{\mathbf{F}_p}, \mathcal{S}_{r_1})_{\varphi=\bar{\chi}_f(p) \cdot \beta_f} \cong H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})_{\varphi=\bar{\chi}_f(p) \cdot \beta_f},$$

which commutes with the action of the Hecke operators  $T_\ell$  and  $\langle d \rangle$  for  $\ell \nmid Np$  and  $d \in (\mathbf{Z}/N\mathbf{Z})^*$ . (This follows from weight considerations, recalling that the square of  $\beta_f$  has complex absolute value  $k - 1$  under the running assumption

$p \nmid N$ .) Taking the duals and using Poincaré duality, this induces an isomorphism

$$\cdot^{\text{ord}} : H_{\text{rig},c}^1(Y_{\mathbf{F}_p}, \mathcal{S}_{r_1})^{\varphi=\alpha_f} \cong H_{\text{rig},c}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})^{\varphi=\alpha_f}.$$

After setting

$$(38) \quad \text{Det}_{\mathbf{r}}^{\text{ord}} = (\text{Det}_{\mathbf{r}}^{\text{rig}})_{\text{ord}} \otimes t_{-2-r} \in H_{\text{rig}}^0(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{\mathbf{r}}(-2))$$

(so that  $\text{Det}_{\mathbf{r}}^{\text{ord}} \cup \Phi_{\text{ord}}$  belongs to  $H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})$ ), Proposition 4.3 then gives the following.

**Proposition 4.5.** *One has*

$$\log_p(\kappa(f, g, h))(\eta_f^\alpha \otimes \omega_g \otimes \omega_h) = \frac{N^r}{\mathcal{E}(f, g, h)} \cdot \langle \eta_f^{\alpha, \text{ord}}(r_1 + 2), \text{Det}_{\mathbf{r}}^{\text{ord}} \cup \Phi_{\text{ord}} \rangle_{Y^{\text{ord}}, \text{rig}}.$$

The linear form

$$\langle \eta_f^{\alpha, \text{ord}}(r_1 + 2), \cdot \rangle_{Y^{\text{ord}}, \text{rig}} : H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})_L \rightarrow L$$

factors through the quotient

$$H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})_L \twoheadrightarrow H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})_{f_\alpha^w}$$

defined in Equation (19). As by assumption  $f_\alpha^w = (f^w)_\alpha$  has small slope (i.e.,  $\text{ord}_p(\alpha_f) < k - 1$ ), Equation (20) shows that the latter is isomorphic to  $S_k(Np, L)_{f_\alpha^w}$  under the Coleman map  $[\cdot]_f^\alpha$ . Let

$$\Xi \in S_k(Np, L)_{f_\alpha^w}$$

be the cusp form satisfying

$$(39) \quad [\Xi]_f^\alpha = [\text{Det}_{\mathbf{r}}^{\text{ord}} \cup \Phi_{\text{ord}}]_{f_\alpha^w},$$

where  $[\cdot]_{f_\alpha^w}$  denotes the projection of  $H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})$  onto  $H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})_{f_\alpha^w}$ .

**Proposition 4.6.** *After setting  $\mathcal{E}^*(f) = 1 - \frac{\beta_f}{\alpha_f}$ , one has*

$$\log_p(\kappa(f, g, h))(\eta_f^\alpha \otimes \omega_g \otimes \omega_h) = \frac{N^r \mathcal{E}^*(f)}{\mathcal{E}(f, g, h)} \frac{(f_\alpha^w, \Xi)_{Np}}{(f_\alpha^w, f_\alpha^w)_{Np}}.$$

*Proof.* One has  $\Xi = (1 - \bar{\chi}_f(p)\beta_f \cdot V) \cdot \xi$  for a cusp form  $\xi \in S_k(N, L)$ . Let

$$\omega_\xi \in H_{\text{rig}}^1(Y_{\mathbf{F}_p}, \mathcal{S}_{r_1})$$

be the class associated with  $\xi$  and let  $\omega_{\xi, \text{ord}} \in H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{r_1})$  be the restriction of  $\omega_\xi$  to the ordinary locus. Then

$$[\text{Det}_{\mathbf{r}}^{\text{ord}} \cup \Phi_{\text{ord}}]_{f_\alpha^w} = [\Xi]_f^\alpha = \left[ \left( 1 - \frac{\beta_f \cdot \varphi}{p^{k-1}} \right) \cdot \omega_{\xi, \text{ord}} \right]_{f_\alpha^w} = \mathcal{E}^*(f) \cdot [\omega_{\xi, \text{ord}}]_{f_\alpha^w},$$

hence

$$\begin{aligned} \langle \eta_f^{\alpha, \text{ord}}(r_1 + 2), \text{Det}_{\mathbf{r}}^{\text{ord}} \cup \Phi_{\text{ord}} \rangle_{Y^{\text{ord}}, \text{rig}} &= \mathcal{E}^*(f) \cdot \langle \eta_f^{\alpha, \text{ord}}(r_1 + 2), \omega_{\xi, \text{ord}} \rangle_{Y^{\text{ord}}, \text{rig}} \\ &= \mathcal{E}^*(f) \cdot \langle \eta_f^\alpha(r_1 + 2), \omega_\xi \rangle_{Y, \text{rig}} \\ &= \mathcal{E}^*(f) \cdot (\xi, f^w)_N / (f^w, f^w)_N, \end{aligned}$$

by the definitions of  $\eta_f^\alpha$  and  $\eta_f^{\alpha, \text{ord}}$ . As easily checked  $(\xi, f^w)_N / (f^w, f^w)_N$  is equal to  $(\Xi, f_\alpha^w)_{Np} / (f_\alpha^w, f_\alpha^w)_{Np}$ . The statement then follows from the previous equation and Proposition 4.5.  $\square$

**4.7. Conclusion of the proof.** This section concludes the proof of Theorem A.

Let  $\xi$  in  $M_{u+2}(N, L)$  denote either  $g$  or  $h$  and let

$$\omega_\xi \in \text{Fil}^0 H_{\text{dR}}^1(Y, \mathcal{S}_u(u+1))_L$$

be the corresponding de Rham class. With a slight abuse of notation, denote by  $\omega_\xi$  in  $\Gamma(X, \omega^u(u+1) \otimes \Omega^1(C))_L$  also the section representing  $\omega_\xi$ , so that

$$\omega_\xi|_{\tilde{y}} = \xi \cdot \tilde{\omega}_{\text{can}}^u \otimes \tilde{\delta}_{\text{can}} \otimes t_{u+1}$$

in  $\Gamma(\tilde{\mathcal{Y}}, \tilde{\omega}^u(u+1) \otimes \tilde{\Omega}^1)_L$  (cp. Section 3.4).

Let  $\tilde{\omega}_{\xi, \text{ord}}$  in  $H_{P_\xi}^1(\mathcal{Y}^{\text{ord}}, \mathcal{S}_u(u+1))$  be the restriction to the ordinary locus of  $\tilde{\omega}_\xi$  (cp. Equation (36)). By construction  $\tilde{\omega}_{\xi, \text{ord}}$  is a lift under  $\mathbf{p}$  of the restriction of  $\omega_\xi$  to the ordinary locus. (If  $u \geq 1$  such a lift is unique, cp. [2, Lem. 4.2]). According to [2, Prop. A.16], the class  $\tilde{\omega}_{\xi, \text{ord}}$  is uniquely represented by (the restriction to the ordinary locus  $\mathcal{Y}^{\text{ord}}$  of) a pair  $(F_\xi, \omega_\xi)$ , where the overconvergent section

$$F_\xi \in \Gamma(X_{\mathbf{Q}_p}^{\text{rig}}, \mathcal{S}_{\text{rig}, u}(u+1))_L \quad \text{satisfies } \nabla F_\xi = P_\xi(\varphi) \cdot \omega_\xi.$$

As explained in [5, Sections 3.6–3.8] (see in particular Proposition 3.24), one can, and will, choose  $\tilde{\omega}_\xi$  in such a way that  $\tilde{\omega}_{\xi, \text{ord}}$  is represented by the pair  $(F_\xi, \omega_\xi)$  with

$$(40) \quad F_\xi|_{\tilde{y}} = \sum_{j=0}^u (-1)^j j! \binom{u}{j} d^{-1-j} \xi^{[p]}(q) \cdot \tilde{\omega}_{\text{can}}^{u-j} \tilde{\eta}_{\text{can}}^j \otimes t_{u+1}$$

in  $\Gamma(\tilde{\mathcal{Y}}, \tilde{\mathcal{S}}_{u, \text{rig}}(u+1))_L$ . (The equality  $\nabla F = P_\xi(\varphi) \cdot \omega_\xi$  over  $\tilde{\mathcal{Y}}$  can be easily checked using Equations (22) and (23). Note that the lift  $\tilde{\omega}_\xi$  of  $\omega_\xi$ , and then  $F_\xi$ , is unique if the weight of  $\xi$  is strictly greater than two, cp. [2, Lem. 4.2].)

The finite polynomial cup product  $\tilde{\omega}_{g, \text{ord}} \cup \tilde{\omega}_{h, \text{ord}} = (\tilde{\omega}_g \cup \tilde{\omega}_h)_{\text{ord}}$  is represented by any 2-cocycle of the form

$$(41) \quad \bigcup (a(x, y) \cdot F_g \otimes \omega_h - b(x, y) \cdot \omega_g \otimes F_h, \omega_g \otimes \omega_h),$$

where  $a(x, y)$  and  $b(x, y)$  are polynomials in  $L[x, y]$  satisfying

$$P_{gh}(xy) = a(x, y) \cdot P_g(x) + b(x, y) \cdot P_h(y)$$

and  $x$  and  $y$  act via  $\varphi \otimes \text{id}$  and  $\text{id} \otimes \varphi$ , respectively (cp. [10, Rem. 4.3]). Proposition 5.2.5 of [29] shows that one can take  $a(x, y)$  and  $b(x, y)$  of the form

$$(42) \quad a(x, y) = 1 - \chi_f(p) p^{r_2+r_3+2} \cdot x^2 y^2 + y \cdot a_o(x, y) \quad \text{and} \quad b(x, y) = x \cdot b_o(x, y),$$

with  $a_o(x, y)$  and  $b_o(x, y)$  in  $L[x, y]$ . (Recall that  $\chi_g \chi_h$  equals  $\chi_f^{-1}$ .)



Let  $F_{g,j} \in \tilde{\mathbf{M}}(N, L)$  be the  $\tilde{\omega}_{\text{can}}^j \tilde{\eta}_{\text{can}}^{r_2-j}$ -coefficient of  $F_g|_{\tilde{\mathcal{Y}}}$ . The section  $F_{g,j}$  is  $p$ -depleted, viz. the  $n$ -th Fourier coefficient of its  $q$ -expansion is zero if  $p$  divides  $n$  (cp. Equation (40)). On the other hand, the  $n$ -th Fourier coefficient of the  $q$ -expansion  $\sum_{n \geq 0} a_n(h) \cdot q^{pn}$  of  $V(h)$  is zero if  $p$  does not divide  $n$ . It follows that  $F_{g,j} \cdot V(h)$  is  $p$ -depleted, hence so is each coefficient of  $F_g \otimes \varphi(\omega_h)$  (as the restriction of  $\varphi(\omega_h)$  to  $\tilde{\mathcal{Y}}$  is a multiple of  $V(h) \cdot \tilde{\omega}_{\text{can}}^{r_3} \otimes \tilde{\delta}_{\text{can}} \otimes t_{r_3+1}$ ). This implies that  $U_p$  kills the class in  $H_{\text{rig}}^1(Y_{\mathbf{F}_p}^{\text{ord}}, \mathcal{S}_{gh})_L$  represented by  $F_g \cup \varphi(\omega_h)$ . Because  $U_p$  is an isomorphism, one deduces that the section  $F_g \cup \varphi(\omega_h)$  is exact. Similarly, one proves that  $\varphi(\omega_g) \cup F_h$  is exact. Together with Equations (41) and (42) this proves that  $(\tilde{\omega}_g \cup \tilde{\omega}_h)_{\text{ord}}$  is represented by

$$((1 - \chi_f(p)p^{r_2+r_3+2} \cdot \varphi^2) \cdot F_g \cup \omega_h, 0).$$

As  $\Phi_{\text{ord}}$  is characterized by the equality  $\mathbf{i}(\Phi_{\text{ord}}) = (\tilde{\omega}_g \cup \tilde{\omega}_h)_{\text{ord}}$ , the previous equation then yields

$$(43) \quad \Phi_{\text{ord}} = \text{class of } (1 - \chi_f(p)p^{r_2+r_3+2} \cdot \varphi^2) \cdot F_g \cup \omega_h.$$

Identify the  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)$ -module of global sections of  $\tilde{\mathcal{S}}_{\text{rig}, r_i}$  with the set of two-variable homogeneous polynomials of degree  $r_i$  in  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)[x_i, y_i]$  via  $x_i^j y_i^{r_i-j} = \tilde{\omega}_{\text{can}}^j \tilde{\eta}_{\text{can}}^{r_i-j}$ . Then  $\tilde{\mathcal{S}}_{\text{rig}, \mathbf{r}} = \tilde{\mathcal{S}}_{\text{rig}, r_1} \otimes \tilde{\mathcal{S}}_{\text{rig}, r_2} \otimes \tilde{\mathcal{S}}_{\text{rig}, r_3}$  becomes identified with a submodule of  $\tilde{\mathbf{M}}(N, \mathbf{Z}_p)[x_i, y_i: 1 \leq i \leq 3]$  and (cp. Equation (38))

$$(44) \quad \text{Det}_{\mathbf{r}}^{\text{ord}}|_{\tilde{\mathcal{Y}}} = (x_1 y_2 - y_1 x_2)^{r-r_3} \cdot (x_1 y_3 - y_1 x_3)^{r-r_2} \cdot (x_2 y_3 - y_2 x_3)^{r-r_1} \otimes t_{-2}$$

in  $\Gamma(\tilde{\mathcal{Y}}, \tilde{\mathcal{S}}_{\text{rig}, \mathbf{r}}(-2))_L$ . Note that the rigid Frobenius acts on  $\text{Det}_{\mathbf{r}}^{\text{ord}}$  as multiplication by  $p^{2+r}$ , hence (cp. Equation (39))

$$(45) \quad [\text{Det}_{\mathbf{r}}^{\text{ord}} \cup \Phi_{\text{ord}}]_{f_{\alpha}^w} = \left[ \left( 1 - \frac{\chi_f(p) \cdot \varphi^2}{p^{r_1+2}} \right) \cdot \text{Det}_{\mathbf{r}}^{\text{ord}} \cup F_g \cup \omega_h \right]_{f_{\alpha}^w} \\ = \left( 1 - \frac{\beta_f}{p\alpha_f} \right) \cdot [\text{Det}_{\mathbf{r}}^{\text{ord}} \cup F_g \cup \omega_h]_{f_{\alpha}^w},$$

by Equation (43). According to Equations (40) and (44) the restriction of  $\text{Det}_{\mathbf{r}}^{\text{ord}} \cup F_g \cup \omega_h$  to  $\tilde{\mathcal{Y}}$  is equal to

$$\sum_{i_1, i_2, i_3, j} (-1)^{r-i_1-i_2-i_3+j} j! \binom{r-r_3}{i_1} \binom{r-r_2}{i_2} \binom{r-r_1}{i_3} \binom{r_2}{j} \\ \cdot d^{-1-j} g^{[p]} \cdot h \cdot x_1^{i_1+i_2} y_1^{r_1-i_1-i_2} \otimes \tilde{\delta}_{\text{can}} \\ \otimes x_2^{r-r_3-i_1+i_3} y_2^{r-r_1-i_3+i_1} x_3^{r_3-i_2-i_3} y_3^{i_2+i_3} \cup x_2^{r_2-j} y_2^j x_3^{r_3} \otimes t_{r_2+r_3},$$

where the sum runs over the tuples  $(i_1, i_2, i_3, j)$ , with  $0 \leq j \leq r_2$  and  $0 \leq i_s \leq r_s$  for  $s = 1, 2, 3$ . The only contribution to the  $x_1^{r_1} \otimes \tilde{\delta}_{\text{can}}$ -component comes from  $(i_1, i_2, i_3, j) = (r-r_3, r-r_2, r-r_1, r-r_1)$  and is equal to (cp.

Equation (9))

$$(-1)^{r-r_1} (r - r_1) \binom{r_2}{r - r_1} \cdot d^{-1-r+r_1} g^{[p]} \cdot h \cdot x_1^{r_1} \otimes \tilde{\delta}_{\text{can}} \\ \otimes x_2^{r-r_1} y_2^{r-r_3} y_3^{r_3} \cup x_2^{r-r_3} y_2^{r-r_1} x_3^{r_3} \otimes t_{r_2+r_3}.$$

As

$$x_2^{r-r_1} y_2^{r-r_3} y_3^{r_3} \cup x_2^{r-r_3} y_2^{r-r_1} x_3^{r_3} = (-1)^r \binom{r_2}{r - r_1}^{-1} \cdot t_{-r_2-r_3},$$

one deduces

$$\text{spl}^{ur}(\text{Det}_r^{\text{ord}} \cup F_g \cup \omega_h)|_{\tilde{y}} = (-1)^{r_1} (r - r_1)! \cdot d^{-1-r+r_1} g^{[p]}(q) \cdot h(q) \cdot x_1^{r_1} \otimes \tilde{\delta}_{\text{can}}.$$

This proves that (as claimed in the discussion preceding the statement of Theorem A) the  $p$ -adic modular form

$$(46) \quad d^{-1-r+r_1} g^{[p]} \cdot h = \text{spl}^{ur}((-1)^{r_1} (r - r_1)!^{-1} \cdot \text{Det}_r^{\text{ord}} \cup F_g \cup \omega_h)$$

is nearly-overconvergent, and (after unwinding the definitions, cp. Equations (21), (39) and (45)) yields the identity

$$(-1)^{r_1} (r - r_1)! \left(1 - \frac{\beta_f}{p\alpha_f}\right) \cdot e_{f_\alpha} (d^{-1-r+r_1} g^{[p]} \cdot h) = \Xi.$$

Theorem A follows from Proposition 4.6 and the previous equation.

### 5. PROOF OF THEOREM B

This section proves Theorem B stated in Section 1.2. Let the notations and assumptions be as in *loc. cit.*

**5.1. Hida theory.** Let  $L$  be a finite extension of  $\mathbf{Q}_p$  and let  $U$  be an  $L$ -rational affinoid disc in the weight space  $\mathcal{W}$  over  $\mathbf{Q}_p$ , centered at an integer  $u_o \geq 1$ . Let  $\mathcal{O}(U)$  denote the ring of analytic functions on  $U$ . It can be identified with a subring of  $L[[\mathbf{u} - u_o]]$ , where  $\mathbf{u} - u_o$  is a uniformiser at  $u_o$ . Write  $U^{\text{cl}}$  for the set of positive integers in  $U$  which are congruent to  $u_o$  modulo  $2(p-1)$ , and let  $\chi$  be an  $L$ -valued Dirichlet character modulo  $N$ . Denote by  $S_U^{\text{ord}}(N, \chi)$  the set of formal  $q$ -expansions  $\xi = \sum_{n \geq 0} r_n \cdot q^n$  in  $\mathcal{O}(U)[[q]]$  satisfying the following property: For each classical point  $u$  in  $U^{\text{cl}} \cap \mathbf{Z}_{\geq 2}$ , the weight- $u$  specialization  $\xi_u = \sum_{n \geq 0} r_n(u) \cdot q^n$  is the  $q$ -expansion of a cusp form in  $S_u(Np, \chi)_L$ , which is an eigenvector for the Hecke operator  $T_\ell$ , for each prime  $\ell$  not dividing  $Np$ , and for the Hecke operator  $U_p$  with eigenvalue a  $p$ -adic unit in  $L$ . For each classical point  $u > 2$ , the form  $\xi_u$  is indeed the ordinary  $p$ -stabilization of a  $p$ -ordinary eigenform  $\xi_u$  in  $S_k(N, \chi)_L$ . If  $u = 2$ , then either  $p$  divides the level of  $\xi_u$ , in which case one sets  $\xi_u = \xi_u$ , or  $\xi_u$  is the  $p$ -stabilization of a  $p$ -ordinary eigenform  $\xi_u$  of level  $\Gamma_1(N)$ .

An element of  $S_U^{\text{ord}}(N, \chi)$  (for some  $U$  as above) is called a (cuspidal) Hida family of tame level  $N$ , character  $\chi$  and center  $u_o$ . One says that  $\xi$  is primitive if  $\xi_u$  is a primitive form of conductor  $N$  for all classical points  $u > 2$ . Let  $\xi^\#$  be a primitive Hida family in  $S_U^{\text{ord}}(N_o, \chi)$  and let  $N$  be a multiple of  $N_o$ .

A level- $N$  test vector for  $\xi^\sharp$  is a Hida family  $\xi$  in  $S_U^{\text{ord}}(N, \chi)$  such that, for all  $u \geq 2$  in  $U^{\text{cl}}$ , the specializations  $\xi_u^\sharp$  and  $\xi_u$  have the same eigenvalues under the action of the Hecke operators  $U_p$  and  $T_\ell$ , for all primes  $\ell$  not dividing  $Np$ .

Let  $N$  denote the least common multiple of  $N_f, N_g$  and  $N_h$ . For  $\xi = f, g, h$ , write  $\alpha_\xi$  and  $\beta_\xi$  for the roots of the  $p$ -th Hecke polynomial

$$X^2 - a_p(\xi) \cdot X + \chi_\xi(p)p^{u-1}$$

of  $\xi$ , where  $u$  is the weight of  $\xi$ . Assume that  $L$  contains  $\alpha_\xi$  and  $\beta_\xi$  and order  $\alpha_f$  and  $\beta_f$  in such a way that  $\alpha_f$  is a  $p$ -adic unit. This is possible by Assumption 1.3.4. According to a theorem of Wiles [43], there exist primitive Hida families

$$\mathbf{g}^\sharp = \sum_{n \geq 0} b_n(\mathbf{u}) \cdot q^n \in S_U^{\text{ord}}(N_g, \chi_g) \quad \text{and} \quad \mathbf{h}^\sharp = \sum_{n \geq 0} c_n(\mathbf{u}) \cdot q^n \in S_U^{\text{ord}}(N_h, \chi_h)$$

of levels  $N_g$  and  $N_h$ , common center  $u_o = 1$  and tame characters  $\chi_g$  and  $\chi_h$ , specializing, respectively, to the  $p$ -stabilized cusp forms  $g_\alpha$  and  $h_\alpha$  at weight one, namely, satisfying

$$\mathbf{g}_1^\sharp = g_\alpha \quad \text{and} \quad \mathbf{h}_1^\sharp = h_\alpha.$$

(Recall that  $\xi_\alpha(q) = \xi(q) - \beta_\xi \cdot \xi(q^p)$  is an eigenvector for  $U_p$  with eigenvalue  $\alpha_\xi$ .) Note that  $\mathbf{g}^\sharp = \mathbf{g}_\alpha^\sharp$  and  $\mathbf{h}^\sharp = \mathbf{h}_\alpha^\sharp$  depend on the choice of the roots  $\alpha_g$  and  $\alpha_h$  of the  $p$ -th Hecke polynomials of  $g$  and  $h$ , respectively.

Let  $\mathbf{g}$  and  $\mathbf{h}$  be level- $N$  test vectors for  $\mathbf{g}^\sharp$  and  $\mathbf{h}^\sharp$ , respectively. Moreover, let  $\mathbf{f}_k$  be the ordinary  $p$ -stabilization of a cusp form  $f_k$  in  $S_k(N, \chi_f)_L$ , which is an eigenvector of the Hecke operator  $T_\ell$ , with the same eigenvalue  $a_\ell(f)$  as  $f$ , for each prime  $\ell$  not dividing  $N$ . (We call  $\mathbf{f}_k$  and  $f_k$  level- $N$  test vectors for  $f$ .) For each  $u \geq 2$  in  $U^{\text{cl}}$ , set

$$\mathbb{W}_{Np}(u) = H_{\text{ét}}^3(Y_1(Np)_{\mathbb{Q}}^3, \mathcal{S}_{k-2} \boxtimes \mathcal{S}_{u-2} \boxtimes \mathcal{S}_{u-2}) \otimes_{\mathbf{z}_p} \mathcal{O}_L(k/2 + u - 1).$$

Denote by

$$(47) \quad \text{pr}_{\mathbf{f}_k \mathbf{g}_u \mathbf{h}_u} : \mathbb{W}_{Np}(u) \rightarrow \mathbf{V}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$$

the maximal  $\mathcal{O}_L$ -quotient on which the Hecke operators  $U_p \otimes 1 \otimes 1, 1 \otimes U_p \otimes 1$  and  $1 \otimes 1 \otimes U_p$  (resp.,  $T_\ell \otimes 1 \otimes 1, 1 \otimes T_\ell \otimes 1, 1 \otimes 1 \otimes T_\ell$  and  $\langle d_1 \rangle \otimes \langle d_2 \rangle \otimes \langle d_3 \rangle$ ) act as multiplication by  $\alpha_f, b_p(u)$  and  $c_p(u)$  (resp.,  $a_\ell(f), b_\ell(u), c_\ell(u)$  and  $\chi_f(d_1) \cdot \chi_g(d_2) \cdot \chi_h(d_3)$  for any prime  $\ell \nmid Np$  and units  $d_i \in (\mathbf{Z}/N\mathbf{Z})^*$ ), and set

$$\mathbf{V}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) = \mathbf{V}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) \otimes_{\mathbf{z}} \mathbf{Q}.$$

Note that  $\mathbf{V}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  depends only on the level  $N$  and on the primitive forms  $f, g_u^\sharp$  and  $h_u^\sharp$ .

Let  $\|\cdot\|_U$  be the supremum norm on  $\mathcal{O}(U)$  and let  $\Lambda = \Lambda_U$  be the corresponding unit ball. The work of Hida, Perrin-Riou et al. yields a free  $\Lambda$ -module  $\mathbf{V}(\mathbf{f}_k, \mathbf{g}\mathbf{h})$ , equipped with a continuous  $\Lambda$ -linear action of  $G_{\mathbf{Q}}$ , satisfying the following properties (cp. [8, Sections 4 and 6]).

- For each  $u \geq 2$  in  $U^{\text{cl}}$ , evaluation at  $u$  on  $\Lambda$  induces a natural isomorphism of  $\mathcal{O}_L[G_{\mathbf{Q}}]$ -modules

$$(48) \quad \rho_u : V(\mathbf{f}_k, \mathbf{gh}) \otimes_u \mathcal{O}_L \cong V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u).$$

The representation  $V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  is isomorphic to

$$\bigoplus_{i=1}^a V(f) \otimes_L V(g_u^\sharp) \otimes_L V(h_u^\sharp)((k/2 + u - 1)),$$

where  $V(\cdot) = D(\cdot)$  is the  $L$ -adic Deligne representation  $\cdot$  and the positive integer  $a = a_N$  is independent of  $u$ . If  $u = 1$ , the previous formula holds with  $V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  isomorphic to a lattice in  $V(f, g, h)^a$ .

- Let  $U^{\text{bal}}$  be the set of  $u \geq 2$  in  $U^{\text{cl}}$  with  $k < 2u$ . There exists a  $\Lambda[G_{\mathbf{Q}_p}]$ -submodule

$$i_{\text{bal}} : V(\mathbf{f}_k, \mathbf{gh})_{\text{bal}} \rightarrow V(\mathbf{f}_k, \mathbf{gh}),$$

free of rank  $\frac{1}{2} \text{rank}_\Lambda V(\mathbf{f}_k, \mathbf{gh})$  over  $\Lambda$ , such that for all  $u$  in  $U^{\text{bal}}$ , the Bloch–Kato finite subspace

$$H_{\text{fin}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$$

of  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  is equal to the image of the map

$$(49) \quad H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{gh})_{\text{bal}} \otimes_u L) \rightarrow H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$$

induced by  $\rho_u$ .

The morphism induced in cohomology by  $i_{\text{bal}}$  is injective, and its image  $H_{\text{bal}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{gh}))$  is called the *balanced* subspace. Similarly, for  $u$  in  $U^{\text{cl}}$ , one defines the *balanced* subspace  $H_{\text{bal}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  of  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  as the image of  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{gh})_{\text{bal}} \otimes_u \mathcal{O}_L)$  under the morphism induced by  $\rho_u$ . The *balanced Selmer group*

$$H_{\text{bal}}^1(\mathbf{Q}, V(\cdot)) \hookrightarrow H^1(\mathbf{Q}, V(\cdot))$$

is the module of global classes which are balanced at  $p$  and unramified at any prime  $\ell \neq p$ . Set  $H_{\text{bal}}^1(\mathbf{Q}, V(\cdot)) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p = H_{\text{bal}}^1(\mathbf{Q}, V(\cdot)) \otimes_{\mathbf{Z}_p} \mathbf{Q}_p$ .

- There exists a (unique) morphism of  $\mathcal{O}(U)$ -modules

$$\mathcal{L} = \mathcal{L}_{\mathbf{f}_k, \mathbf{gh}} : H_{\text{bal}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{gh})) \rightarrow \mathcal{O}(U)$$

such that, for each  $u \geq 1$  in  $U^{\text{cl}}$  and  $\mathfrak{z}$  in  $H_{\text{bal}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{gh}))$ , one has

$$(50) \quad \mathcal{L}(\mathfrak{z}, u) = \frac{(1 - \frac{\beta_{\mathbf{f}_k} \alpha_{\mathbf{g}_u} \alpha_{\mathbf{h}_u}}{p^{k/2+u-1}})}{(1 - \frac{\alpha_{\mathbf{f}_k} \beta_{\mathbf{g}_u} \beta_{\mathbf{h}_u}}{p^{k/2+u-1}})} \cdot \begin{cases} \frac{(-1)^{u-k/2-1}}{(u-k/2-1)!} \log_p(\mathfrak{z}_u)_f & \text{if } k < 2u, \\ (k/2 - u)! \exp_p^*(\mathfrak{z}_u)_f & \text{if } k \geq 2u, \end{cases}$$

where the notations are as follows. One writes  $\alpha_{\mathbf{f}_k}$  for the unit root of the  $p$ -th Hecke polynomial of  $f$  and  $\beta_{\mathbf{f}_k} = p^{k-1}/\alpha_{\mathbf{f}_k}$ . Similarly,  $\alpha_{\mathbf{g}_u} = b_p(u)$ ,  $\alpha_{\mathbf{h}_u} = c_p(u)$ ,  $\beta_{\mathbf{g}_u} = \frac{\chi_g(p) \cdot p^{u-1}}{\alpha_{\mathbf{g}_u}}$  and  $\beta_{\mathbf{h}_u} = \frac{\chi_h(p) \cdot p^{u-1}}{\alpha_{\mathbf{h}_u}}$ . The class  $\mathfrak{z}_u$  is the image of  $\mathfrak{z}$  in  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  under the morphism induced by  $\rho_u$ ,

so that  $\mathfrak{z}_u$  belongs to  $H_{\text{fin}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  if  $u$  is in  $U^{\text{bal}}$  (cp. Equation (49)). One writes

$$\log_p: H_{\text{fin}}^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)) \rightarrow \text{Fil}^0 V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)^\vee$$

(where  $\vee$  denotes the  $L$ -linear dual) and

$$\exp_p^*: H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)) \rightarrow V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)^\vee$$

for the Bloch–Kato logarithm and dual exponential, respectively, and  $\log_p(\cdot)_f$  and  $\exp_p^*(\cdot)_f$  for their evaluations on the class

$$\mathfrak{U}_u = \eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_u} \otimes \omega_{\mathbf{h}_u}.$$

When  $u \geq 2$ , this is the class defined in Section 3.1.3, which belongs to  $\text{Fil}^0 V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  if  $u$  is balanced, i.e.,  $k < 2u$  (cp. Equation (14)). Moreover, in the definition of  $\log_p$  and  $\exp_p^*$ , we identify  $V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  with its  $L$ -linear dual under the product of the  $w_N$ -twisted Poincaré dualities  $(\cdot, w_N(\cdot))_\xi$  for  $\xi$  equal to  $\mathbf{f}_k$ ,  $\mathbf{g}_l$  and  $\mathbf{h}_m$  (cp. Equation (11), noting that here  $N$  is the tame level of the relevant modular curves).

When  $u = 1$ , the differential  $\mathfrak{U}_1$  in  $V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  is defined as above, using a suitable canonical generator  $\omega_{\xi_1}$  of  $D_{\text{cris}}(V(\xi_1))^{\varphi=\beta\xi}$ , for  $\xi = \mathbf{g}, \mathbf{h}$ . The latter is the weight-1 specialization of a *big* differential  $\omega_\xi$  interpolating  $\omega_{\xi_u}$  at weight  $u \geq 2$ . Similarly, in the definition of  $\log_p$  and  $\exp_p^*$ , we identify  $V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  with its dual under a suitable perfect canonical pairing  $\langle \cdot, \cdot \rangle_{\mathbf{f}_k \mathbf{g}_1 \mathbf{h}_1}$ , arising as the weight-1 specialization of a twisted Poincaré duality on  $V(\xi)$ . We refer to [8, Section 6.3] and its references for the details.

**5.2.  $p$ -adic  $L$ -functions and reciprocity laws.** The notations and assumptions are as in the previous section. Hida’s method (cp. [23]) shows that the  $p$ -adic periods (cp. Section 1.1)

$$I_p(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) = I_p(f_k, g_u, h_u),$$

for  $u$  in  $U^{\text{cl}}$ , are interpolated by an analytic function  $\mathcal{L}_p(\mathbf{f}_k, \mathbf{g}\mathbf{h})$  in  $\mathcal{O}(U)$ .

**Theorem 5.3.** *Shrinking  $U$  if necessary, there exists a global balanced class  $\kappa(\mathbf{f}_k, \mathbf{g}\mathbf{h})$  in  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}\mathbf{h}))$  such that*

$$\mathcal{L}_{\mathbf{f}_k, \mathbf{g}\mathbf{h}}(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{g}\mathbf{h}))) = \mathcal{L}_p(\mathbf{f}_k, \mathbf{g}\mathbf{h}).$$

*Proof.* *Step 1.* There exist an integer  $A \geq 0$  and, for each *balanced* point  $u$  in  $U^{\text{bal}}$ , a global cohomology class  $\kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  in  $H^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$ , such that  $p^A \cdot \kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  belongs to  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  and

$$\log_p(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))) (\eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_u} \otimes \omega_{\mathbf{h}_u})$$

is equal to

$$(51) \quad (-1)^{u-k/2-1} (u - k/2 - 1)! \frac{\left(1 - \frac{\alpha_{\mathbf{f}_k} \beta_{\mathbf{g}_u} \beta_{\mathbf{h}_u}}{p^{k/2+u-1}}\right)}{\left(1 - \frac{\beta_{\mathbf{f}_k} \alpha_{\mathbf{g}_u} \alpha_{\mathbf{h}_u}}{p^{k/2+u-1}}\right)} \cdot I_p(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u).$$

*Proof of Step 1.* Denote by  $\kappa_{Np}(u)$  the diagonal class of level  $Np$  and weights  $(k, u, u)$  (cp. Equation (4)). Let

$$\kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) \in H^1(\mathbf{Q}(\mu_p), V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$$

be the image of  $\frac{\bar{\chi}_{\mathbf{f}}(p)}{N^r} \cdot \kappa_{Np}(u)$  under the composition (cp. Equation (47))

$$\mathbb{W}_{Np}(u) \xrightarrow{w'_p \otimes \text{id} \otimes \text{id}} \mathbb{W}_{Np}(u) \xrightarrow{\text{pr}_{\mathbf{f}_k \mathbf{g}_u \mathbf{h}_u}} V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u),$$

where  $w'_p$  is the dual  $p$ -th Atkin–Lehner endomorphism of  $H^1_{\text{ét}}(Y_1(Np)_{\mathbf{Q}}, \mathcal{S}_{k-2})$  as defined in [8, Section 2.3.1].

The image  $\kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) \otimes 1$  of  $\kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  in  $H^1(\mathbf{Q}(\mu_p), V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  is a Selmer class (cp. Section 2), invariant under the action of  $\text{Gal}(\mathbf{Q}(\mu_p)/\mathbf{Q})$  (as  $\mathbf{f}_k$  is  $p$ -old), hence can be identified with a class in the balanced Selmer group  $H^1_{\text{bal}}(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  by Equation (49).

The explicit computations carried out in Proposition 7.3 and Lemma 7.4 of [8] prove that

$$\log_p(\text{res}_p(\kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))) (\eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_u} \otimes \omega_{\mathbf{h}_u})$$

is equal to the product of

$$\frac{(1 - \frac{\beta_{\mathbf{f}_k} \alpha_{\mathbf{g}_u} \beta_{\mathbf{h}_u}}{p^{k/2+u-1}})(1 - \frac{\beta_{\mathbf{f}_k} \beta_{\mathbf{g}_u} \alpha_{\mathbf{h}_u}}{p^{k/2+u-1}})(1 - \frac{\beta_{\mathbf{f}_k} \beta_{\mathbf{g}_u} \beta_{\mathbf{h}_u}}{p^{k/2+u-1}})}{N^r (1 - \frac{\beta_{\mathbf{f}_k}}{\alpha_{\mathbf{f}_k}})(1 - \frac{\beta_{\mathbf{f}_k}}{p \alpha_{\mathbf{f}_k}})}$$

and

$$\log_p(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))) (\eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_u} \otimes \omega_{\mathbf{h}_u}).$$

According to the explicit reciprocity law Theorem A, this product is in turn equal to

$$(u - k/2 - 1)! \left(1 - \frac{\beta_{\mathbf{f}_k} \alpha_{\mathbf{g}_u} \alpha_{\mathbf{h}_u}}{p^{k/2+u-1}}\right)^{-1} \cdot I_p(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u).$$

As  $\alpha_{\mathbf{f}_k} \beta_{\mathbf{g}_u} \beta_{\mathbf{h}_u}$  is in  $p^{k/2+u-1} \mathcal{O}_L$  for  $u$  in  $U^{\text{bal}}$ , it follows that the class

$$\kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) = (-1)^{u-k/2-1} \left(1 - \frac{\alpha_{\mathbf{f}_k} \beta_{\mathbf{g}_u} \beta_{\mathbf{h}_u}}{p^{k/2+u-1}}\right) \cdot \kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$$

belongs to  $H^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  and that

$$\log_p(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))) (\eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_u} \otimes \omega_{\mathbf{h}_u})$$

is equal to the expression displayed in Equation (51).

It remains to prove that there exists a nonnegative integer  $A \geq 0$  such that  $p^A \cdot \kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  belongs to  $H^1_{\text{bal}}(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$  for each  $u$  in  $U^{\text{bal}}$ . Because  $\kappa(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  is an  $\mathcal{O}_L$ -multiple of  $\kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)$  and  $\kappa^\dagger(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) \otimes 1$  belongs to  $H^1_{\text{bal}}(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u))$ , it is sufficient to exhibit a constant  $A \geq 0$  such that  $p^A$  kills the torsion subgroup of  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)/V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)_{\text{bal}} \otimes_{\mathbf{O}_L})$  for each balanced point  $u$  in  $U^{\text{bal}}$ .

Set  $M_u = V(\mathbf{f}_k, \mathbf{gh})/V(\mathbf{f}_k, \mathbf{gh})_{\text{bal}} \otimes_u \mathcal{O}_L$ . There is then an exact sequence

$$0 \rightarrow M_u^+ \rightarrow M_u \rightarrow M_u^- \rightarrow 0,$$

where (for some positive integer  $a \geq 1$ )

$$M_u^+ = \mathcal{O}_L(\chi_{\text{cyc}}^{k/2-u+1} \cdot \psi_{u,f})^a \oplus \mathcal{O}_L(\chi_{\text{cyc}}^{1-k/2} \cdot \psi_{u,g})^a \oplus \mathcal{O}_L(\chi_{\text{cyc}}^{1-k/2} \cdot \psi_{u,h})^a$$

and

$$M_u^- = \mathcal{O}_L(\chi_{\text{cyc}}^{2-u-k/2} \cdot \psi_u)^a,$$

and where the characters  $\psi$ . are unramified and take on an arithmetic Frobenius  $\sigma$  in  $G_{\mathbf{Q}_p}$  the values

$$\psi_{u,f}(\sigma) = \frac{\chi_f(p)\alpha_{\mathbf{g}_u}\alpha_{\mathbf{h}_u}}{\alpha_{\mathbf{f}_k}}, \quad \psi_{u,g}(\sigma) = \frac{\chi_g(p)\alpha_{\mathbf{f}_k}\alpha_{\mathbf{h}_u}}{\alpha_{\mathbf{g}_u}}, \quad \psi_{u,h}(\sigma) = \frac{\chi_h(p)\alpha_{\mathbf{f}_k}\alpha_{\mathbf{g}_u}}{\alpha_{\mathbf{h}_u}}$$

and

$$\psi_u(\sigma) = \alpha_{\mathbf{f}_k}\alpha_{\mathbf{g}_u}\alpha_{\mathbf{h}_u}.$$

It follows that the torsion subgroup of  $H^1(\mathbf{Q}_p, M_u)$  is killed by

$$\mu(u) = \prod_{\xi=f,g,h,\emptyset} (1 - \psi_{u,\xi}(\sigma)).$$

The values  $\mu(u)$ , for  $u$  in  $U^{\text{cl}}$ , are interpolated by an analytic function  $\mu$  in  $\Lambda$ . Moreover,  $\mu(1)$  is nonzero, as by assumption  $p$  does not divide the conductor of  $\mathbf{f}_k$ . Shrinking  $U$  if necessary, one can then assume that  $\text{ord}_p(\mu(u))$  equals the nonnegative integer  $\text{ord}_p(\mu(1))$  for all  $u$  in  $U$ . Taking  $A = e(L/\mathbf{Q}_p) \cdot \text{ord}_p(\mu(1))$  concludes the proof.

*Step 2.* There exist a finite subset  $\mathcal{E}^{\text{cl}}$  of  $U^{\text{cl}}$  and a constant  $B \geq 0$  satisfying the following property: For each  $u$  in  $\mathcal{U}^{\text{cl}} = U^{\text{cl}} - \mathcal{E}^{\text{cl}}$ , the isomorphism  $\rho_u$  (cp. Equation (48)) induces a short exact sequence of  $\mathcal{O}_L$ -modules

$$0 \rightarrow H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{gh})) \otimes_u \mathcal{O}_L \rightarrow H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)) \rightarrow \text{Err}_u \rightarrow 0,$$

where  $\text{Err}_u$  is a finite  $\mathcal{O}_L$ -module killed by  $p^B$ .

*Proof of Step 2.* This follows from the general base-change results for Selmer complexes proved in [32, 37].

*Step 3.* One has  $\mathcal{L}_{\mathbf{f}_k, \mathbf{gh}}(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{gh}))) = \mathcal{L}_p(\mathbf{f}_k, \mathbf{gh})$  for a balanced class  $\kappa(\mathbf{f}_k, \mathbf{gh})$  in  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{gh}))$ .

*Proof of Step 3.* The statement is clear if  $\mathcal{L}_p(\mathbf{f}_k, \mathbf{gh})$  is zero. Assume that  $\mathcal{L}_p(\mathbf{f}_k, \mathbf{gh})$  is nonzero and let  $e_p$  be its order of vanishing at  $\mathbf{u} = 1$ . As  $\mathcal{O}(U)$  is a principal ideal domain, the image of  $\mathcal{L}_{\mathbf{f}_k, \mathbf{gh}}$  is a principal ideal, say generated by an analytic function  $\mathcal{G}_{\text{bal}}$  with order of vanishing  $e_{\text{bal}}$  at  $\mathbf{u} = 1$ . (By convention  $e_{\text{bal}} = +\infty$  if  $\mathcal{L}_{\mathbf{f}_k, \mathbf{gh}}$  is the zero map.) According to the Weierstraß preparation theorem, shrinking  $U$  if necessary one can assume that  $\mathcal{L}_p(\mathbf{f}_k, \mathbf{gh}) = (\mathbf{u} - 1)^{e_p} \cdot \mathcal{L}_p^*$  and  $\mathcal{G}_{\text{bal}} = (\mathbf{u} - 1)^{e_{\text{bal}}} \cdot \mathcal{G}_{\text{bal}}^*$ , with  $\mathcal{L}_p^*$  and  $\mathcal{G}_{\text{bal}}^*$

units in  $\mathcal{O}(U)$  (and  $(u - 1)^{e_{\text{bal}}}$  equal to zero if  $e_{\text{bal}} = +\infty$ ). In order to prove the theorem, it is sufficient to show that

$$(52) \quad e_{\text{bal}} \leq e_p.$$

Let  $\mathcal{U}^{\text{cl}}$  be as in Step 2. Without loss of generality, assume that  $\mathcal{U}^{\text{cl}}$  is contained in  $U^{\text{bal}}$  and that  $\mathcal{L}_p(\mathbf{f}_k, \mathbf{gh})$  does not vanish at any point of  $\mathcal{U}^{\text{cl}}$ . Let  $A$  and  $B$  be the constants which appear in Steps 1 and 2. Take  $C \geq A + B$  such that  $\|\mathcal{L}_{\mathbf{f}_k, \mathbf{gh}}(\mathfrak{z})\|_U \leq p^C$  for any class  $\mathfrak{z}$  in  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{gh}))$ . (This is possible since  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{gh}))$  is a finitely generated  $\Lambda$ -module.) According to Steps 1 and 2, for each  $u$  in  $\mathcal{U}^{\text{cl}}$ , there exists a global balanced class

$$\tilde{\kappa}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u) \in H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{gh}))$$

such that (cp. Equations (50) and (51))

$$(53) \quad \mathcal{L}_{\mathbf{f}_k, \mathbf{gh}}(\text{res}_p(\tilde{\kappa}(\mathbf{f}_k, \mathbf{g}_u, \mathbf{h}_u)), u) = p^C \cdot \mathcal{L}_p(\mathbf{f}_k, \mathbf{gh})(u) \neq 0.$$

In particular,  $\mathcal{G}_{\text{bal}}$  is nonzero, hence  $e_{\text{bal}}$  is a nonnegative integer.

Let  $\{u_j\}_{j \geq 1}$  be a sequence in  $\mathcal{U}^{\text{cl}}$  which converges to 1. For each  $j \geq 1$ , define  $\gamma_j \in \mathcal{O}(U)$  by the equation

$$\mathcal{L}_{\mathbf{f}_k, \mathbf{gh}}(\text{res}_p(\tilde{\kappa}(\mathbf{f}_k, \mathbf{g}_{u_j}, \mathbf{h}_{u_j}))) = \gamma_j \cdot \mathcal{G}_{\text{bal}}.$$

Because  $\|\gamma_j \cdot \mathcal{G}_{\text{bal}}\|_U \leq p^C$  for any  $j \geq 1$ , the sequence  $\|\gamma_j\|_U$  is bounded, say by  $p^D$  for some  $D \geq 0$ . Equation (53) and the Weierstraß preparation theorem show that for  $j \gg 0$ ,

$$p^{-C} \xi_j \cdot |u_j - 1|_p^{e_p} = |\gamma_j(u_j)|_p \cdot |u_j - 1|_p^{e_{\text{bal}}} \leq p^D \cdot |u_j - 1|_p^{e_{\text{bal}}},$$

where  $\{\xi_j\}_{j \gg 0}$  converges to the *positive* rational number  $|\mathcal{L}_p^*(1)|_p / |\mathcal{G}_{\text{bal}}^*(1)|_p$ . Equation (52) follows.  $\square$

**5.4. Conclusion of the proof.** This section concludes the proof of Theorem B. Write  $H_{\text{rel}}^1(\mathbf{Q}, V(f, g, h))$  for the *relaxed* Selmer group of  $V(f, g, h)$  over  $\mathbf{Q}$ , that is the set of global classes in  $H^1(\mathbf{Q}, V(f, g, h))$  which are unramified at every rational prime  $\ell \neq p$ . Let  $\mathbf{g}^\sharp = \mathbf{g}_\alpha^\sharp$ ,  $\mathbf{h}^\sharp = \mathbf{h}_\alpha^\sharp$ ,  $\mathbf{g}$  and  $\mathbf{h}$  be as in the previous sections.

Let  $\xi$  denote either  $g$  or  $h$  and let  $\text{Frob}_p$  be an arithmetic Frobenius in  $G_{\mathbf{Q}_p}$ . By Assumption 1.3, the restriction to  $G_{\mathbf{Q}_p}$  of the Artin representation  $V(\xi)$  is unramified and splits as the direct sum of the (distinct)  $\text{Frob}_p$ -eigenspaces

$$V(\xi)_\alpha = V(\xi)^{\text{Frob}_p = \alpha_\xi / \chi_\xi(p)} \quad \text{and} \quad V(\xi)_\beta = V(\xi)^{\text{Frob}_p = \beta_\xi / \chi_\xi(p)}.$$

As a consequence, the  $G_{\mathbf{Q}_p}$ -representation  $V(f, g, h)$  decomposes as

$$(54) \quad V(f, g, h) = V(f)_{\alpha\alpha} \oplus V(f)_{\alpha\beta} \oplus V(f)_{\beta\alpha} \oplus V(f)_{\beta\beta},$$

where  $V(f)_{ij} = V(f) \otimes_L V(g)_i \otimes V(h)_j \otimes_{\mathbf{Q}_p} \mathbf{Q}_p(k/2)$ . Similarly, for  $\xi = \mathbf{g}, \mathbf{h}$ , one has  $V(\xi_1) = V(\xi_1)_\alpha \oplus V(\xi_1)_\beta$  and  $V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1) = \bigoplus_{ij} V(\mathbf{f}_k)_{ij}$ .

For each  $p$ -adic representation  $V$  of  $G_{\mathbf{Q}_p}$ , let  $V^+$  be the submodule on which the inertia subgroup of  $G_{\mathbf{Q}_p}$  acts via the  $k/2$ -th power of the  $p$ -adic cyclotomic character and set  $V^- = V/V^+$ . A class in  $H_{\text{rel}}^1(\mathbf{Q}, V(f, g, h))$  belongs to the



Bloch–Kato Selmer group  $\text{Sel}(\mathbf{Q}, V(f, g, h))$  precisely if its restriction at  $p$  is in the kernel of

$$(55) \quad p^- : H^1(\mathbf{Q}_p, V(f, g, h)) \rightarrow H^1(\mathbf{Q}_p, V(f, g, h)^-),$$

and belongs to the balanced Selmer group  $H_{\text{bal}}^1(\mathbf{Q}, V(f, g, h))$  precisely if its restriction at  $p$  is in the kernel of the natural map

$$(56) \quad H^1(\mathbf{Q}_p, V(f, g, h)) \rightarrow H^1(\mathbf{Q}_p, V(f)_{\alpha\beta}^-) \oplus H^1(\mathbf{Q}_p, V(f)_{\beta\alpha}^-) \\ \oplus H^1(\mathbf{Q}_p, V(f)_{\alpha\alpha})$$

(where  $V(f)^-$  is a shorthand for  $(V(f)\cdot)^-$ ). A similar discussion applies with  $(f, g, h)$  replaced by  $(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  everywhere. After these preliminaries, we can begin the actual proof of Theorem B, which is divided in three steps.

*Step 1.* There exist level- $N$  test vectors  $(\mathbf{f}_k, \mathbf{g}, \mathbf{h})$  for  $(f, \mathbf{g}^\sharp, \mathbf{h}^\sharp)$  and a nonzero scalar  $\mathcal{E}$  in  $L^*$  such that

$$\mathcal{L}_p(\mathbf{f}_k, \mathbf{g}\mathbf{h})(1) = \mathcal{E} \cdot \frac{L(f \otimes g \otimes h, k/2)}{\pi^{2k-2}(f, f)_N}.$$

*Proof.* Under the running Assumption 1.3, this follows by the special value formulas proved by Garrett and Harris–Kudla [20, 21] (cp. [14, Section 4]).  $\square$

*Step 2.* Assume that  $L(f \otimes g \otimes h, s)$  does not vanish at  $s = k/2$ . Then there exists a global class  $\kappa(f, g, h)_{\alpha\alpha}$  in the relaxed Selmer group  $H_{\text{rel}}^1(\mathbf{Q}, V(f, g, h))$  such that (cp. Equations (54) and (55))

$$p^-(\text{res}_p(\kappa(f, g, h)_{\alpha\alpha})) \text{ is a nonzero element in } H^1(\mathbf{Q}_p, V(f)_{\beta\beta}^-).$$

*Proof.* Step 1 implies that  $\mathcal{L}_p(\mathbf{f}_k, \mathbf{g}\mathbf{h})$  does not vanish at  $\mathbf{u} = 1$  for some triple of level- $N$  test vectors  $(\mathbf{f}_k, \mathbf{g}, \mathbf{h})$ . Theorem 5.3 then yields a global balanced class  $\kappa(\mathbf{f}_k, \mathbf{g}\mathbf{h})$  in  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}\mathbf{h}))$  such that

$$(57) \quad \exp_p^*(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1))) (\eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_1} \otimes \omega_{\mathbf{h}_1}) \neq 0.$$

Here  $\kappa(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  is the image of  $\kappa(\mathbf{f}_k, \mathbf{g}\mathbf{h})$  in  $H_{\text{bal}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1))$  under the morphism induced in cohomology by  $\rho_1$  (cp. Equation (48)) and one uses Assumption 1.3.2 to guarantee that the Euler factors which appear in Equation (50) are nonzero.

The projection  $p^-$  induces a canonical isomorphism

$$\text{Fil}^0 V_{\text{dR}}(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1) \cong D_{\text{cris}}(V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)^-),$$

which we consider as an equality. Then  $\exp_p^*$  is equal to the composition

$$H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)) \xrightarrow{p^-} H^1(\mathbf{Q}_p, V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_2)^-) \\ \xrightarrow{\exp^*} D_{\text{cris}}(V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)^-),$$

where  $\exp^*$  is the dual exponential for  $V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)^-$ . Similarly, the inclusion  $V(\mathbf{f}_k)(k/2)^+ \rightarrow V(\mathbf{f}_k)(k/2)$  induces a natural isomorphism

$$D_{\text{cris}}(V(\mathbf{f}_k)(k/2)^+) \cong V_{\text{dR}}(\mathbf{f}_k)^{\varphi=\alpha_f} \otimes_{\mathbf{Q}_p} \mathbf{Q}_p[k/2].$$

After recalling that  $\omega_{\xi_1}$ , for  $\xi = \mathbf{g}, \mathbf{h}$ , is a nonzero element of

$$D_{\text{cris}}(V(\xi_1))^{\varphi=\beta\xi_1} = D_{\text{cris}}(V(\xi_1)_\alpha),$$

we can then identify  $\eta_{\mathbf{f}_k}^\alpha \otimes \omega_{\mathbf{g}_1} \otimes \omega_{\mathbf{h}_1}$  with an element  $\mathcal{U}_1$  of the crystalline Dieudonné module of the direct summand  $V(\mathbf{f}_k)(k/2)^+ \otimes_L V(\mathbf{g}_1)_\alpha \otimes_L V(\mathbf{h}_1)_\alpha$  of  $V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)^+$ . Equation (57) can then be rewritten as

$$\exp^*(\kappa_p^-(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)_{\beta\beta})(\mathcal{U}_1) \neq 0,$$

where  $\kappa_p^-(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)_{\beta\beta}$  is the  $\beta\beta$ -component of

$$\kappa_p^-(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1) = p^-(\text{res}_p(\kappa(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1))).$$

On the other hand, since  $\kappa(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  is the specialization of a *balanced* class, it follows that  $\kappa_p^-(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1) = \kappa_p^-(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)_{\beta\beta}$  belongs to  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k)_{\beta\beta}^-)$  (cp. the discussion around Equation (56)). In particular,  $\kappa(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  is an element of the relaxed Selmer group  $H_{\text{rel}}^1(\mathbf{Q}, V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1))$  such that  $\kappa_p^-(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  is a nonzero element of  $H^1(\mathbf{Q}_p, V(\mathbf{f}_k)_{\beta\beta}^-)$ . Because the  $G_{\mathbf{Q}}$ -representation  $V(\mathbf{f}_k, \mathbf{g}_1, \mathbf{h}_1)$  is the direct sum of a finite number of copies of  $V(f, g, h)$ , the statement follows.  $\square$

*Step 3.* Set  $V = V(f, g, h)$ . Then there is an exact sequence of  $L$ -modules

$$\begin{aligned} 0 \rightarrow \text{Sel}(\mathbf{Q}, V) \rightarrow H_{\text{rel}}^1(\mathbf{Q}, V) \xrightarrow{\partial} H^1(\mathbf{Q}, V^-) \\ \rightarrow \text{Sel}(\mathbf{Q}, V)^{\text{dual}} \rightarrow H_{\text{str}}^1(\mathbf{Q}, V)^{\text{dual}} \rightarrow 0, \end{aligned}$$

where  $\partial$  is the composition of  $p^-$  and  $\text{res}_p$  and  $\cdot^{\text{dual}}$  denotes the  $L$ -linear dual.

*Proof.* As  $V$  is Kummer self-dual, this is an instance of global Poitou–Tate duality (cp. [30, Ch. 1]).  $\square$

Varying the choices of the roots  $\alpha_g$  and  $\alpha_h$  (cp. Assumption 1.3.3), Step 2 yields four classes (namely,  $\kappa(f, g, h)$ . for  $\cdot$  in  $\{\alpha, \beta\}^2$ ) in  $H_{\text{rel}}^1(\mathbf{Q}, V)$ , whose images under the morphism  $\partial$  are linearly independent over  $L$ . Theorem B then follows from Step 3, after noting that  $H^1(\mathbf{Q}_p, V^-)$  has dimension four over  $L$  under Assumption 1.3.2.

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Received February 24, 2019, accepted June 17, 2019

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